

# Nature Like Fishways: Modern Perspectives and Techniques Sessions 3 & 4



A Workshop at the 41<sup>st</sup> Annual Salmonid Restoration Conference  
Santa Rosa, California, March 26-29, 2024

## Workshop Coordinators:

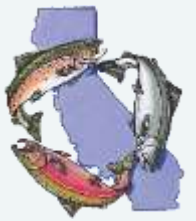
- Tyler Kreider, PE, *Kleinschmidt*
- Mike Garello, PE, *HDR, Inc.*
- Mike Love, PE, *Michael Love & Associates*



This instructor-led workshop, organized by the American Fisheries Society–Bioengineering Section, with funding from the Resources Legacy Fund, presents a two-day-nature-like fishway workshop. This in-person workshop took place over two days and was instructed by several leading practitioners in the field of Nature Like Fishways (NLF) implementation, including representatives from both private and public agencies. The list of speakers includes Michael Garello (HDR), Michael Love (MLA), Jesus Morales (U.S. Fish and Wildlife Service), Tyler Kreider (Kleinschmidt), Bjorn Lake (NOAA Fisheries), Barry Chilibeck (Northwest Hydraulic Consultants), Brian Cluer (NOAA Fisheries), and Marcin Whitman (retired California Department of Fish & Wildlife). The goal of the workshop was to share knowledge of nature-like fishway design and long-term stability observations among practitioners, regulators, and operators to improve the collective awareness of contemporary NLF science and design methodologies to ultimately provide more effective and sustainable passage for fish. This workshop included the following topics:

- History and state of nature-like fishways
- Application of NLFs to natural and built environments
- Site reconnaissance, project assessment, project development
- Identifying data and modeling needs and necessary in-field data collection
- Example design methods, practices, constraints, and uncertainties—also highlight current/ forthcoming design guidance documents
- Construction methods and oversight
- Monitoring
- Lessons learned from previously constructed NLFs
- Risk evaluation in NLF Design
- Getting the right rocks and placing them for long-term stability

# Presentations



- **Pre- Design for Fish Passage Projects**  
Michael Love, PE., *Michael Love & Associates Inc*.....Slide 4
- **Primer for Risk and Risk Management during NLF Projects**  
Mike Garello, PE, *HDR*.....Slide 80
- **NLF Project Spotlight: Nelson Dam Removal Project**  
Mike Garello, PE, *HDR* .....Slide 96
- **Design Intro & Biological Effectiveness**  
Tyler Kreider, PE, *Kleinschmidt Associates*.....Slide 123
- **Design, Monitoring & Maintenance Considerations**  
Tyler Kreider, PE, *Kleinschmidt Associates* and Barry Chilibeck, *Northwest Hydraulic Consultants*.....Slide 139
- **“Other” Design Factors**  
Tyler Kreider, PE, *Kleinschmidt Associates*.....Slide 180
- **NLF Monitoring Results**  
Bjorn Lake, PE, *Kleinschmidt Associates*.....Slide 196
- **Monitoring Methods**  
Tyler Kreider, PE, *Kleinschmidt Associates* and Barry Chilibeck, *Northwest Hydraulic Consultants* .....Slide 222
- **Maintenance of NLFs**  
Marcin Whitman.....Slide 245

# Pre-Design for Fish Passage Projects

## Nature-like Fishways: Modern Perspectives and Techniques

Michael Love P.E.

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Arcata, California  
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March 26, 2024

California Department of Fish & Wildlife  
*California Salmonid Stream Habitat Restoration Manual*  
Part XII: Fish Passage Design and Implementation (2009)



Available at:

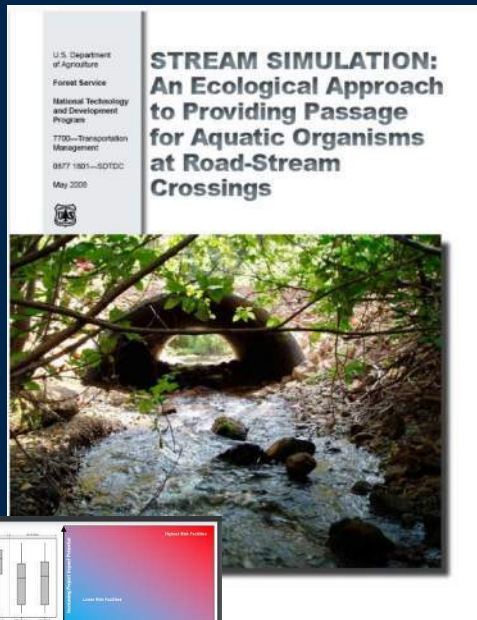
<http://www.dfg.ca.gov/fish/resources/habitatmanual.asp>

Primary Authors:

Michael Love P.E.  
*Michael Love & Associates, Inc.*

Kozmo Bates P.E.  
*Olympia, WA*

# Other Primary Sources for Pre-Design of Fish Passage



## **US Forest Service, 2008**

**Stream Simulation: An Ecological Approach to Providing Passage for Aquatic Organisms at Road-Stream Crossings**

[https://www.fs.usda.gov/Internet/FSE\\_DOCUMENTS/fsmg91\\_054564.pdf](https://www.fs.usda.gov/Internet/FSE_DOCUMENTS/fsmg91_054564.pdf)



## **NOAA Fisheries**

**Pre-Design Guidelines for California Fish Passage Facilities - 2022**

<https://media.fisheries.noaa.gov/2023-02/pre-design-guidelines-ca.pdf>

# Conceptual Iterative Design Process

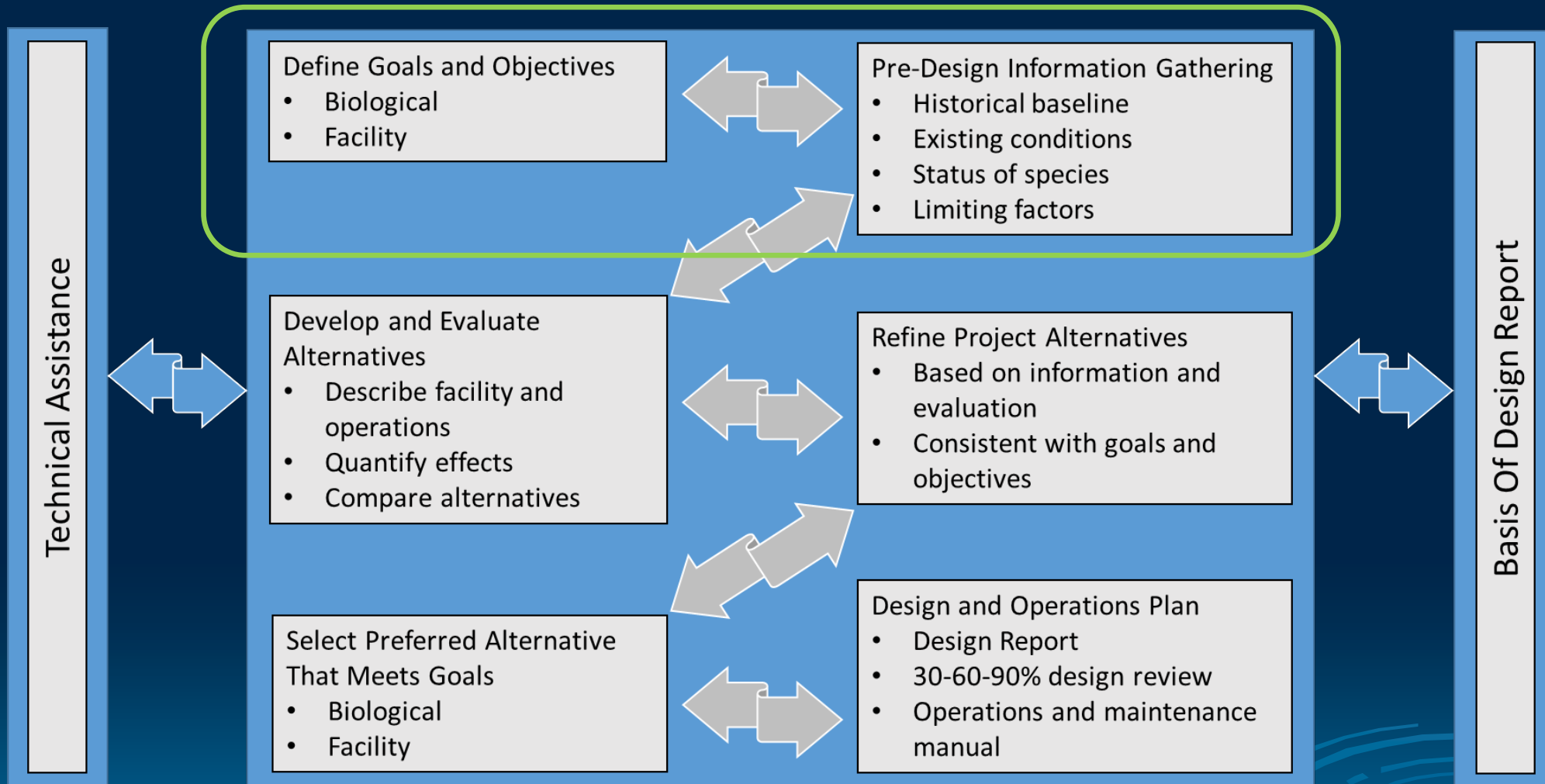
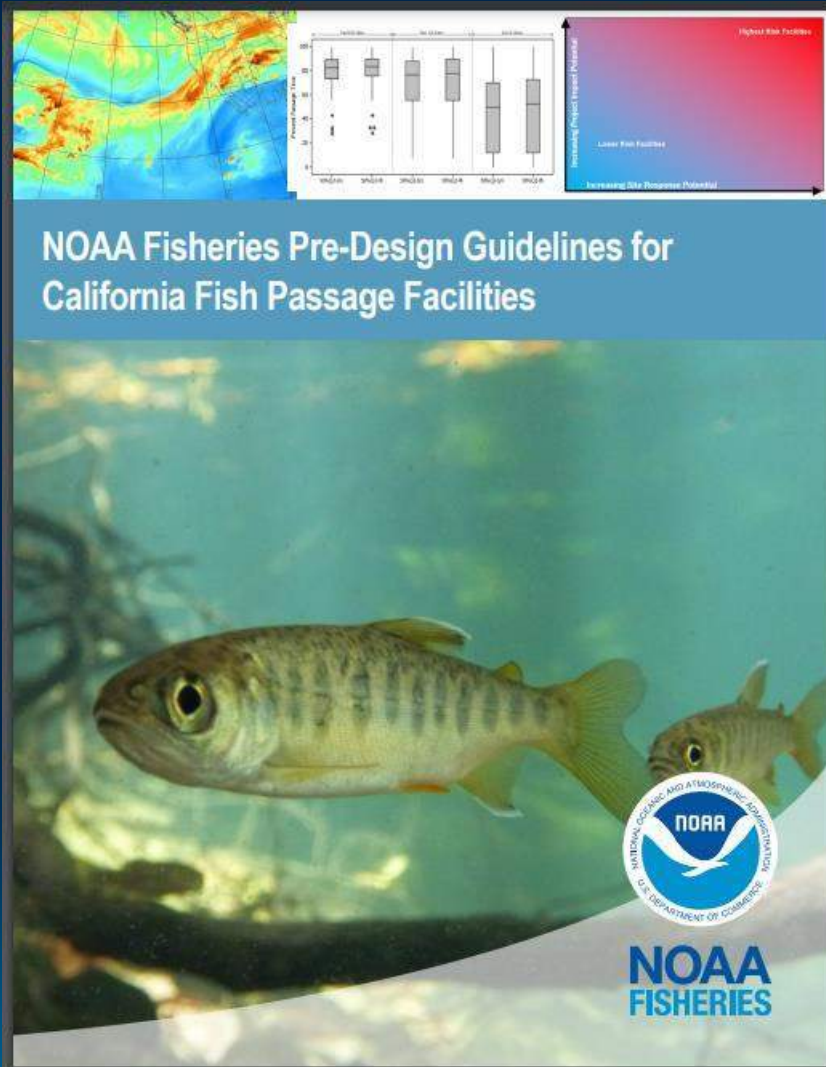


Figure 10 from NOAA Fisheries 2023

# Watershed Condition



3	WATERSHED CONDITIONS AFFECTING ANADROMOUS FISH .....	12
3.1	HYDROLOGIC REGIMES AND VARIABILITY WITHIN CALIFORNIA AND THE WEST COAST REGION.....	12
3.2	VARIATIONS IN THE TYPICAL TIMING OF HIGH FLOWS .....	15
3.3	HYDROLOGIC DRIVERS: ENSO, PDO, AND AR .....	15
3.4	SEDIMENT REGIMES .....	20
3.5	LAND SUBSIDENCE.....	22
3.6	EFFECTS OF INTER-ANNUAL FLOW VARIABILITY ON FACILITY DESIGN AND FACILITY OPERATIONS.....	22
3.7	MIGRATION OPPORTUNITY .....	23
3.8	EFFECTS OF STORAGE RESERVOIRS ON MIGRATION OPPORTUNITY.....	26
3.9	CLIMATE CHANGE .....	29



# Regional Variability in Hydrology



Figure 1. Study site location map (prepared by Charleen Gavette and Emily Rose, NMFS).

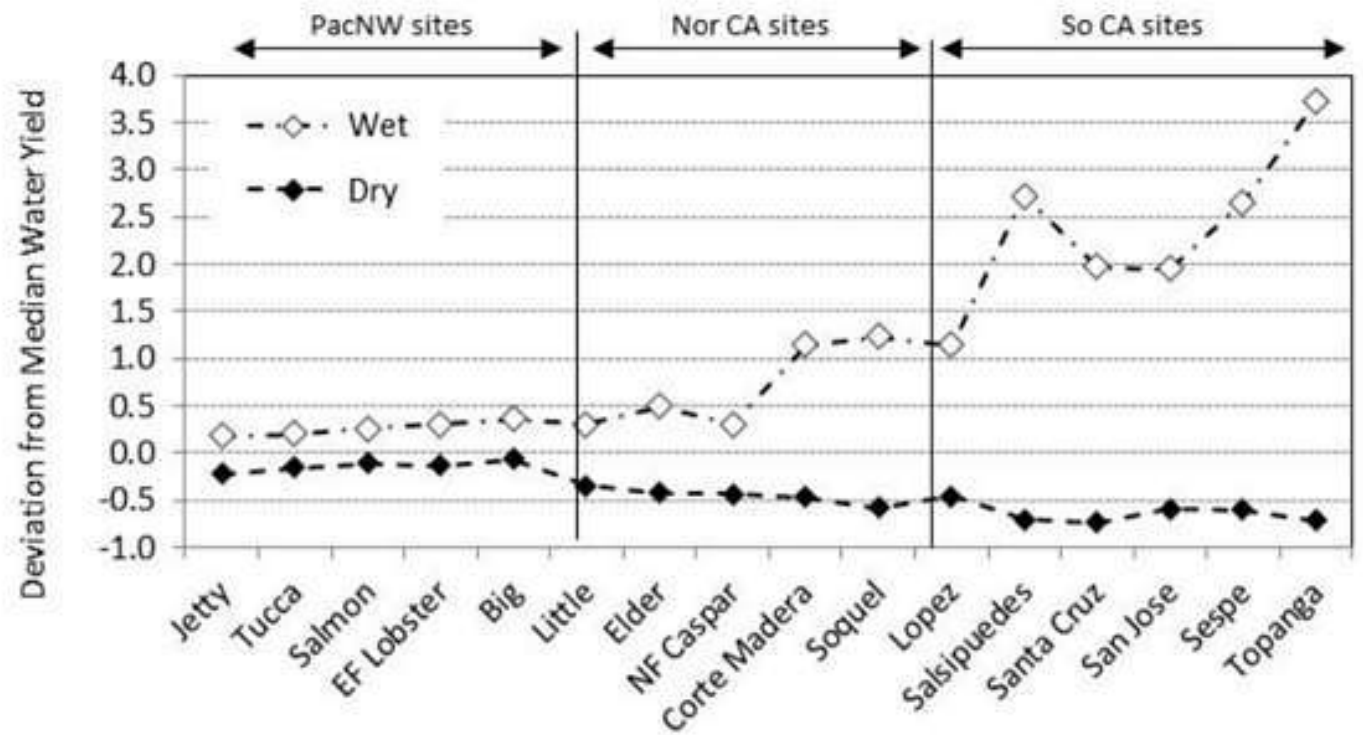
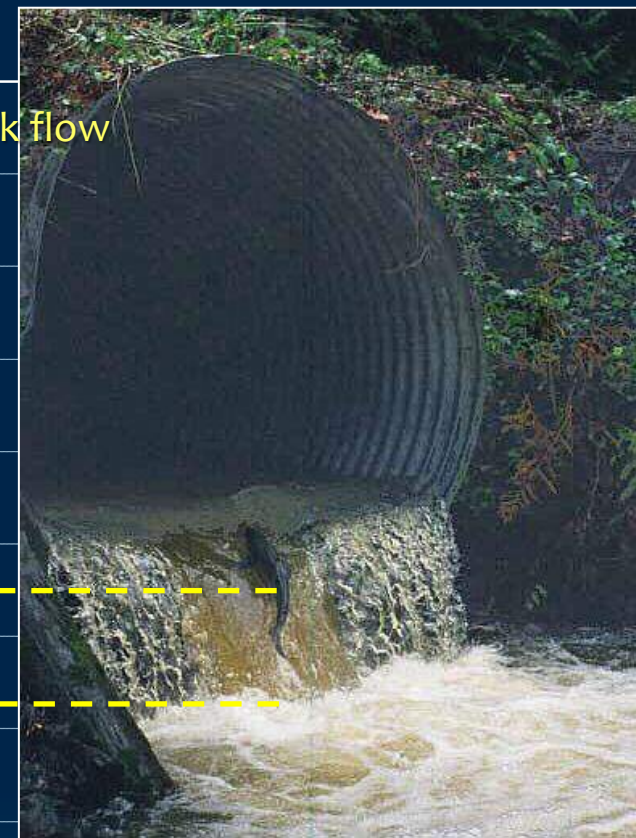
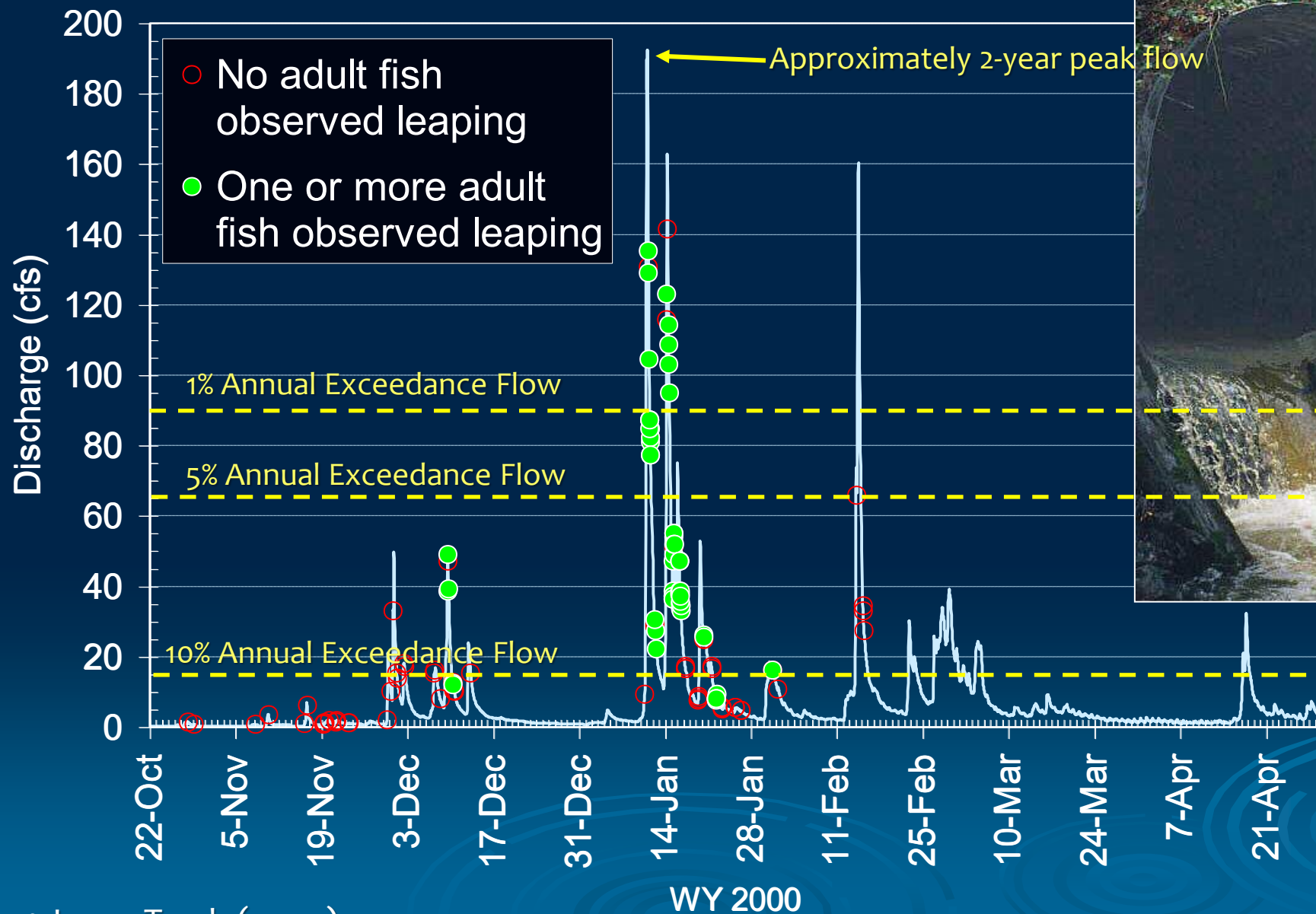
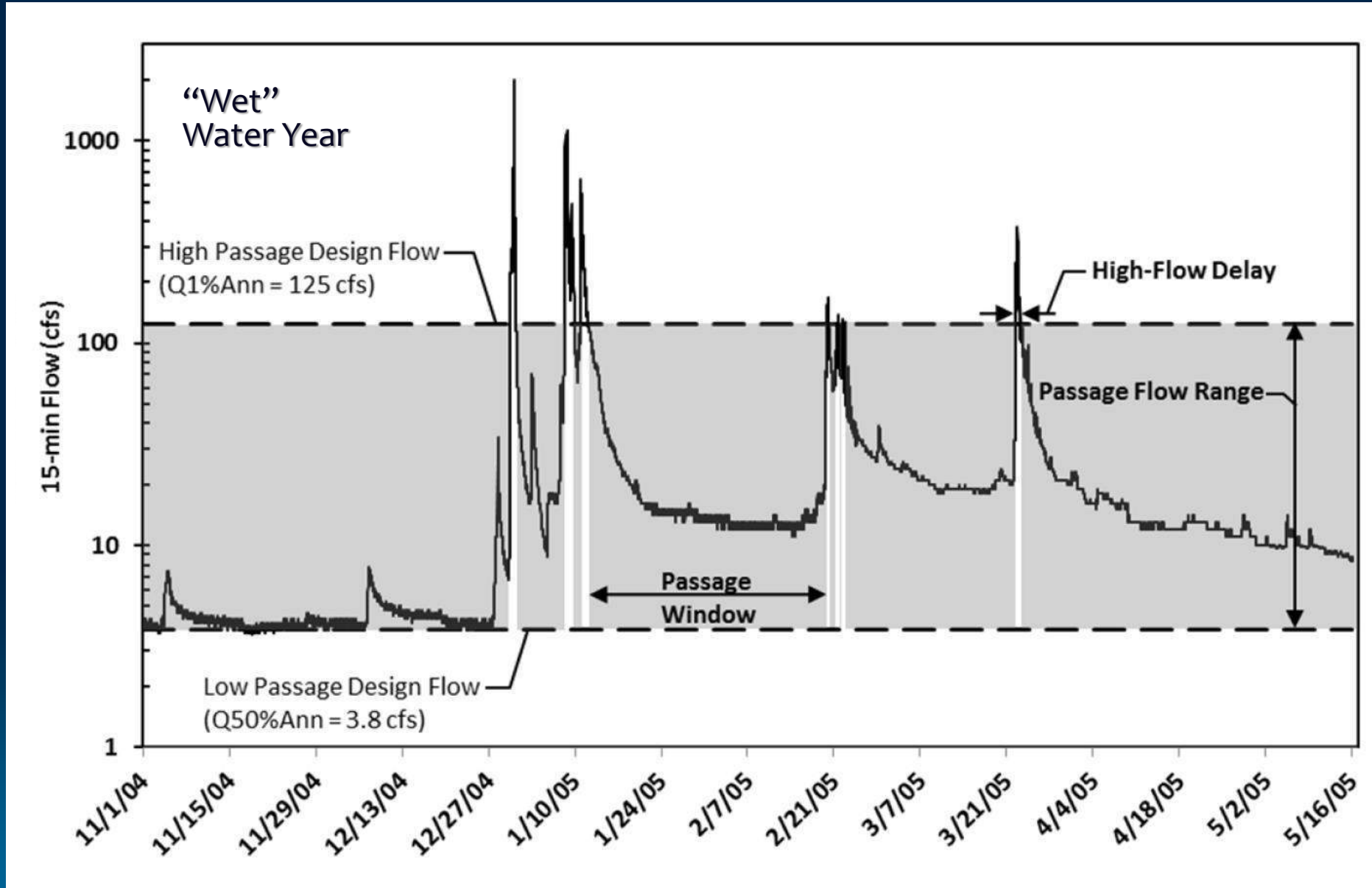


Figure 6. Deviation from median annual water yield indicating climatic and hydrologic variation along the west coast from Oregon to Southern California. Comparison of the upper 20th-percentile water yield (lower boundary of Wet year yields) and the lower 20th-percentile water yield (upper boundary of Dry year yields) expressed as the deviation from the median annual water yield. Sites are arranged from north to south moving left-to-right along the x-axis. Notice the spread between dry and wet years increases dramatically in the southern direction. Source: Lang and Love, 2014; figure 5,

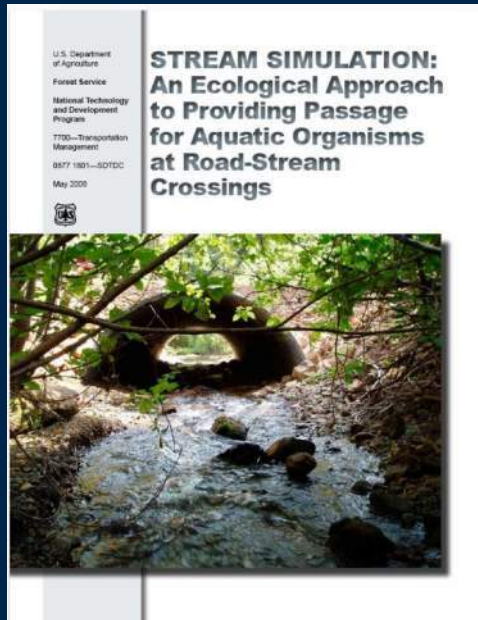
# Observations of Adult Salmon Leaps at Sullivan Gulch



# Considering Delay when Selecting Fish Passage Design Flows



# Site Assessment



From USFS, 2008 Stream Simulation Manual

## Chapter 5—Site Assessment

5.1. COLLECTING SITE DATA .....	5—1
5.1.1. Sketch Map .....	5—2
5.1.2. Topographic Survey .....	5—5
5.1.3. Longitudinal Profile .....	5—8
5.1.4. Cross Sections .....	5—16
5.1.5. Channel Types and Bed Mobility.....	5—23
5.1.6. Channel-bed and Bank-material Characteristics .....	5—24
5.1.7. Preliminary Geotechnical Investigation .....	5—36
5.1.8. Road Travel-way and Construction Considerations .....	5—38
5.1.7. Preliminary Geotechnical Investigation .....	5—36
5.1.8. Road Travel-way and Construction Considerations .....	5—38
5.2. ANALYZING AND INTERPRETING SITE DATA .....	5—39
5.2.1. Interpreting Sediment Processes and Mobility .....	5—39
5.2.2. Analyzing the Longitudinal Profile.....	5—41
5.3. PROJECT SITE RISK ASSESSMENT.....	5—61
5.3.1. High Flood-plain Conveyance .....	5—61
5.3.2. Lateral Adjustment Potential and Alignment.....	5—65
5.3.3. Headcutting Potential .....	5—66
5.3.4. Debris .....	5—69
5.3.5. Unstable Channels .....	5—70
5.4. DOCUMENT KEY DESIGN CONSIDERATIONS AND RECOMMENDATIONS .....	5—71
5.5. REFERENCE REACH: THE PATTERN FOR STREAM-SIMULATION DESIGN.....	5—72
5.5.1. Reference Reach Data Required for Stream Simulation Design .....	5—76



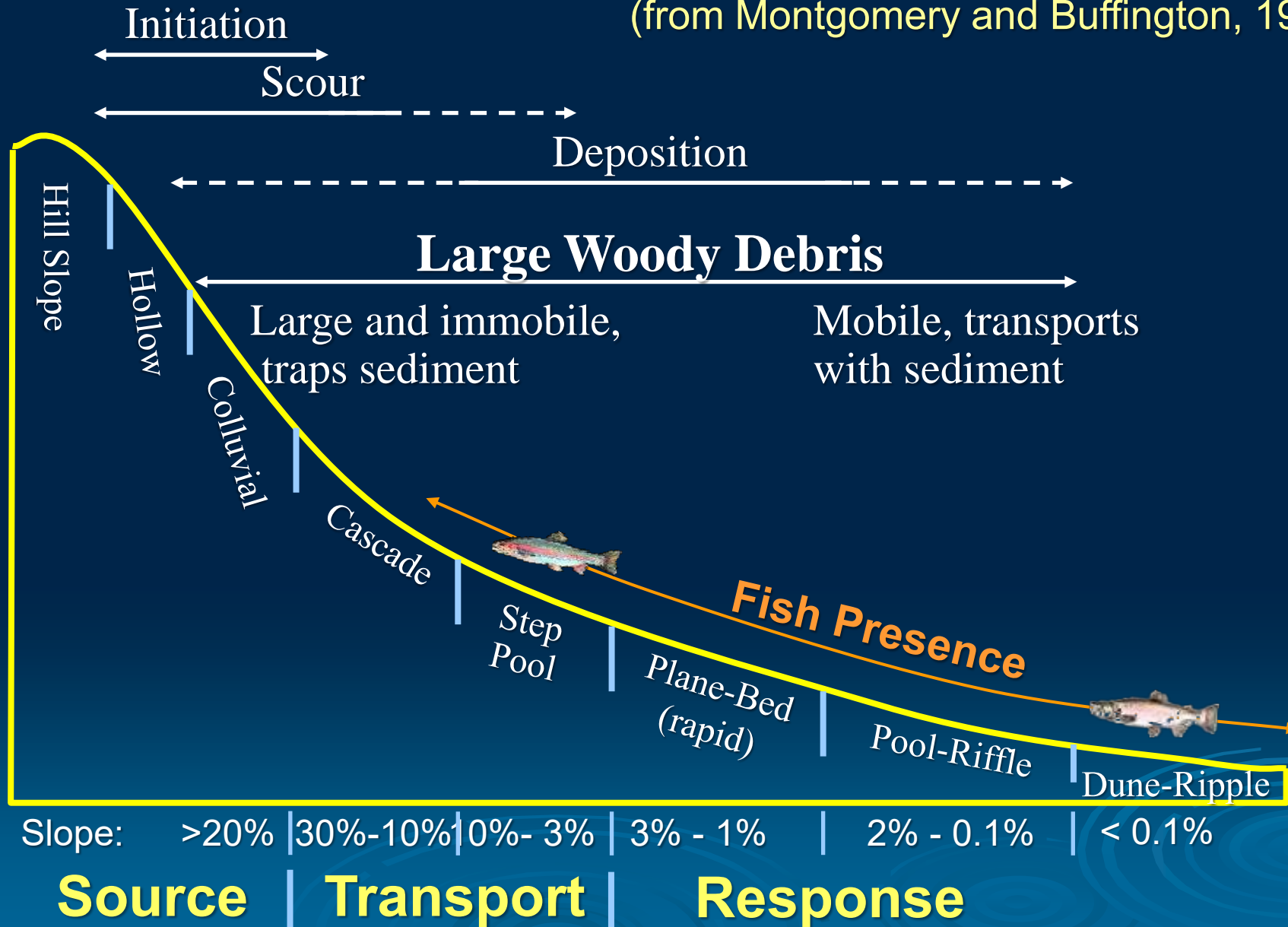
# Site Assessment Objectives

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- ❑ Gain an understanding of **channel history, stability, and adjustment potential**:
  - Channel type (transport vs. response)
  - Floodplain conveyance
  - Historic channel alternations
  - Bed variability (pool depths)
  - Headcut potential
  - Bank stability
  
- ❑ Characterize Existing Channel:
  - Shape
  - Alignment
  - Bed Controls (embedded wood, large rock, bedrock)
  - Profile
  - Substrate Composition
  - Floodplain Connectivity

# Generalized Stream Classification

(from Montgomery and Buffington, 1993)





# Longitudinal Channel Profile

- Survey profile along channel thalweg
- Extend survey well past influence of instream structure  
Recommend Min Profile Length = 20 channel widths  
upstream/downstream of structure influence
- Survey captures bedforms (pool depths, riffles crests)
- Survey “forcing features” controlling grade  
Note long-term stability of each forcing feature
- Survey base and top of features controlling grade  
Bedrock, large colluvium, embedded wood, debris jams,  
check-dams, culvert inverts, stream confluence...



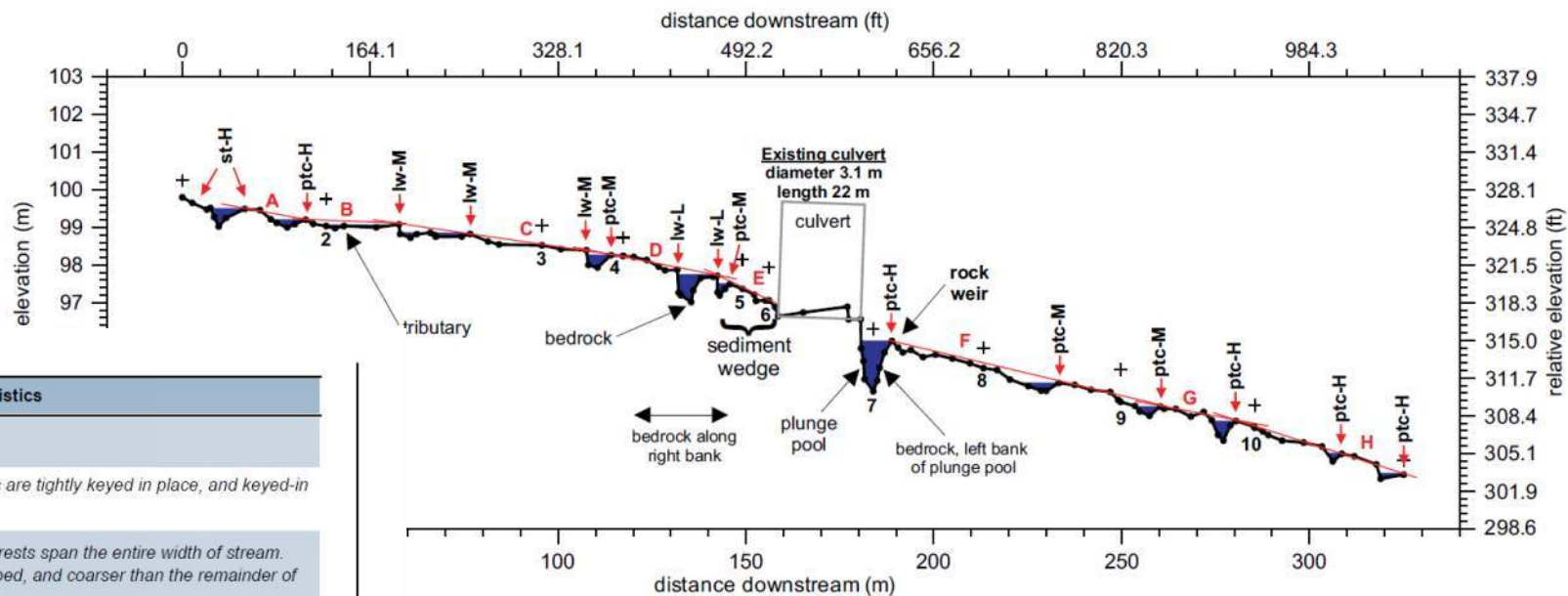


# Annotated Longitudinal Profile

- channel-bed profile
- ▽ pool
- + top of bank/floodplain
- 2 cross section location
- ↓ grade control
- ptc-H pool tail crest, high stability
- ptc-M pool tail crest, moderate stability
- st-H step, high stability
- lw-M log weir, moderate stability
- lw-L log weir, low stability
- slope segments (A,B,C,D,E,F,G,H)

segment	elevation change (ft)	segment length (ft)	gradient	% gradient difference between successive segments	maximum residual pool depth (ft)	number of grade controls	distance between grade controls (ft)
A	0.94	53.17	0.0178	n/a	1.54	2	53.2
B	0.41	81.53	0.0050	-71.9	0.34	2	81.7
C	2.68	185.21	0.0145	190.4	1.07	4	62.0, 101.7, 21.6
D	1.78	92.69	0.0193	32.9	2.29	3	57.7, 34.8
E	0.73	11.01	0.0665	245.2	0.99	2	11.2
culvert	0.27	72.09	0.0037	-94.4	4.38	2	72.2
F	5.70	234.28	0.0243	551.5	0.82	3	145.7, 88.6
G	1.27	66.25	0.0192	-21.0	1.70	2	66.3
F,G	6.97	300.53	0.0232	-4.6 <sup>a</sup>	1.70	4	145.7, 88.6, 66.3
H	4.69	151.32	0.0309	60.8 <sup>b,c</sup>	0.68	3	92.2, 59.1

a. Percent gradient difference when compared to slope segment F.  
 b. Percent gradient difference when compared to slope segment G.  
 c. When compared to combined slope segments of F and G, the percent gradient difference is 33.3%



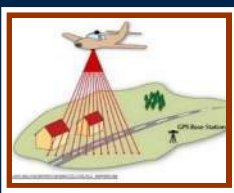
From: USFS 2008 Stream Simulation Design Manual

## Stability Rating Table from USFS 2008 Stream Simulation Manual

Table 5.3—A qualitative method for determining channel-bed structure stability.

Structure composition	Stability Rating	Structure Characteristics
Bedrock	High	Bedrock ledges or falls span entire stream width
Boulder-cobble steps	High	Boulder-cobble steps span entire width of stream. Rocks are tightly keyed in place, and keyed-in material extends below base of scour pool below step.
Cobble-boulder or cobble-gravel pool tail crests or riffle crests	High	Cobble-boulder or cobble-gravel pool tail crests or riffle crests span the entire width of stream. Particles are tightly packed, embedded into the channel bed, and coarser than the remainder of the channel bed.
Log	High	Wood is sound and well anchored, spanning entire stream width.
Composite log and rock	High	Wood is sound and well anchored, may or may not span entire stream width. Rock pieces are well keyed in place and bridge gaps so that composite structure controls width from bank to bank.
Boulder-cobble steps, cobble-gravel steps	Moderate	Steps do not span entire width of stream or are loosely keyed in place. Keyed-in rocks may not extend below base of scour pool below step. Alternatively, step key pieces are not in contact with





# Extended Long Profile with LiDAR

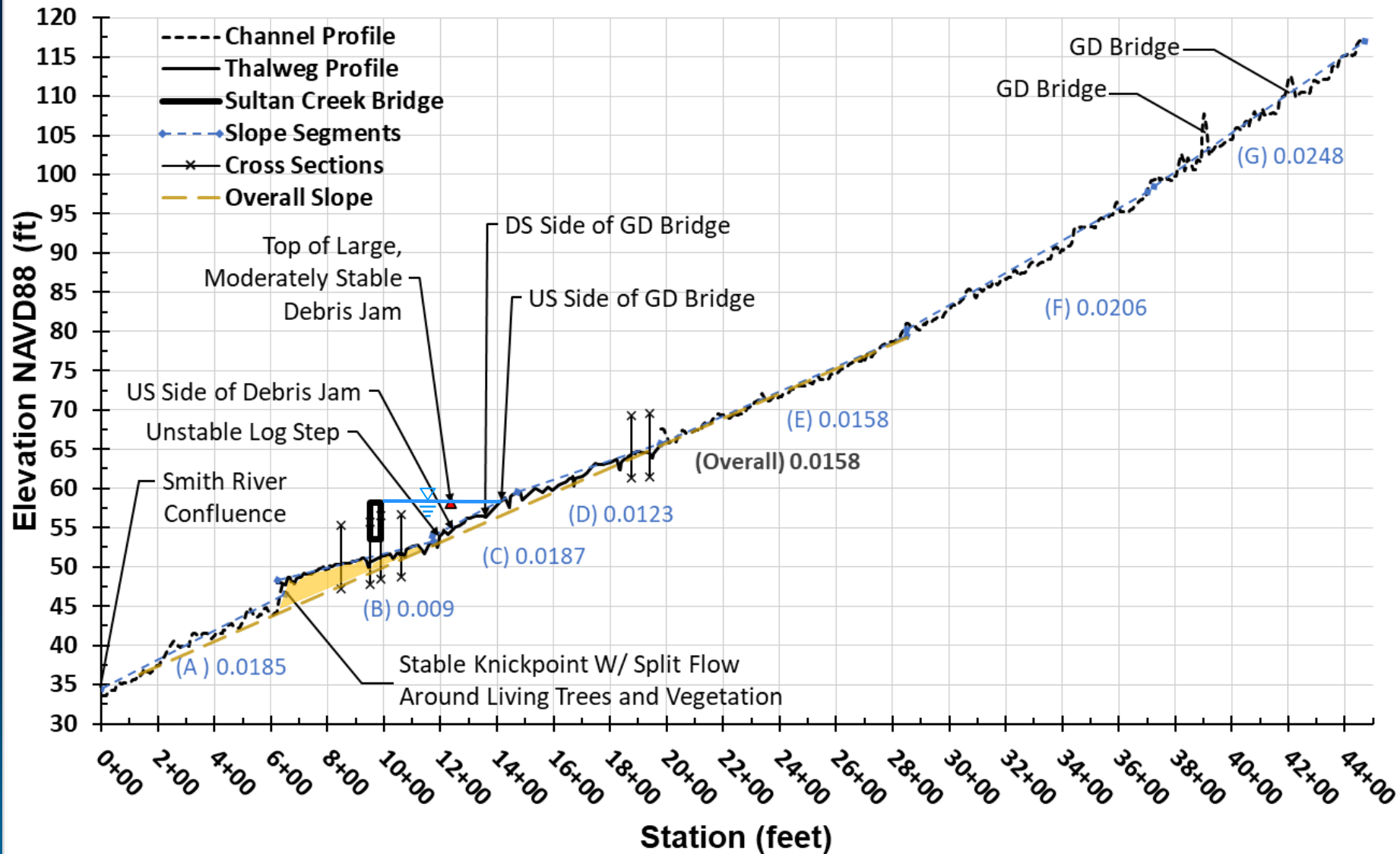
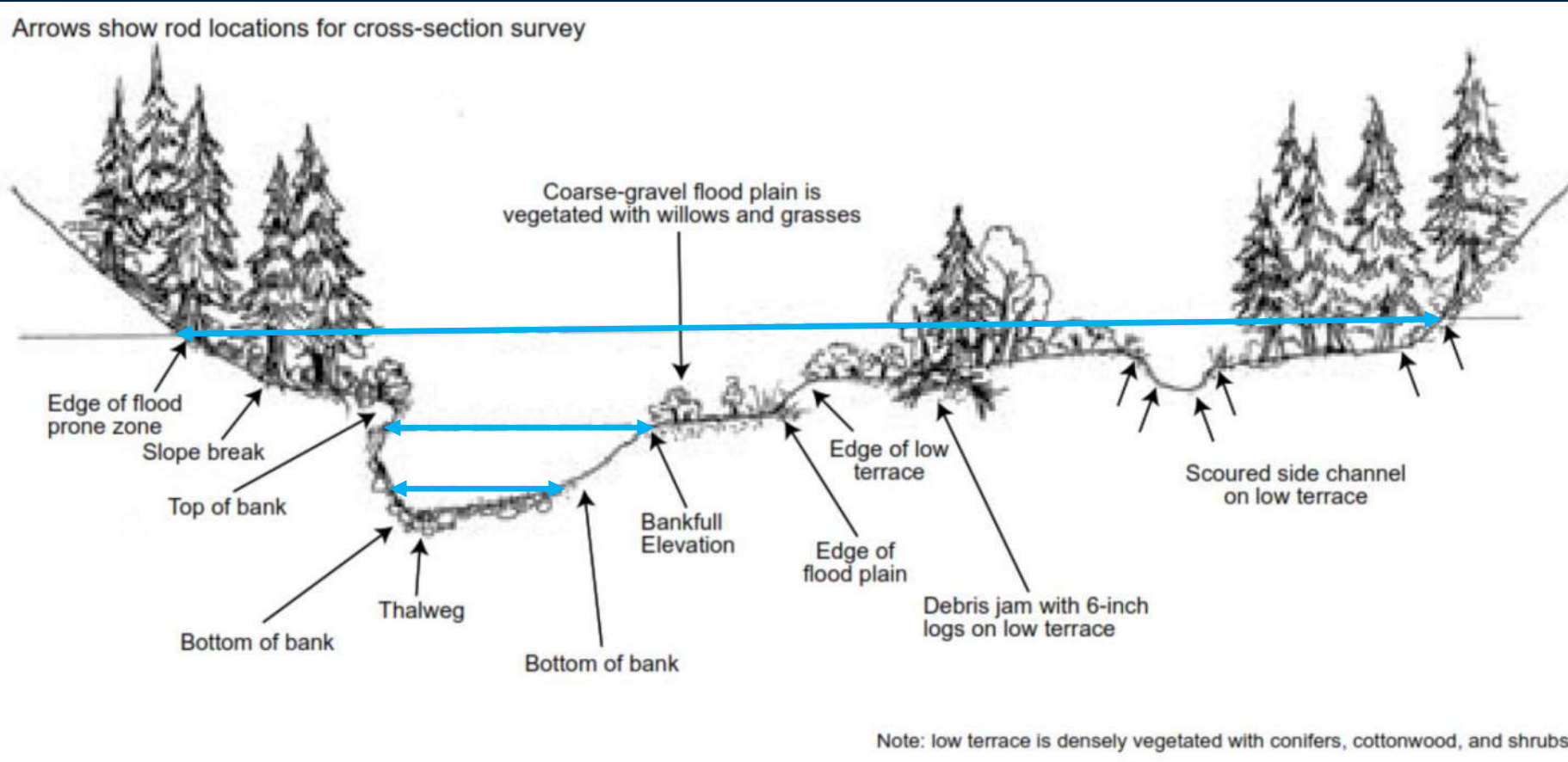


FIGURE 2-3. CHANNEL PROFILE THROUGH PROJECT REACH GENERATED FROM COMBINED LiDAR DEM AND GROUND SURVEY POINTS, WITH CHANNEL SLOPE SEGMENTS DEFINED.



# Surveying Channel and Floodplain Features



# Need for Geomorphic Assessments for Fish Passage Projects

- ❑ Post-project assessment of 16 CA State Highway fish passage project
- ❑ Identified common design and performance issues among sites
- ❑ Provided recommended for improving fish passage project outcomes
- ❑ Overarching recommendation was:

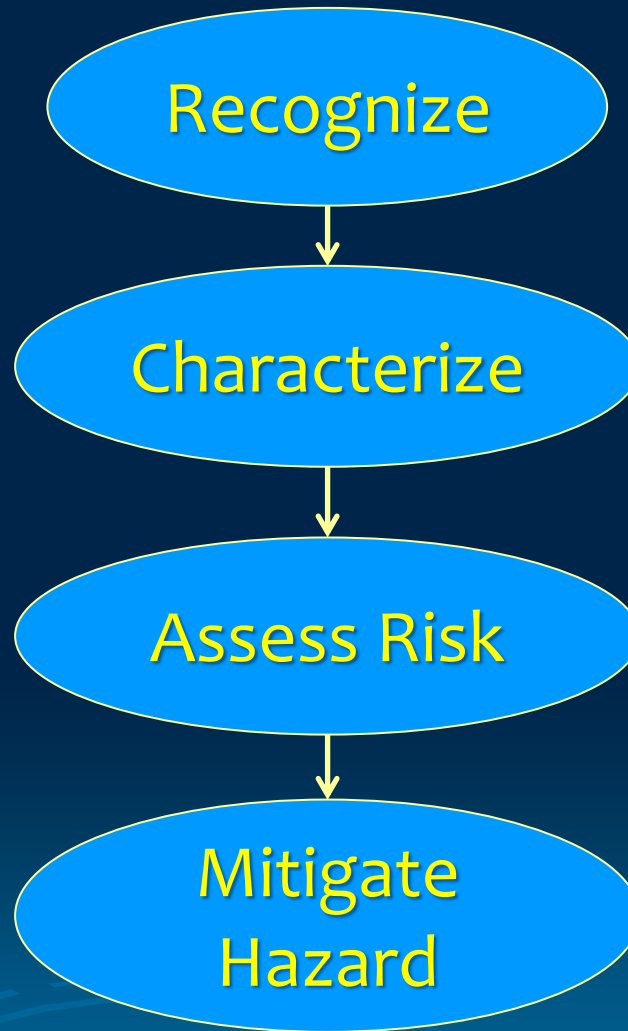
**Institute Geomorphic Site Assessments as a Standard Study for Project Development**

Includes evaluating geomorphic-based project risks

- ✓ response of project to channel instabilities
- ✓ project influences on stream



# Incorporating Geomorphic Risk Assessments into Passage Projects



**Resource:** Castro, Janine. 2003. *Geomorphic Impacts of Culvert Replacement and Removal: Avoiding Channel Incision*. USFWS

$$\text{Risk} = \text{Hazard Severity} \times \text{Probability of Occurrence}$$



# Channel Incision and Anthropogenic Knickpoints



Harrison Grade Creek, Calif.

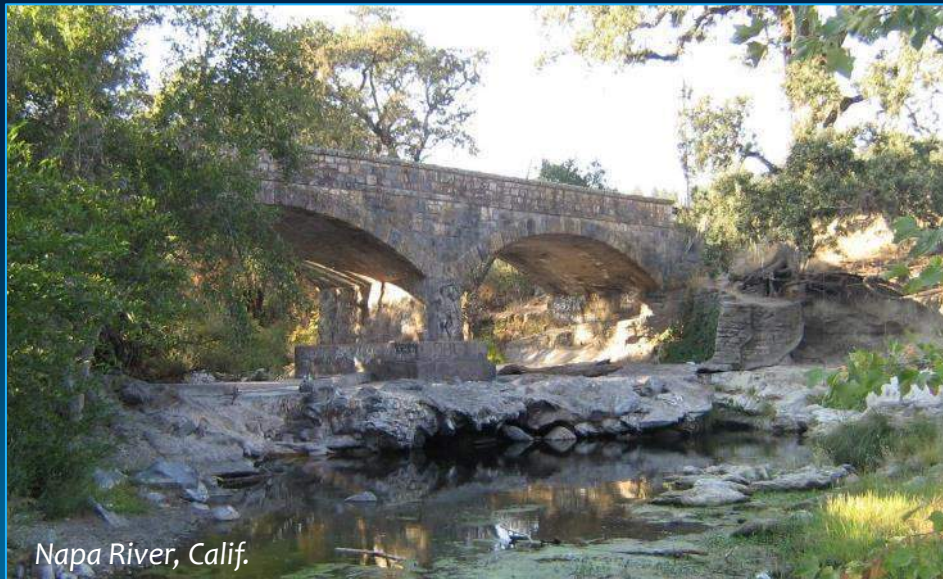
Perched Culverts



Alameda Creek, Calif.

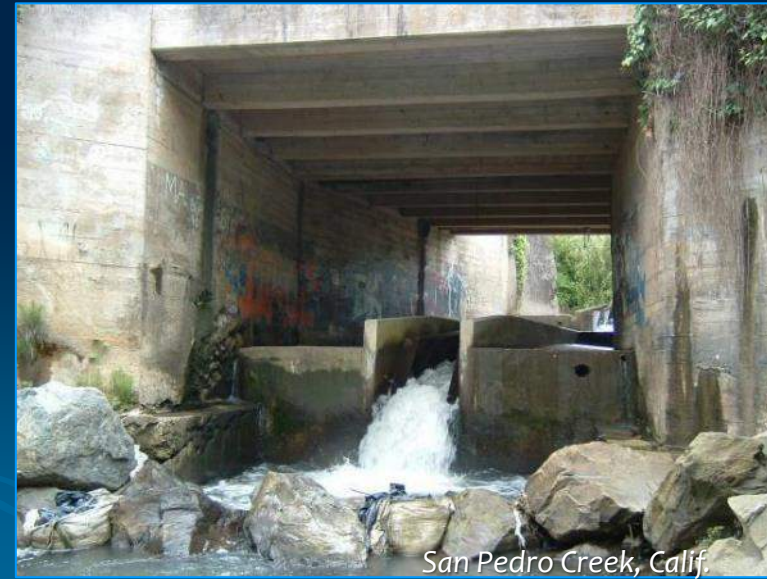
Photo: Jon Stead

Armored Utility Crossings



Napa River, Calif.

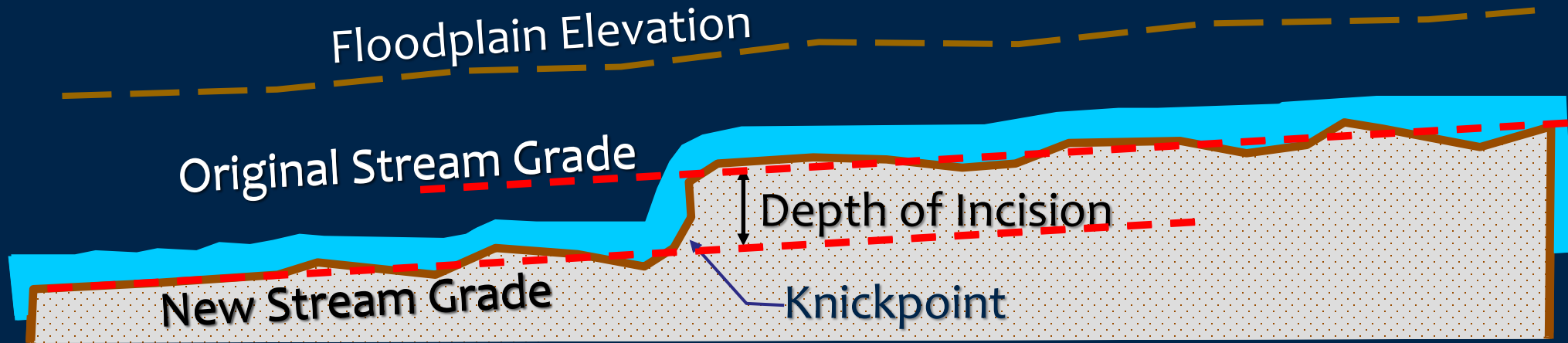
Perched Bridge Aprons



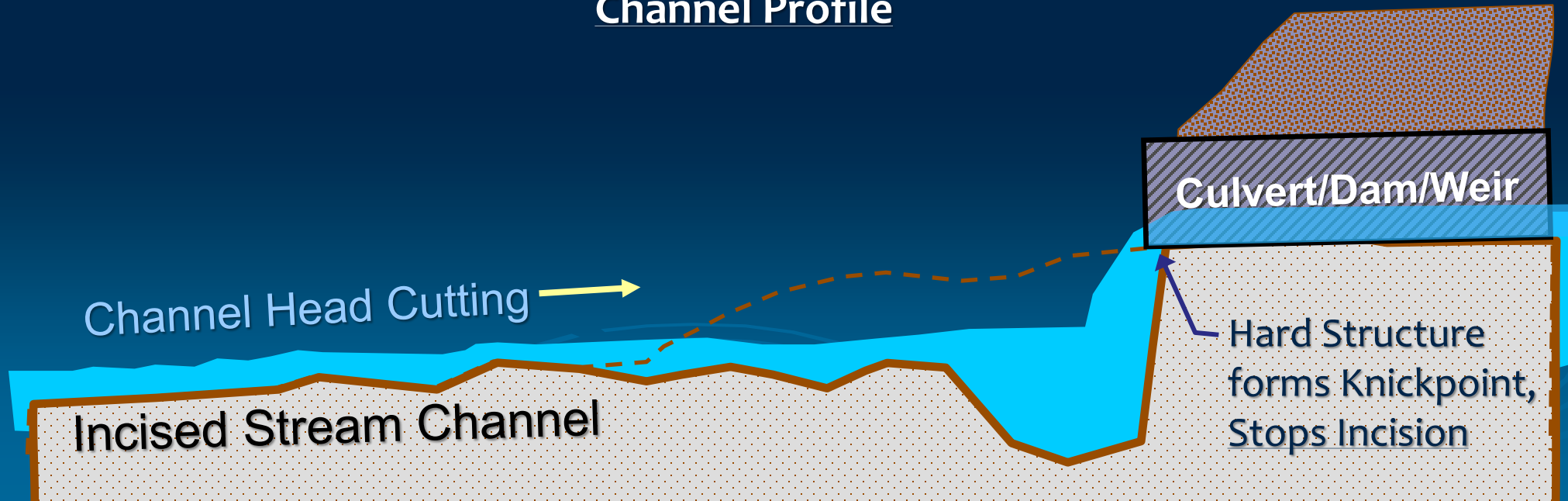
San Pedro Creek, Calif.

Perched Fishway Entrances

# Process of Incision: Headwater Migration



**Channel Profile**



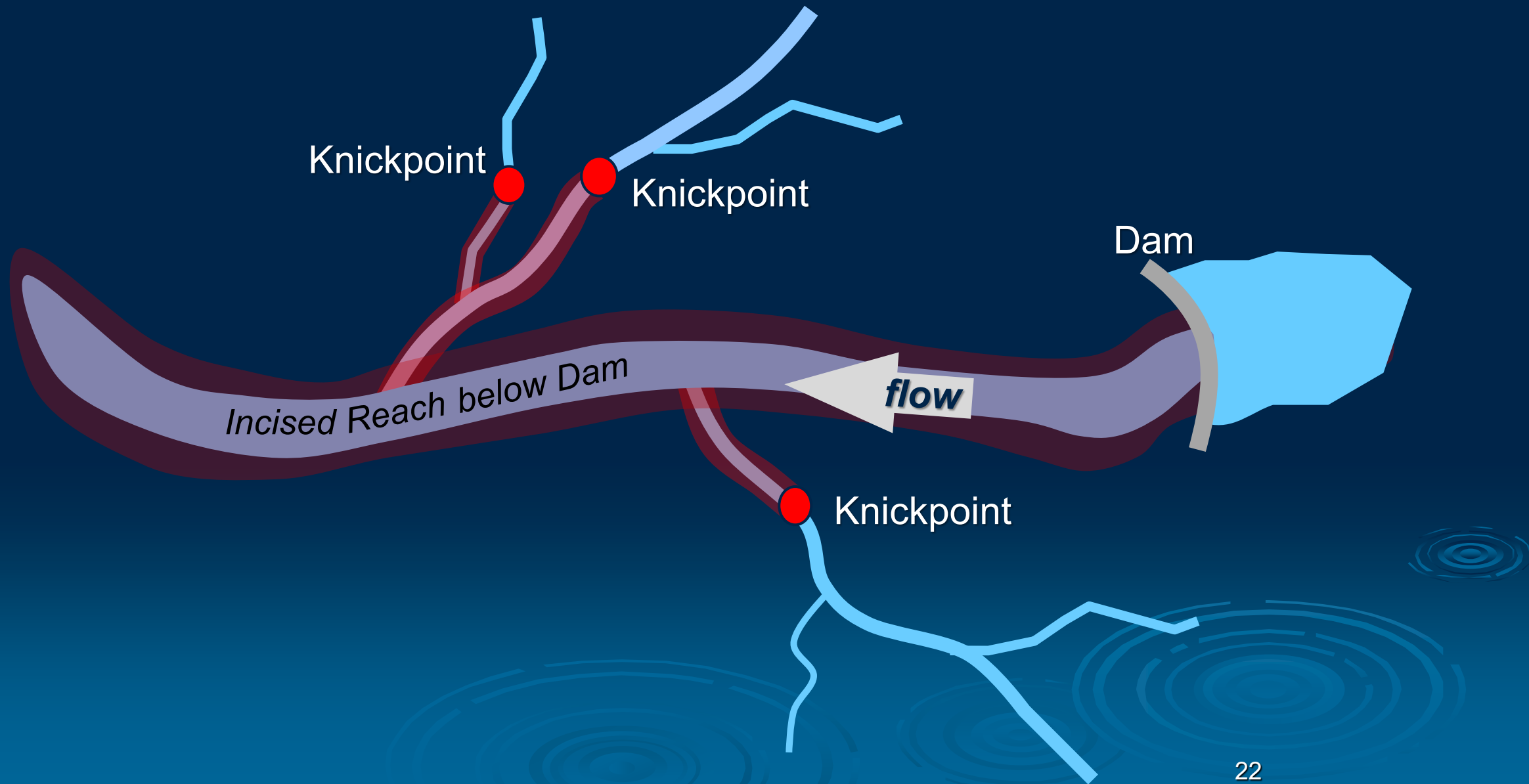
# We Initiate of the Incision More often then Not



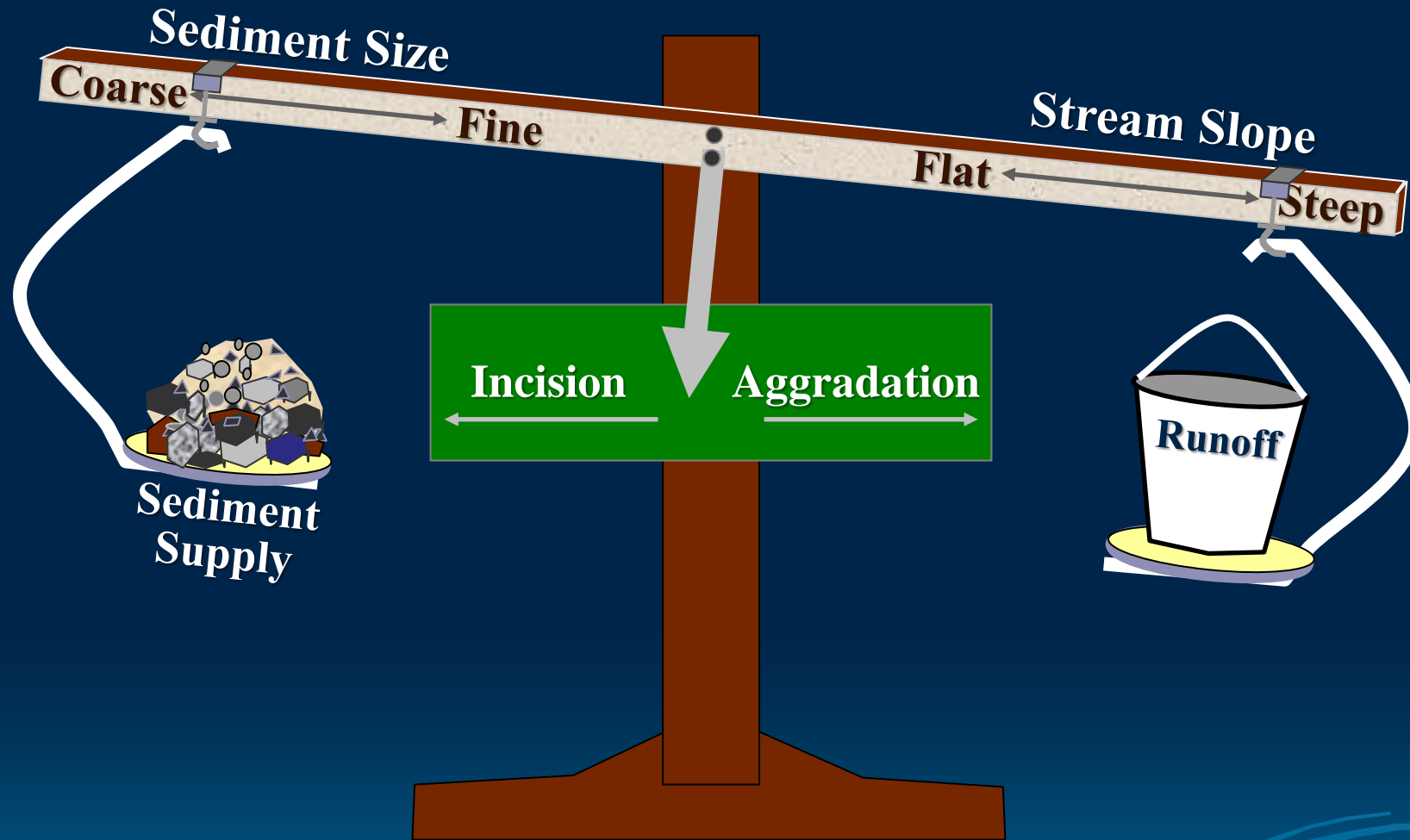
Photo from US Army Corps of Engineers



# Incision Often Moves Headward into Tributaries



# Dynamic Equilibrium and Causes of Incision

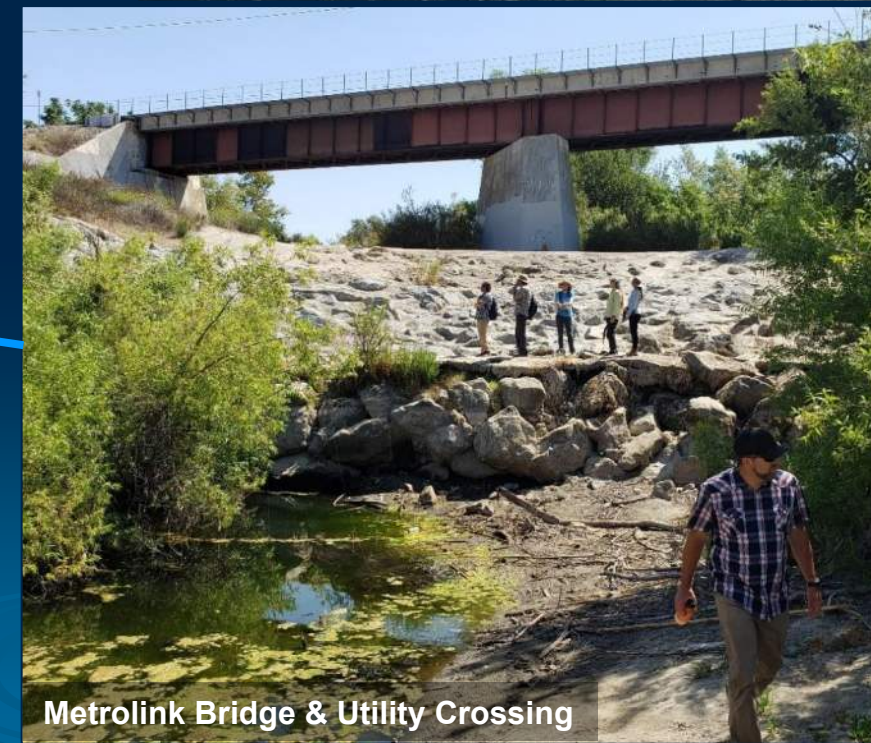
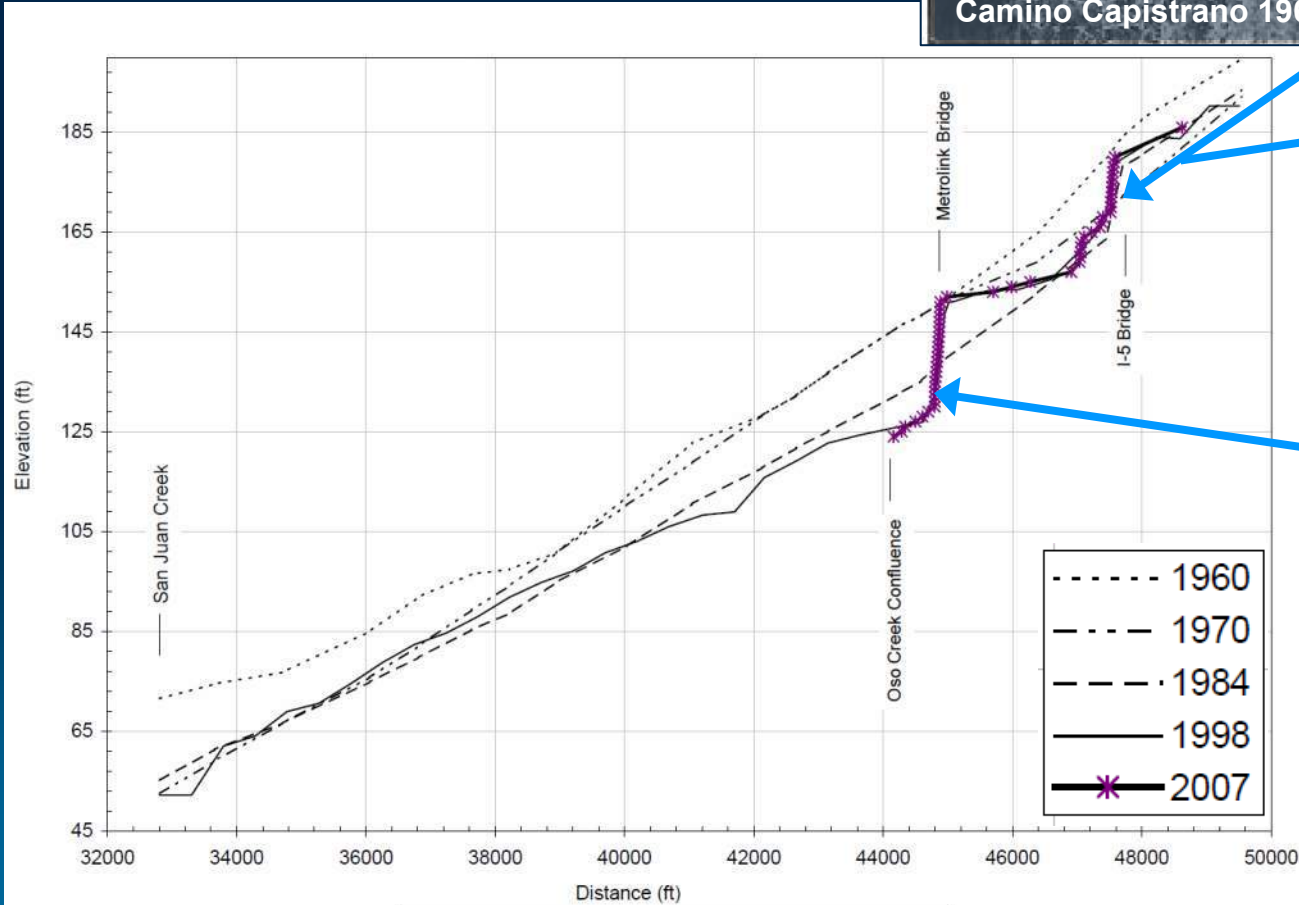


*The Lane Relationship (from Lane, 1955)*

# Trabuco Creek Historical Incision and Knickpoints

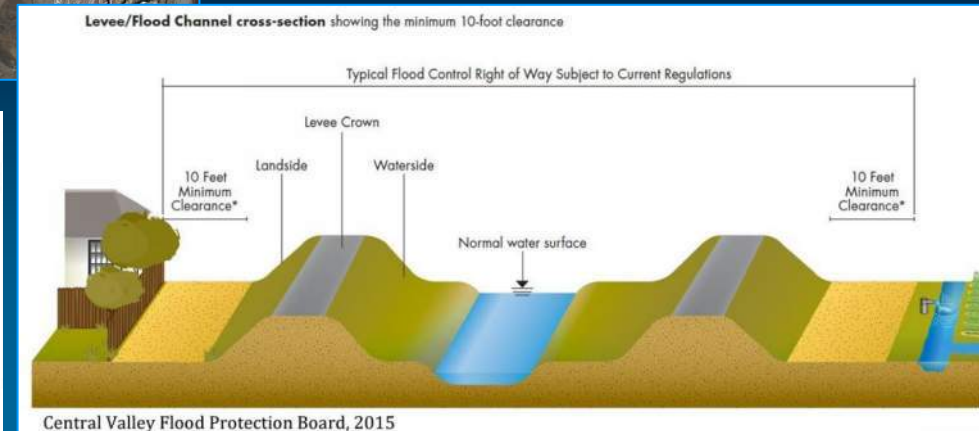
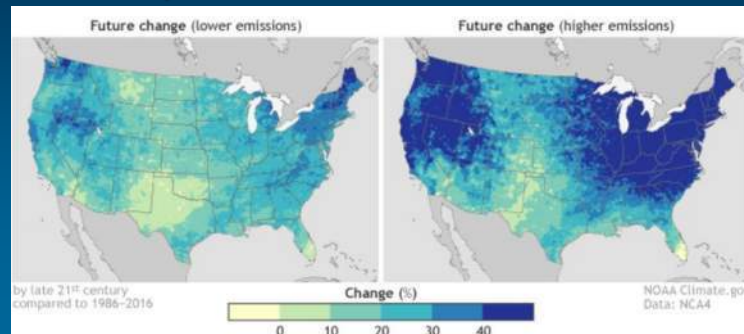
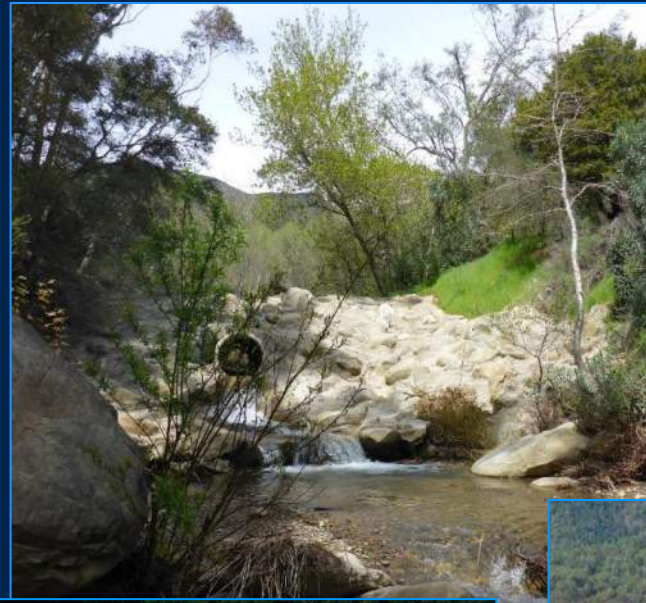
## Causes of Incision

- ✓ Urban Development (Runoff↑)
- ✓ Gravel Mining (Sediment Supply↓)
- ✓ Channelization (Channel Slope↑)



# Causes of Channel Incision

- ✓ Decrease in sediment supply  
(dams, gravel extraction, urbanization)
- ✓ Channel encroachment  
(Increase depth of flow, bed & bank shear)
- ✓ Channelization  
(shortening/steepening the channel)
- ✓ Increase in runoff  
(urbanization, agriculture, road density)
- ✓ Loss of wood in streams  
(removal of large wood, beaver dams)
- ✓ Climate change/extreme weather  
(increase in extreme flow events)



# Channel Evolution Model (CEM)

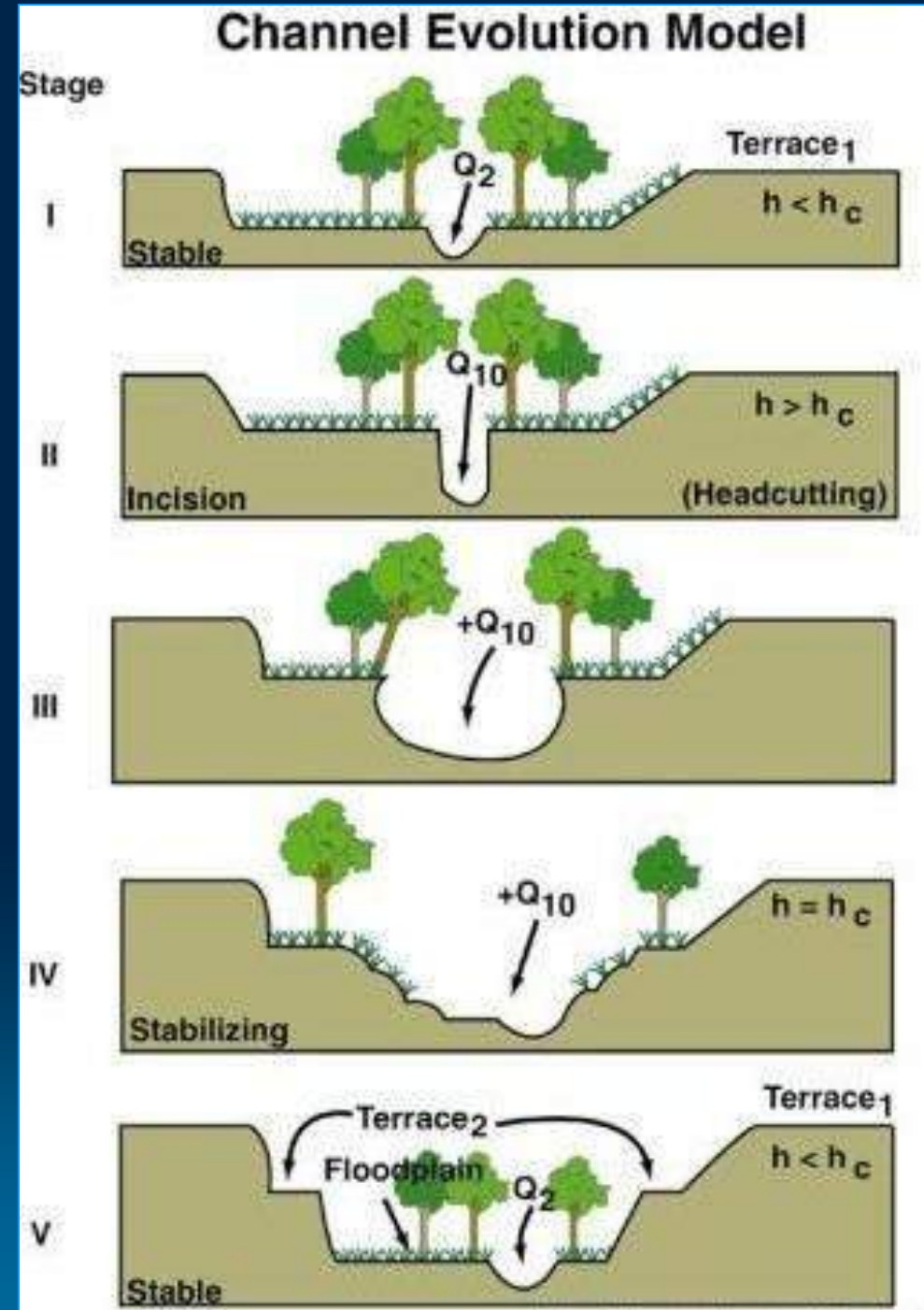


Stage II Incision



Stage III/IV Widening/Stabilizing

from Schumm, Harvey, and Watson. 1984.





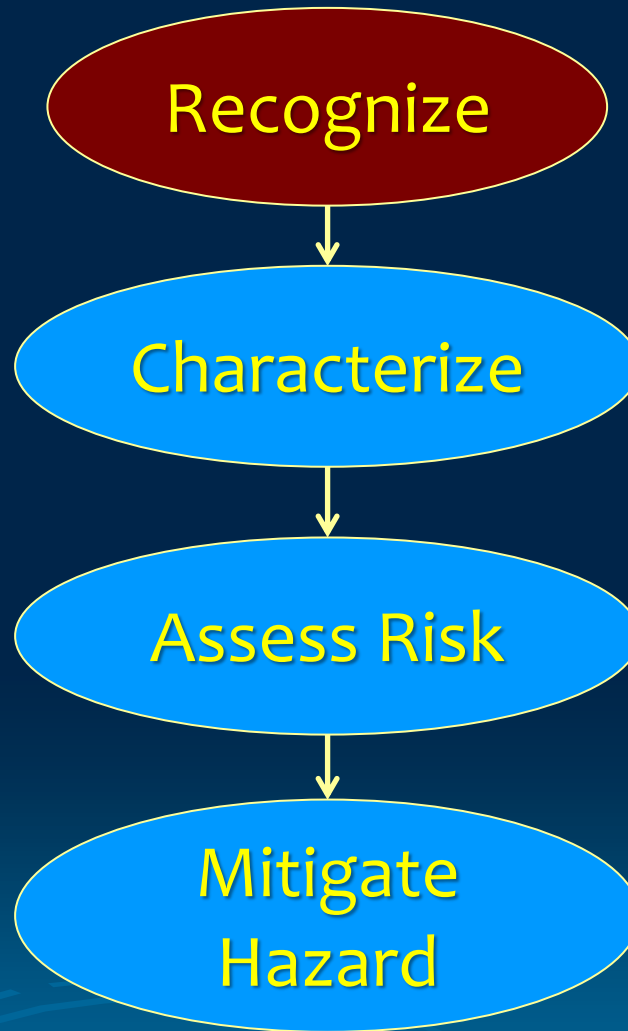
Incising Channel, Toby Tubby Creek Watershed, Mississippi

# Allowing Incision to Migrate Upstream without Considering Risk



Jordan Creek at  
Parkway Drive

# Incorporating Geomorphic Risk Assessments into Passage Projects



**Resource:** Castro, Janine. 2003. *Geomorphic Impacts of Culvert Replacement and Removal: Avoiding Channel Incision*. USFWS



# Step 1 - Recognition: Incision or Local Scour?



photo: Kozmo Bates

## From further downstream – Pipe at Stream Grade



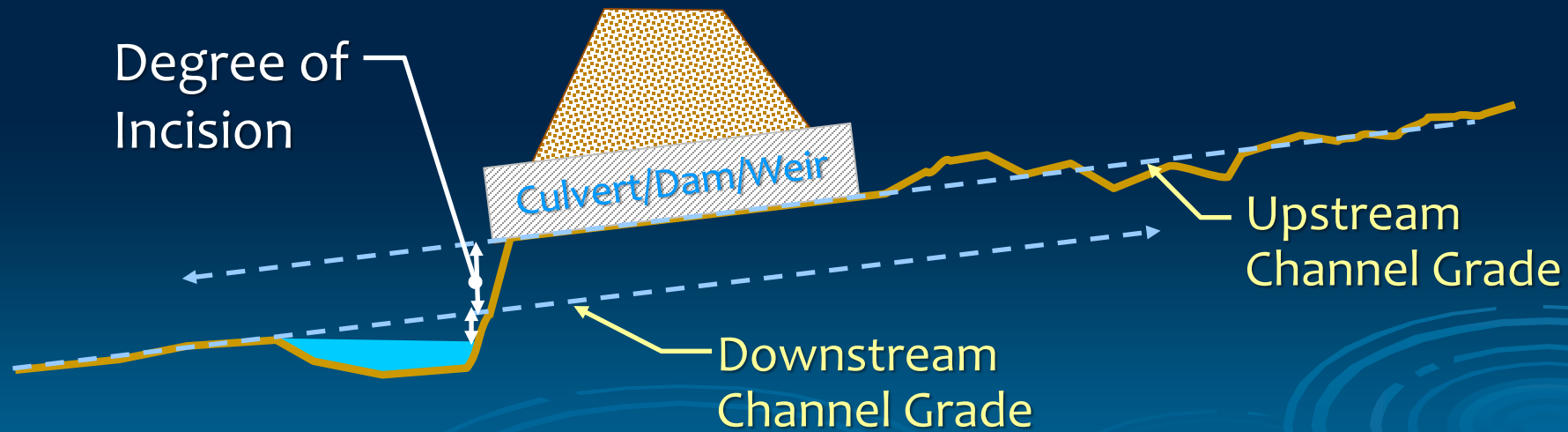
*photo: Kozmo Bates*

# Recognize Local Scour vs. Incision

Drop formed by Plunge Pool  
(Localized Scour)

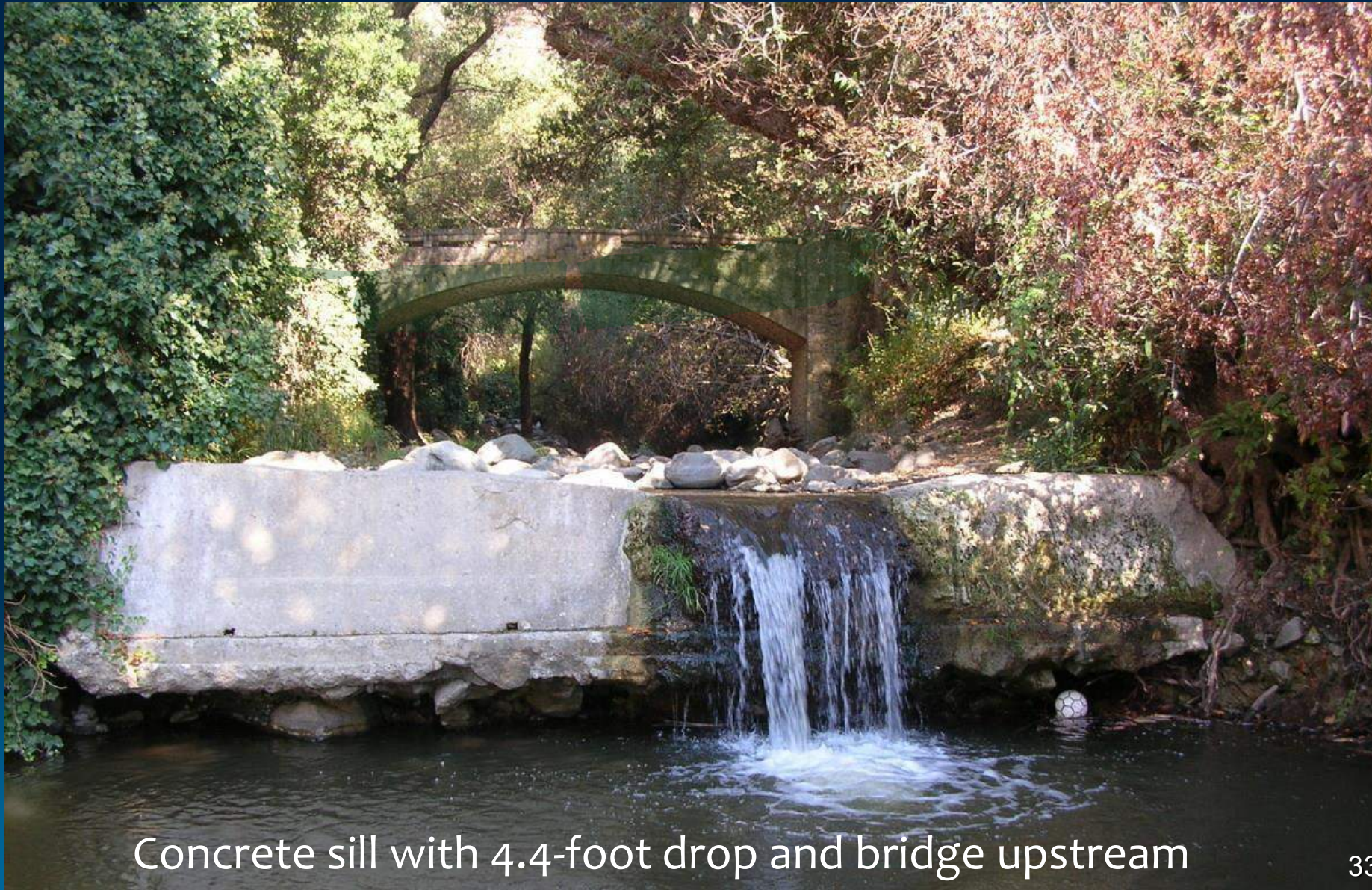


Drop from Channel Incision



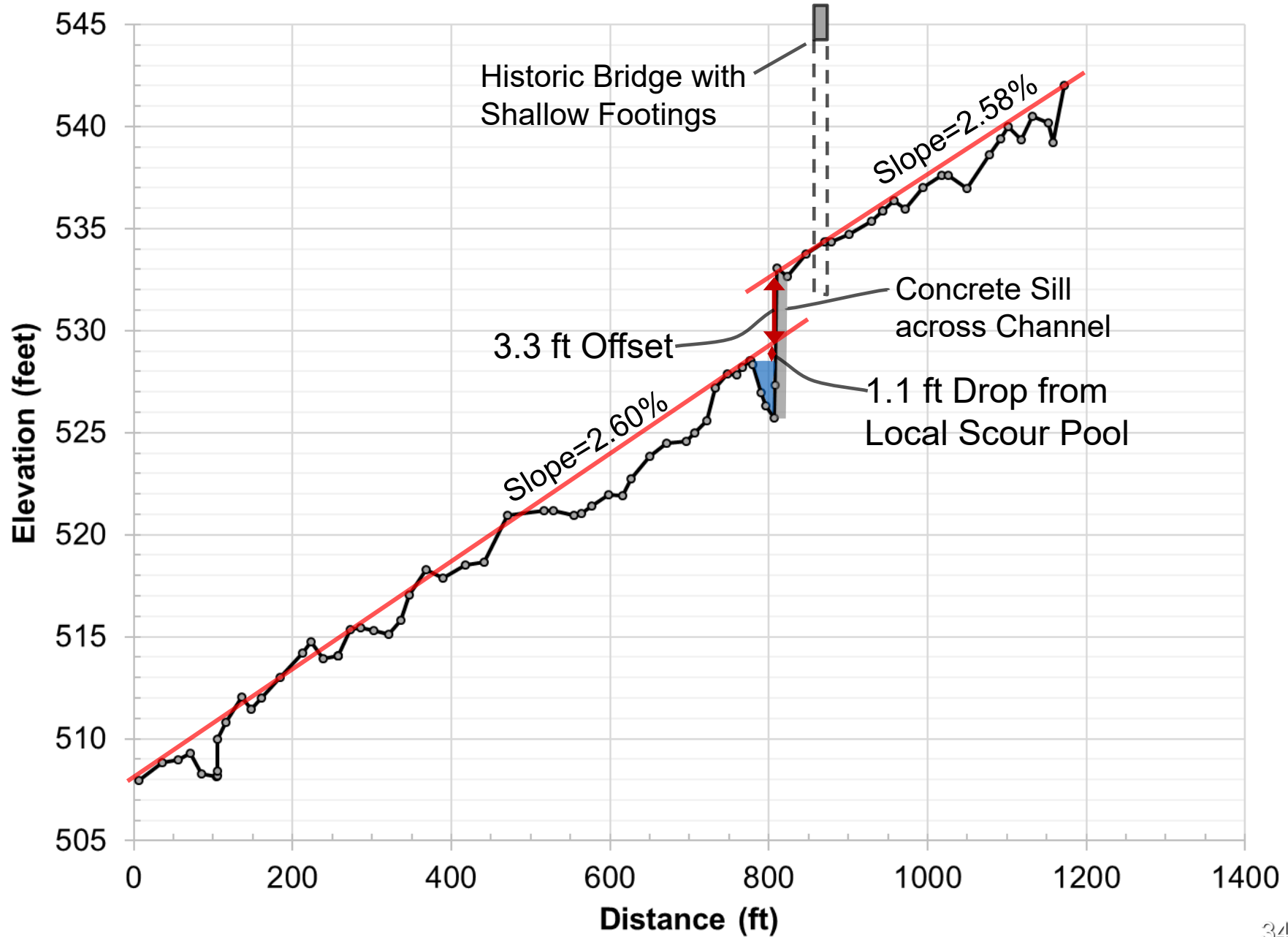
# Channel Profile Interpretation

Incision Knickpoint or Not?



Concrete sill with 4.4-foot drop and bridge upstream

# Channel Profile Interpretation



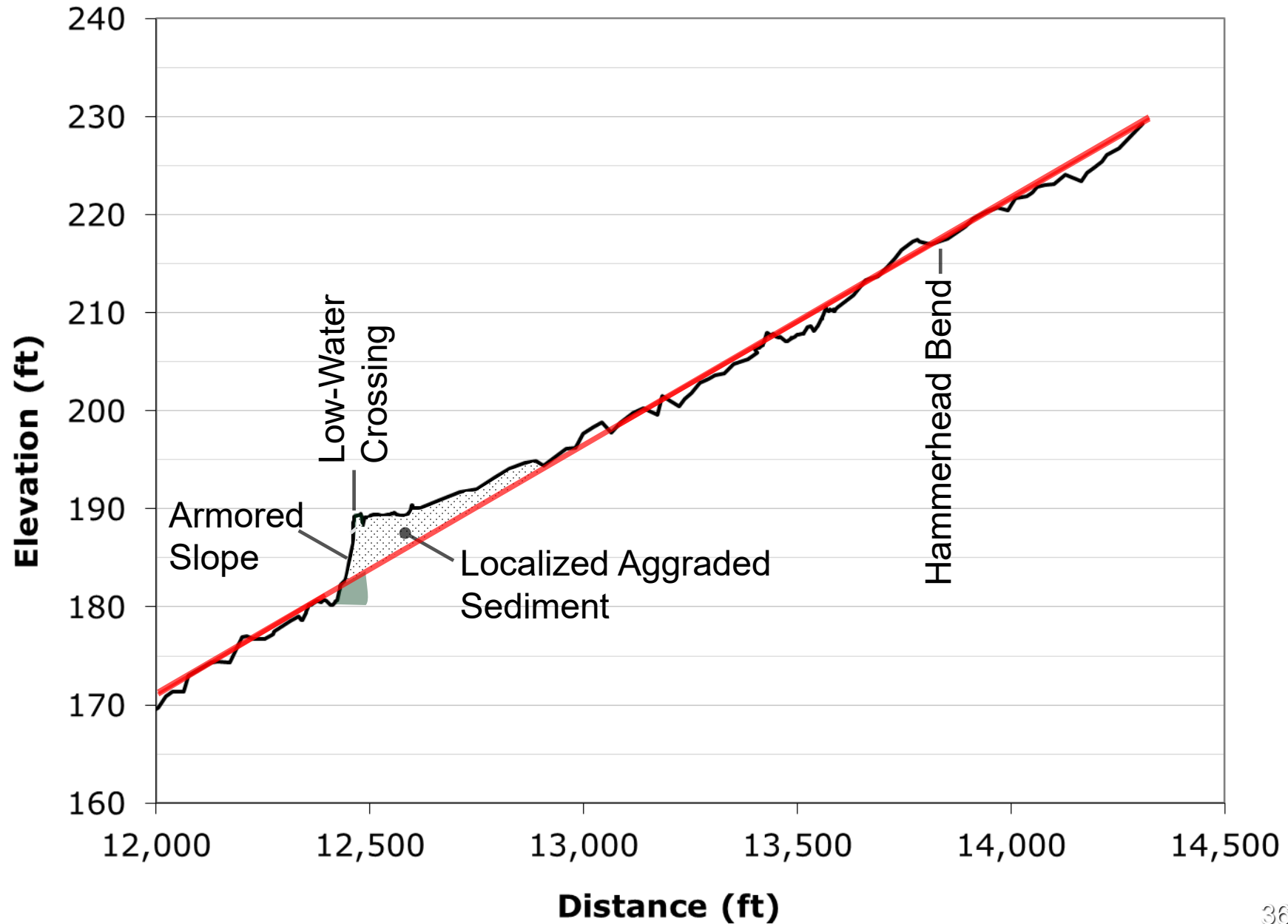
# Channel Profile Interpretation

Incision Knickpoint or Not?



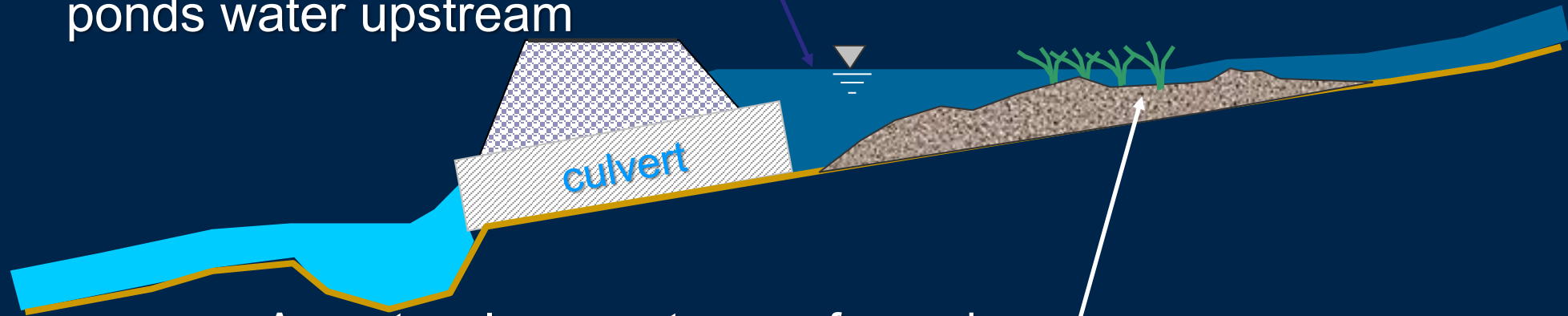
Vented low-water crossing (ford) with 8.7 feet of drop.

# Channel Profile Interpretation

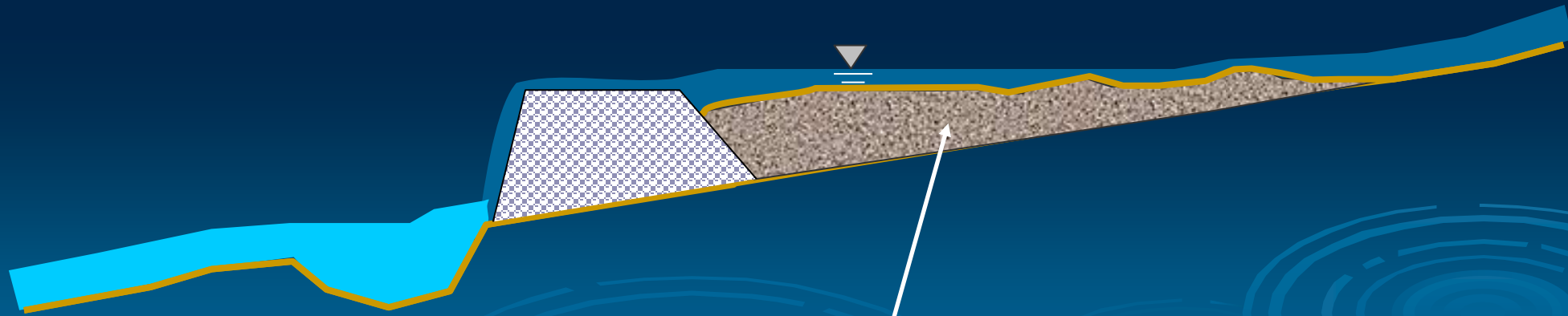


# Recognize Localized Aggradation

Undersized culvert frequently ponds water upstream



As water slows upstream of crossing, localized aggradation occurs



Low-Head Dam causes upstream aggradation

Address localized aggradation in project



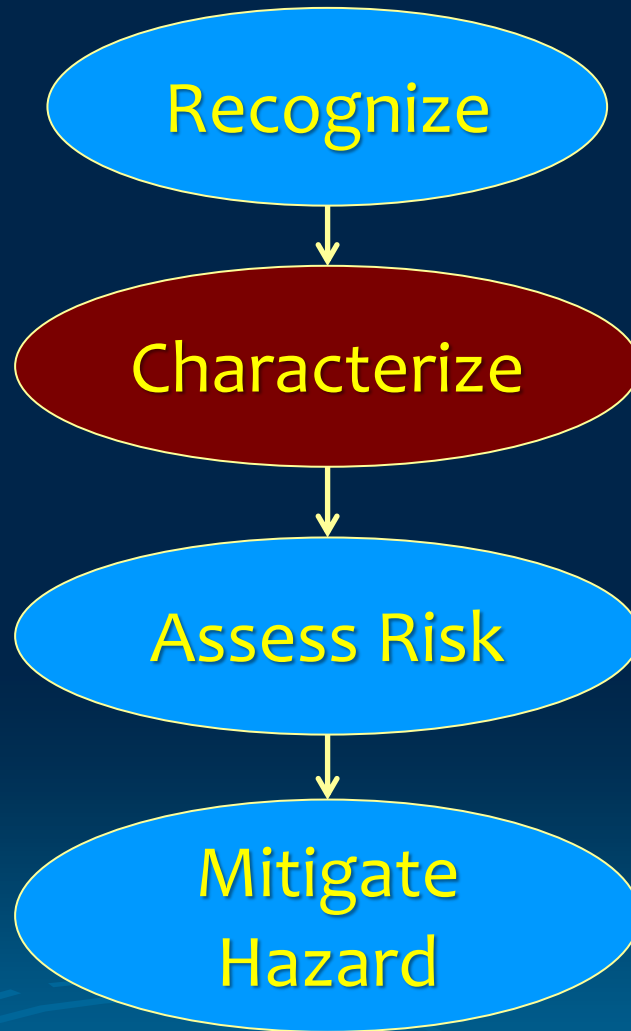
# Other Channel Incision Indicators

- ❑ **Toe of Bank is Vertical**  
Exposed roots, lack of sediment layering at streambed-banks interface
- ❑ **Actively Widening (Stage III)**  
Active bank failures, low depositional bars
- ❑ **Infrastructure/Cultural Features Exposed**  
Perched culverts or exposed bridge footings, aprons, and pipelines
- ❑ **Lack of Sediment Deposition**  
Erosion of channel bed down to bedrock or other resistant soil layers
- ❑ **Lack of Pools**  
Long reaches of riffles/runs without pools

List adapted from J. Castro, 2003



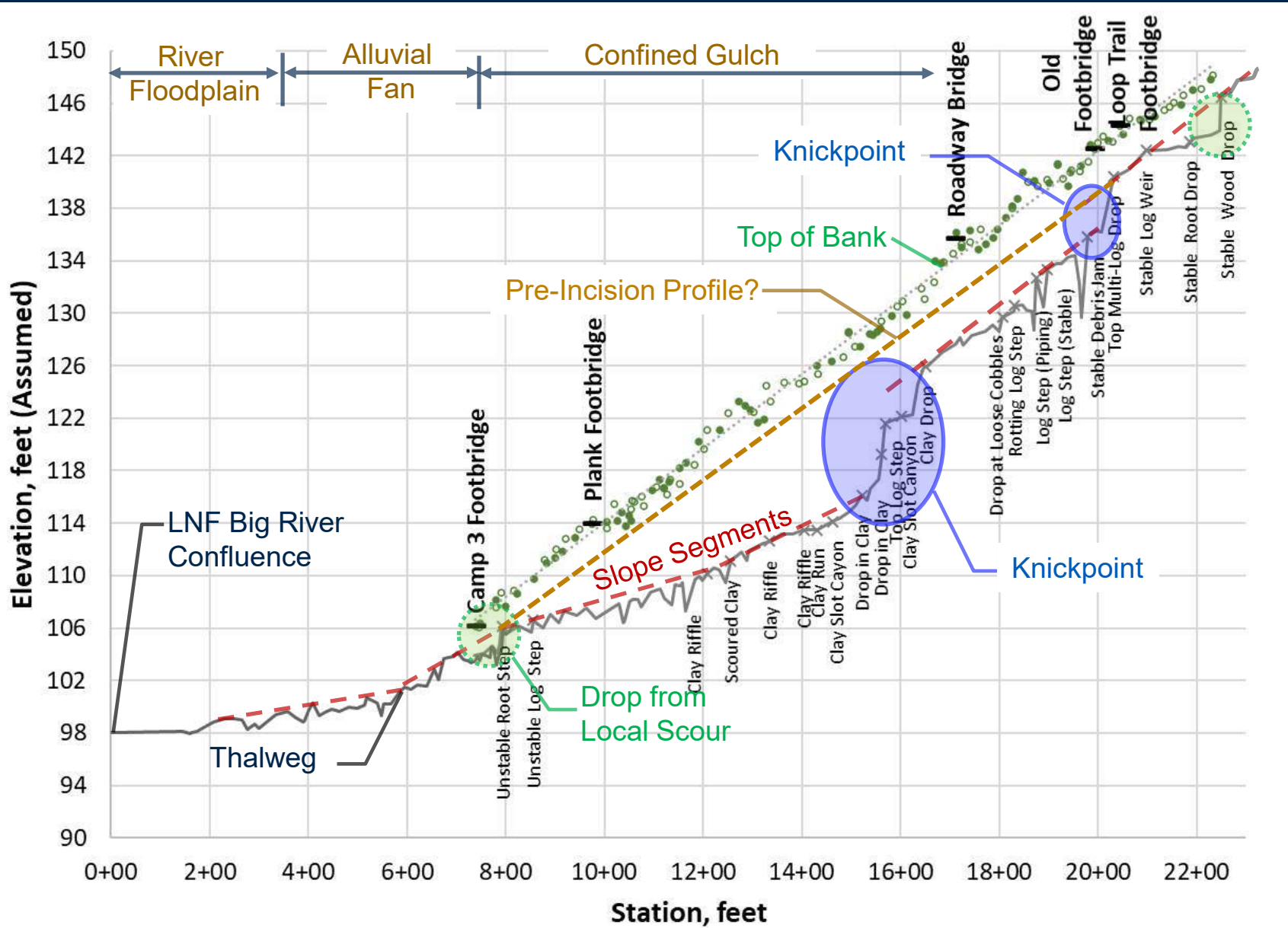
# Incorporating Geomorphic Risk Assessments into Passage Projects



**Resource:** Castro, Janine. 2003. *Geomorphic Impacts of Culvert Replacement and Removal: Avoiding Channel Incision*. USFWS

# Channel Profile Interpretation

## Slope Segments and Multiple Knickpoints

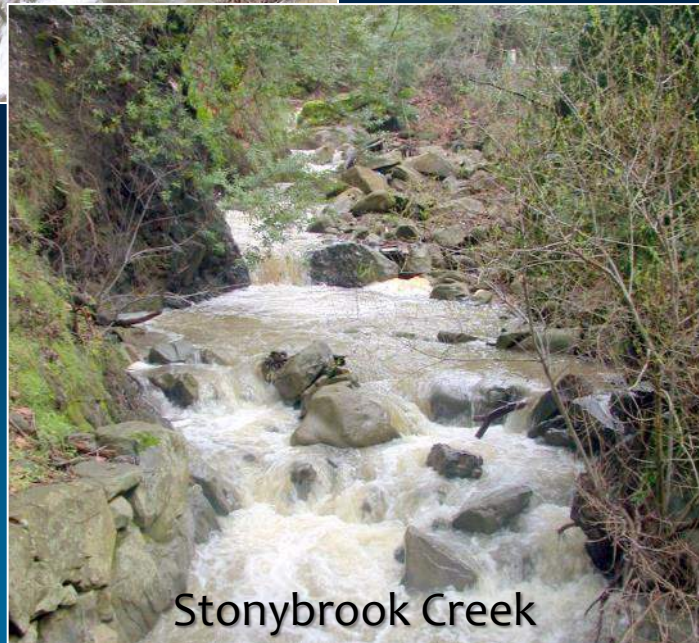


# Characterize Rate of Headward Incision

More mobile the bed material, more rapid the channel incises

## Boulder Channel

## Fine Grain Bed and Banks



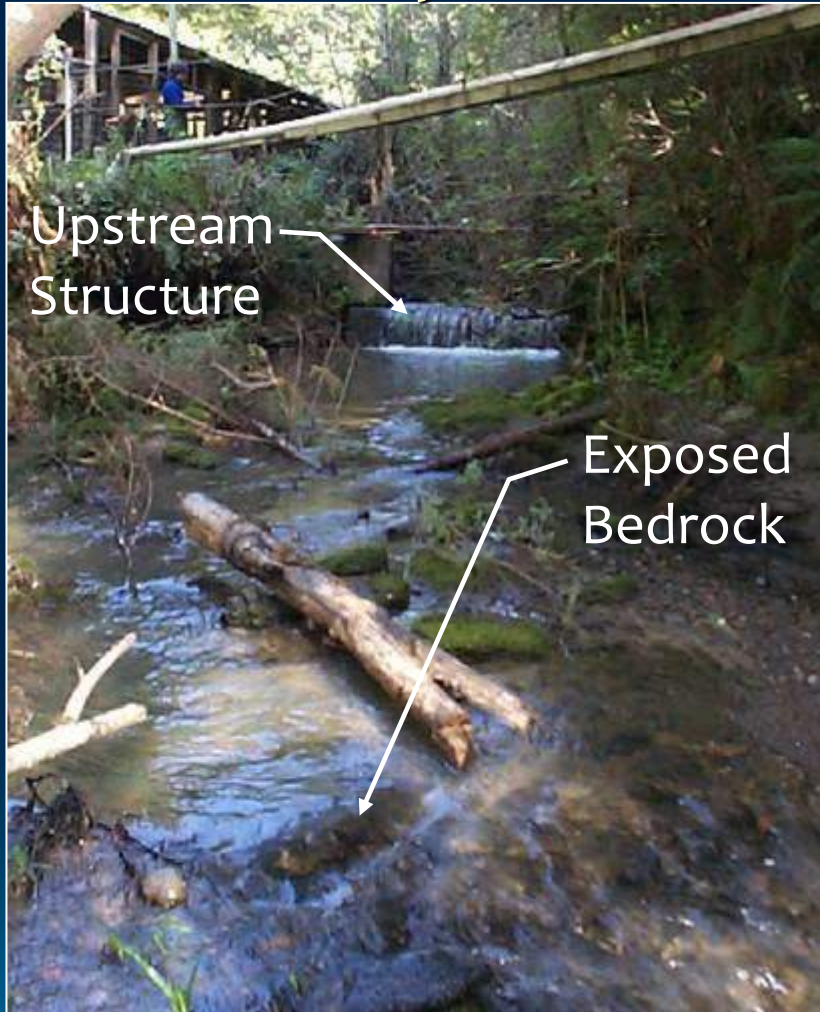
Stonybrook Creek



Auburn Ravine

# Risk Assessment - Extend of Uncontrolled Regrade

## McCready Gulch



Upstream of perched culvert,  
prior to removal

## Morrison Gulch

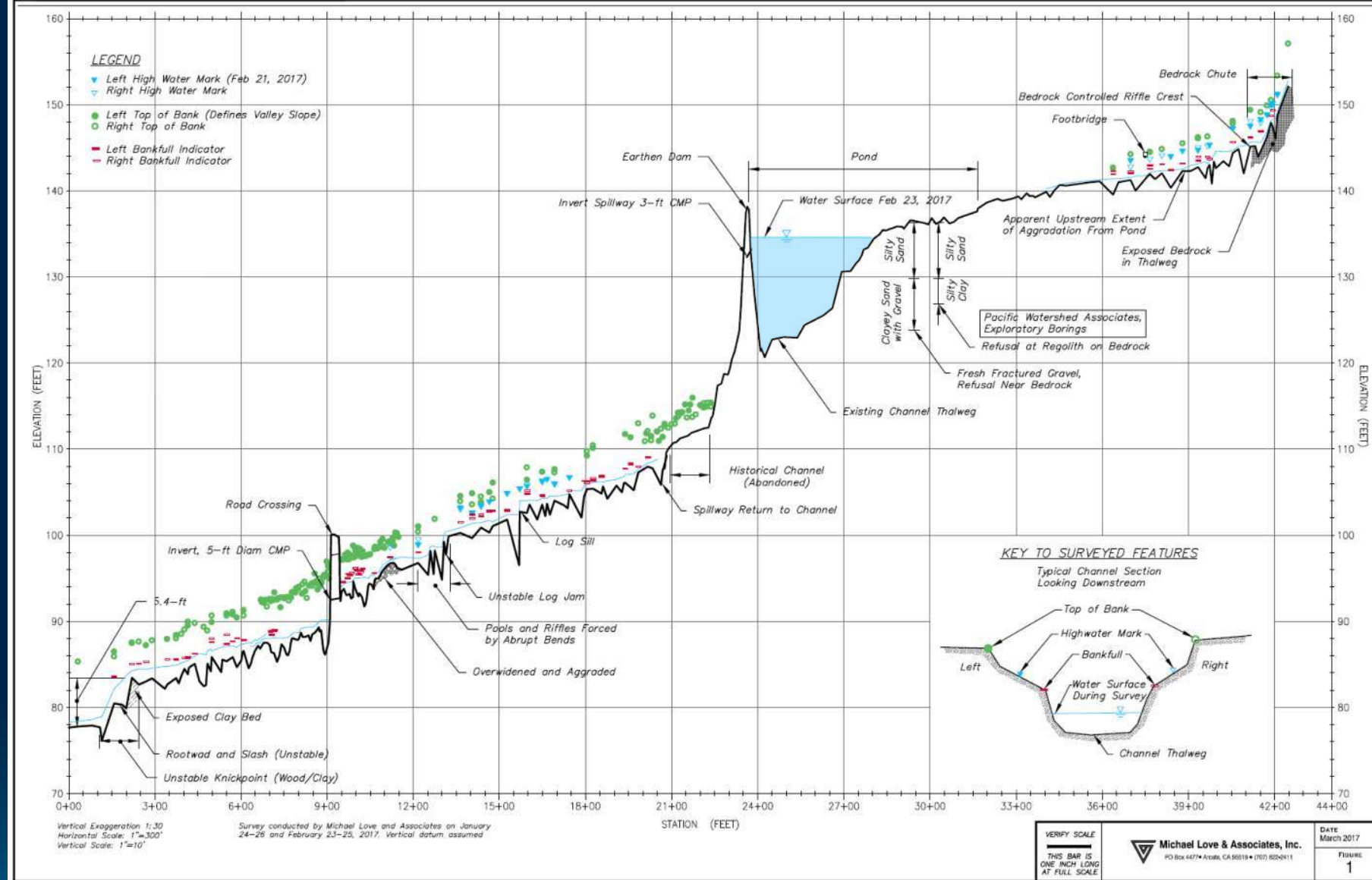


Channel upstream of culvert  
replacement and incision

# Neefus Gulch Profile Analysis

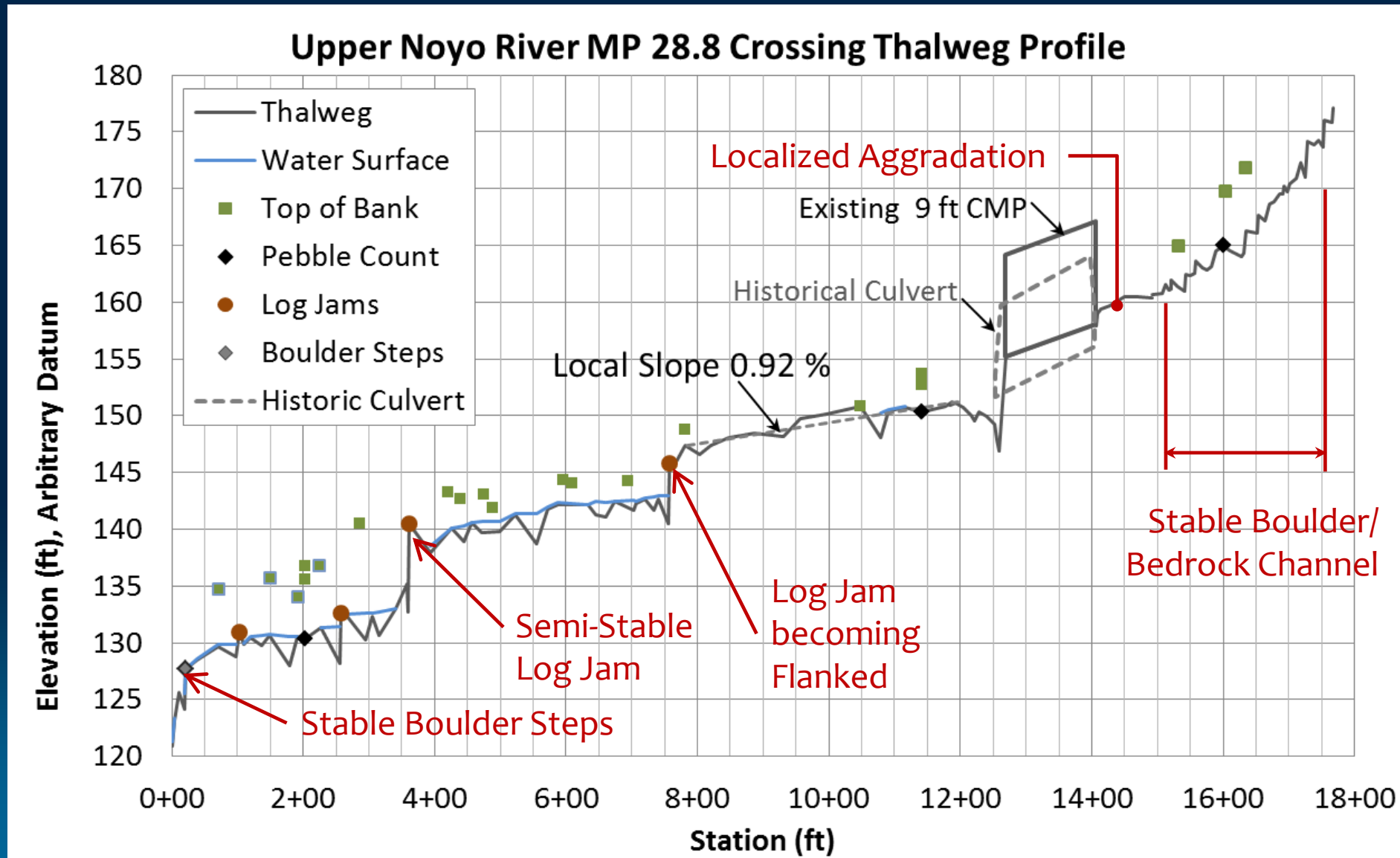
## Part I

## Group Exercise

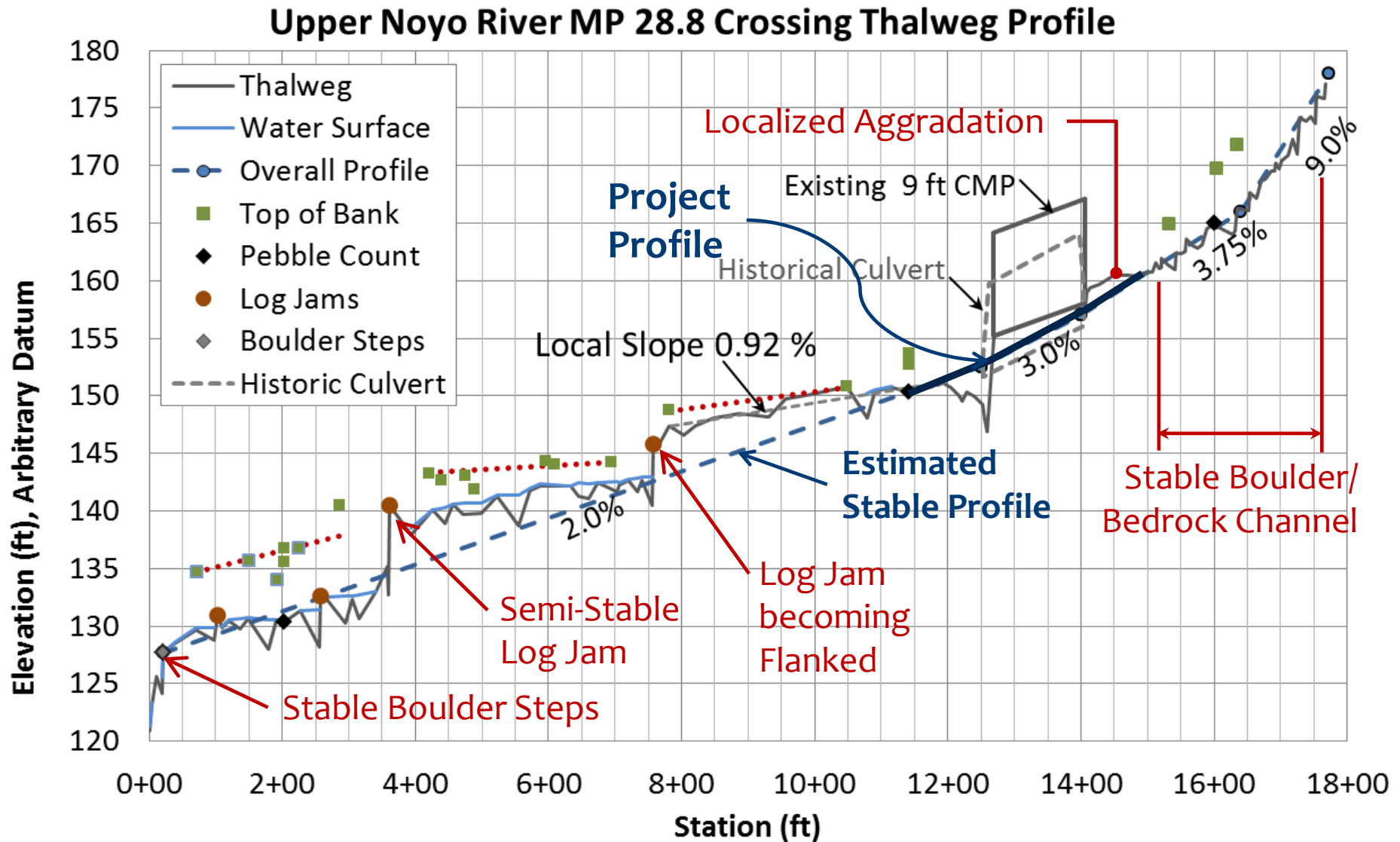


1. Identify slope segments
2. Identify knickpoints
3. Estimate historical channel profile through pond

# Establishing Channel's Vertical Adjustment Potential (VAP)



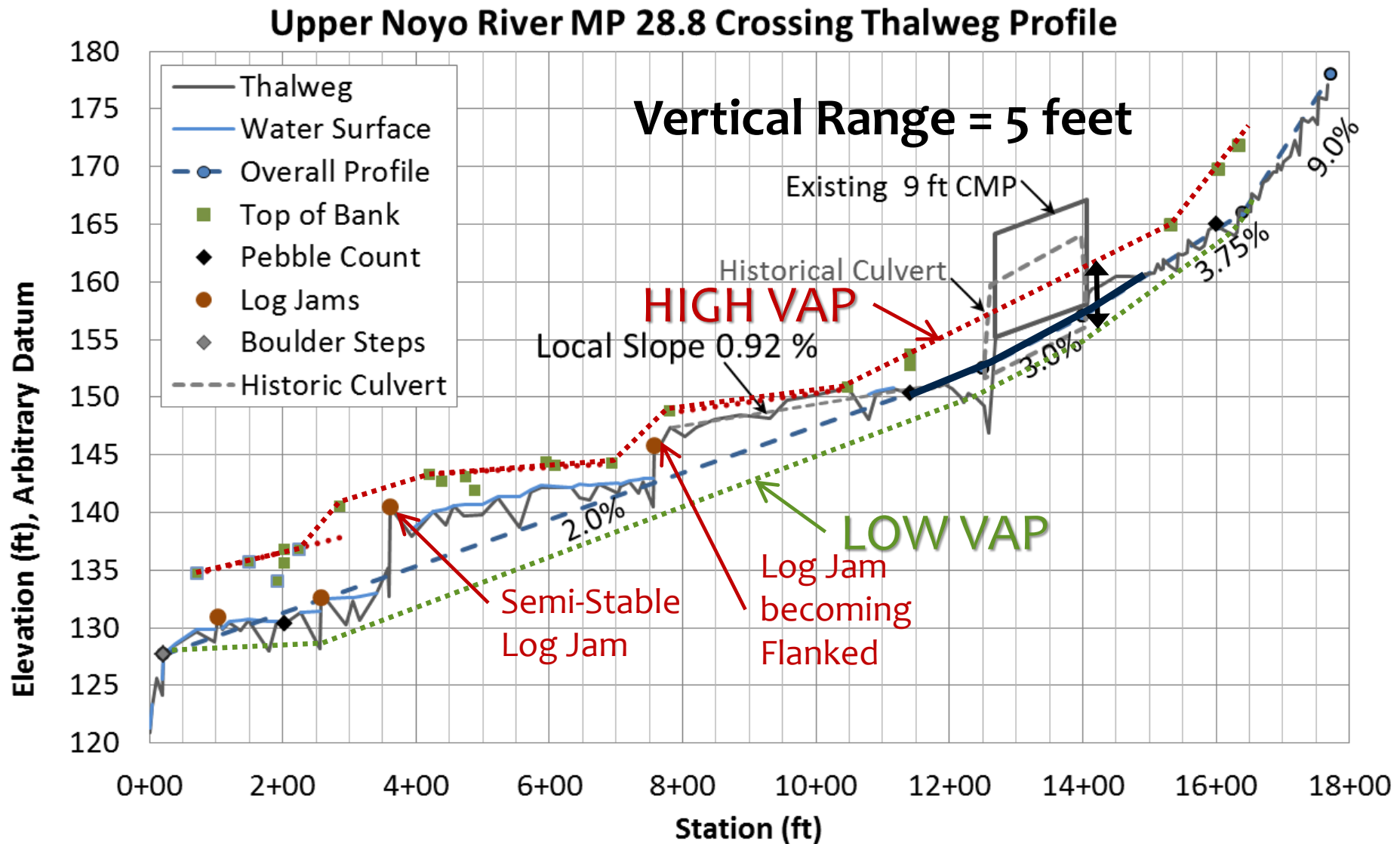
# Establishing Channel's Vertical Adjustment Potential (VAP)





# Vertical Adjustment Potential (VAP) Profiles

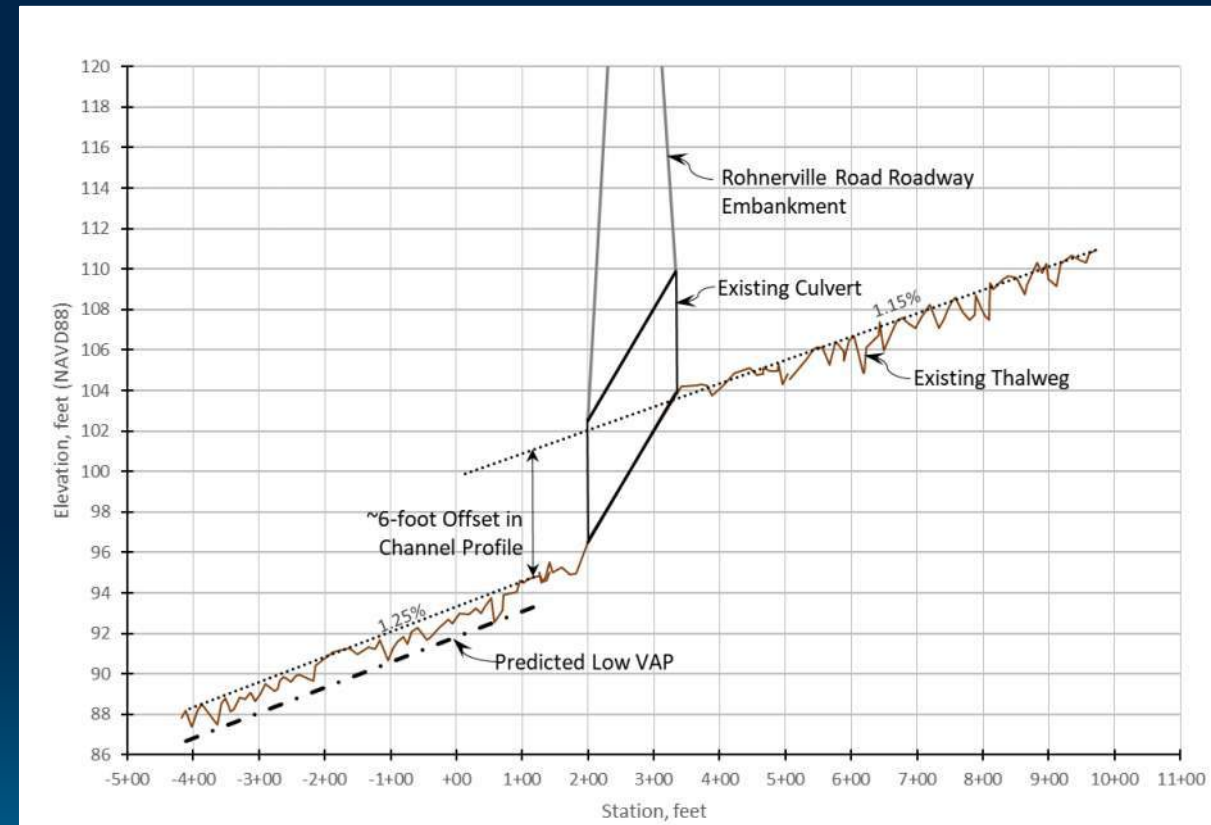
Estimates the range of possible channel profiles for life of project



# Establishing the Vertical Adjustment Potential (VAP)

Develop VAP with long profile and field investigations:

- ✓ Channel slopes
- ✓ Stability/mobility of channel type/material
- ✓ Channel controls and anticipated longevity [bedrock, large wood, colluvium, hard infrastructure]
- ✓ Knickpoints, evidence of active incision (downcutting) or aggradation
- ✓ Current stage and future projecting in Channel Evolution Model (I, II, III, IV, V)
- ✓ Pool scour depths (low VAP)
- ✓ Bankfull and floodplain elevations (high VAP)
- ✓ Historical information (existing invert elev. and slope)



# Application of Low and High Vertical Adjustment Potential (VAP)

## Low VAP Profile

- ❑ Set downstream project profile to accommodate Low VAP
- ❑ Set fishway entrances based on Low VAP
- ❑ Set elevation of structural elements (i.e. footings) based on Low VAP

## High VAP Profile

- ❑ Provide adequate hydraulic capacity to convey flows/debris at High VAP
- ❑ Mitigate lateral migration/ flanking at High VAP

# Channel Aggradation and High VAP

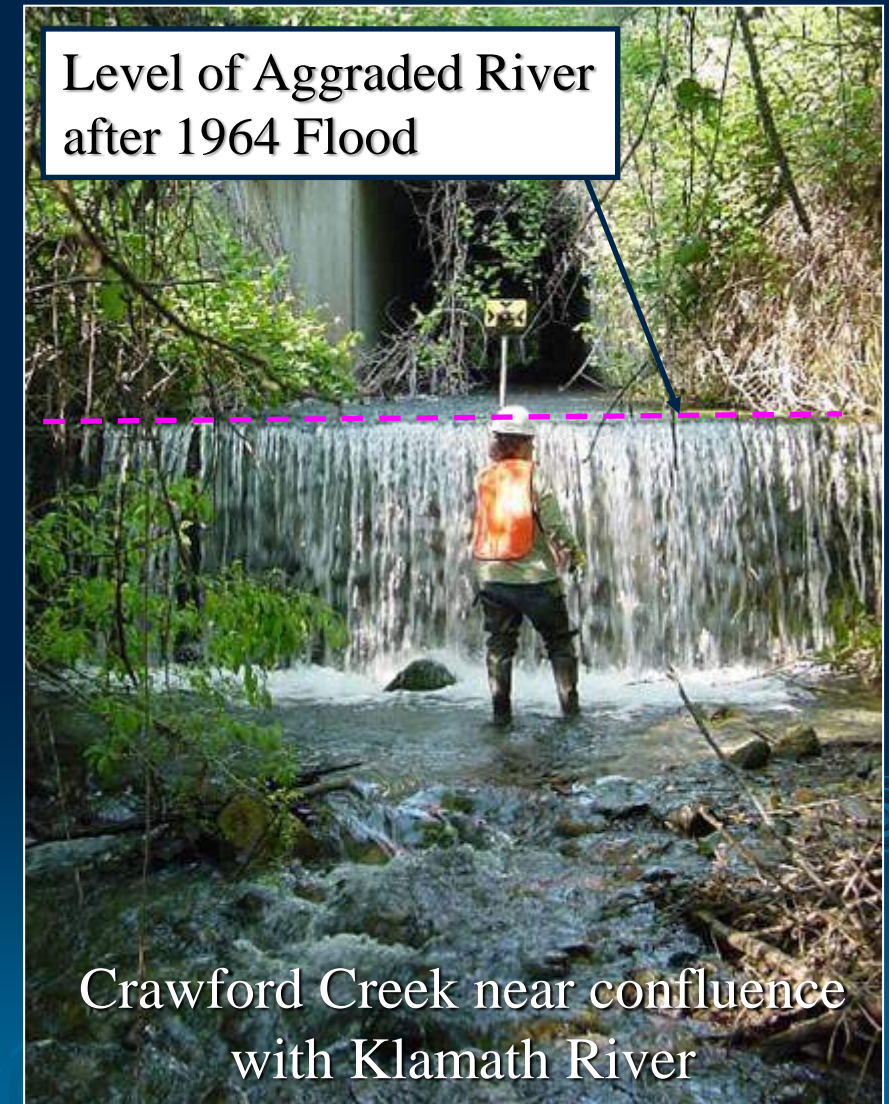
Increased sediment loads combined with large flood can cause entire streams and rivers to aggrade.



# Channel Aggradation and Fish Passage

Culvert replacements after flood events have added complexity and risk:

- ❑ Anticipating future regrade.
- ❑ Determining vertical placement of culvert invert or arch-footings.
- ❑ Providing enough flood capacity in aggraded state.



# Consider Backwater Influences when Setting High VAP

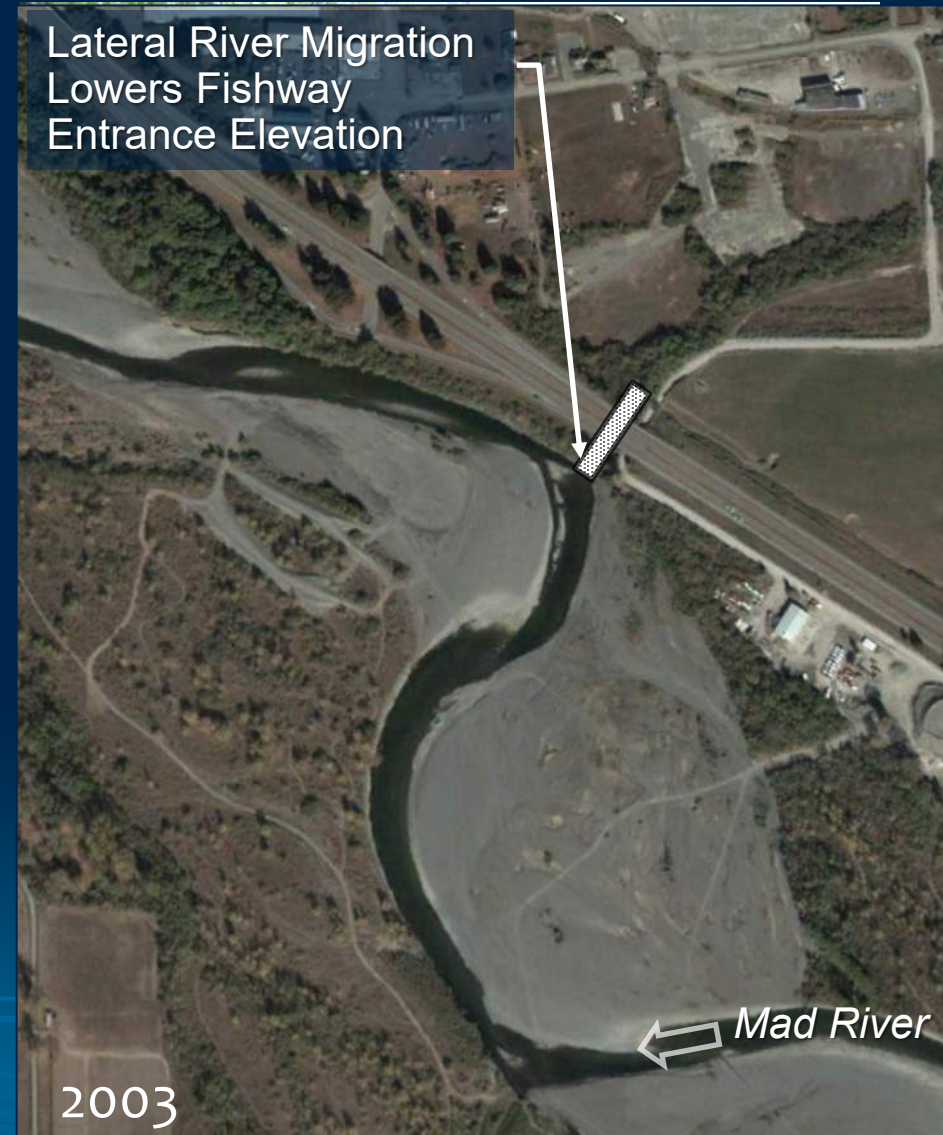


Sultan Creek Bridge  
Influenced by River  
Backwatering



Little Mill Creek Bridge  
Depositional Bar from  
River Backwatering

# Potential for Channel Lateral Migration Fishway Entrance at River Confluence



# Vertical Adjustment Potential

## Fluctuating Levels of Beach Bars and Mouths of Coastal Lagoons



**Solstice Creek Outlet  
Discharging onto Beach**



# Vertical Adjustment Potential

## Fluctuating Coastal Lagoons



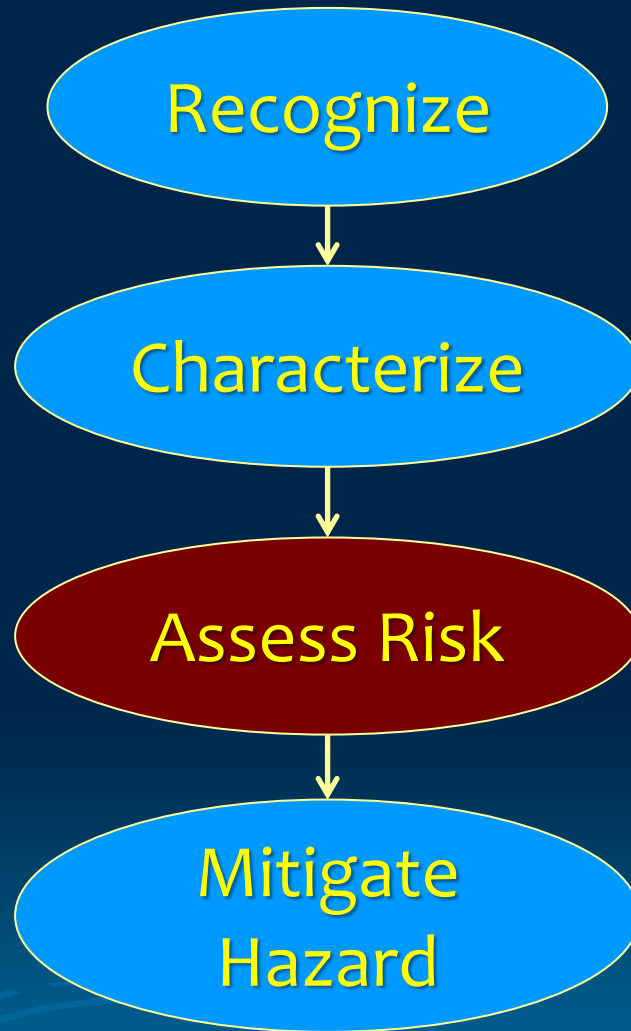
Before Project: Coastal Lagoon Mouth Closed



Lagoon Opens and Water Level Drop

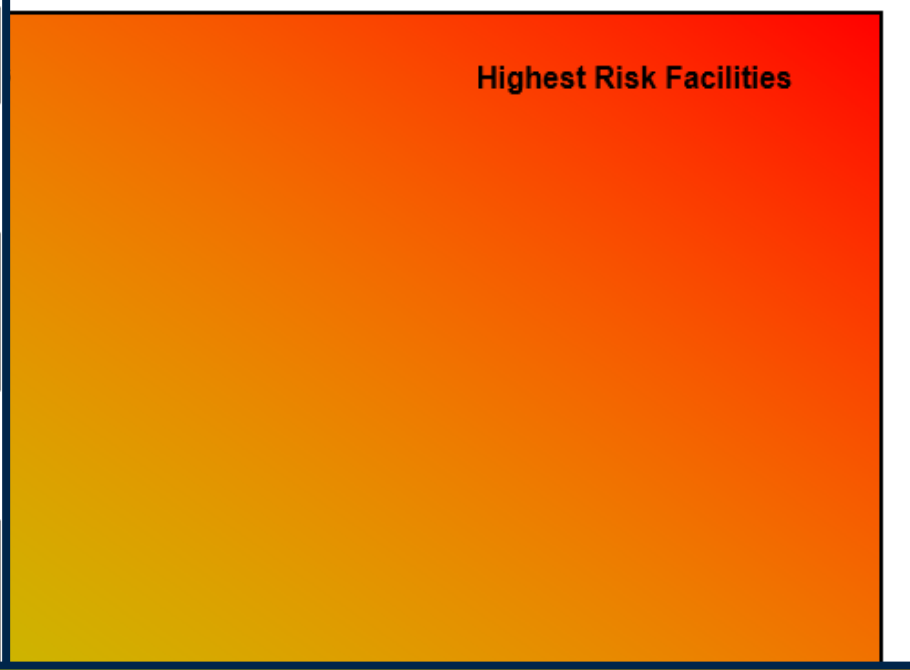
Fishway Entrance not backwatered when Lagoon Opened

# Incorporating Geomorphic Risk Assessments into Passage Projects



**Resource:** Castro, Janine. 2003. *Geomorphic Impacts of Culvert Replacement and Removal: Avoiding Channel Incision*. USFWS

<b>Alteration of Hydrology</b>		
Mimic natural hydrographs	Altered timing, duration, frequency of flows	
<b>Facility Location</b>		
Minor Tributary	Major Tributary	Main Stem River
<b>Type of Facility</b>		
Run-of-River	Small Storage Dams	Large Storage Dams
	High Flow Diversion	Low Flow Diversions
<b>Potential to Create Limiting Conditions</b>		
Low	Moderate	High
<b>Monitoring &amp; Maintenance Plan</b>		
Adaptive Management	Monitoring only	None



**Increasing Project Impact**

**Increasing Site Response Potential**

Alte  
Mim  
Faci  
Mino  
Type  
Run-

<b>Stream Sensitivity / Stream Type</b>				
Source (>10% slope)	Transport (3–10%)			Response (<3%)
Bedrock	Colluvial	Alluvial		Incised Channel / Alluvial Fan
<b>Sediment Regime</b>				
Steady, moderate inter-annual variation		ENSO cycles		Wildfire cycles
<b>Bank Erosion Potential</b>				
Naturally Non-erodible		Erosion Resistant		Highly Erodible, or Revetted
<b>Bed Scour Potential</b>				
Boulder/clay bed (low)		Gravel/cobble bed (moderate)		Sand/silt bed (high)
<b>Dominant Hydrologic Regime</b>				
Spring-fed	Snowmelt	Rain	Rain-on-Snow	Atmospheric River

**Gene  
Proje  
Scree  
Matr**

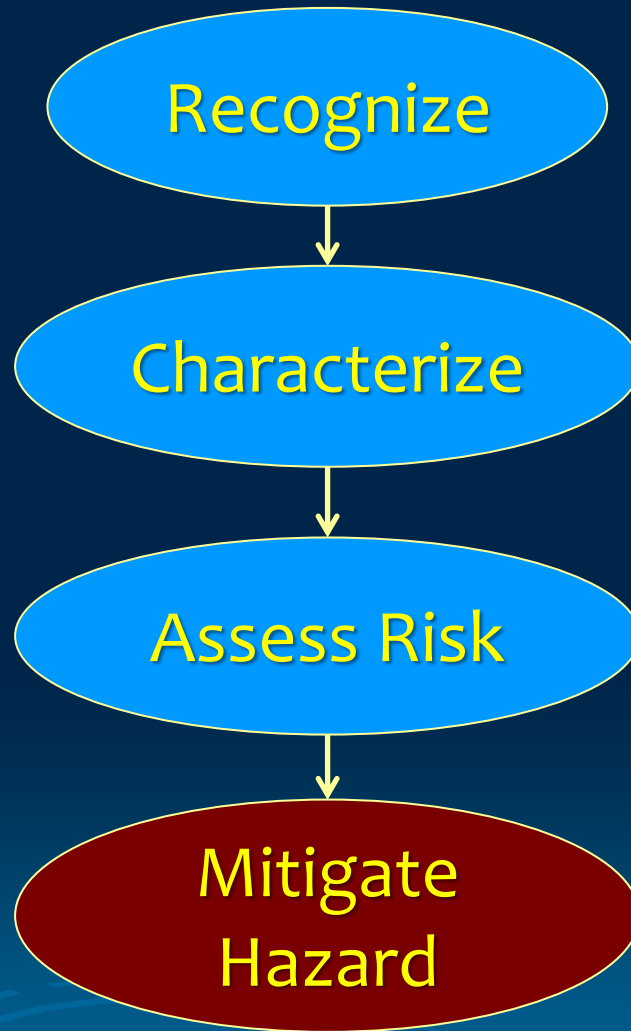
From: NOAA Fisheries 2022 Pre-Design Guidelines & RiverRAT, 2011

# Risk Assessment Check List for Addressing Knickpoints in Incised Channels

---

- Anticipated magnitude and extent  
Depth of incision and length of channel at risk
- Rate of incision, bank widening, and sediment release  
Mobility of bed, erosivity of banks, wood controls, bedrock
- Risk to upstream property and infrastructure
- Impact to existing riparian/wetland vegetation  
Will water table lower with incision and rootzone become dry?
- Change in connectivity to side-channels and floodplain
- Ability of channel to recover  
Will bank material and land-use permit channel evolution (widening)?

# Incorporating Geomorphic Risk Assessments into Passage Projects

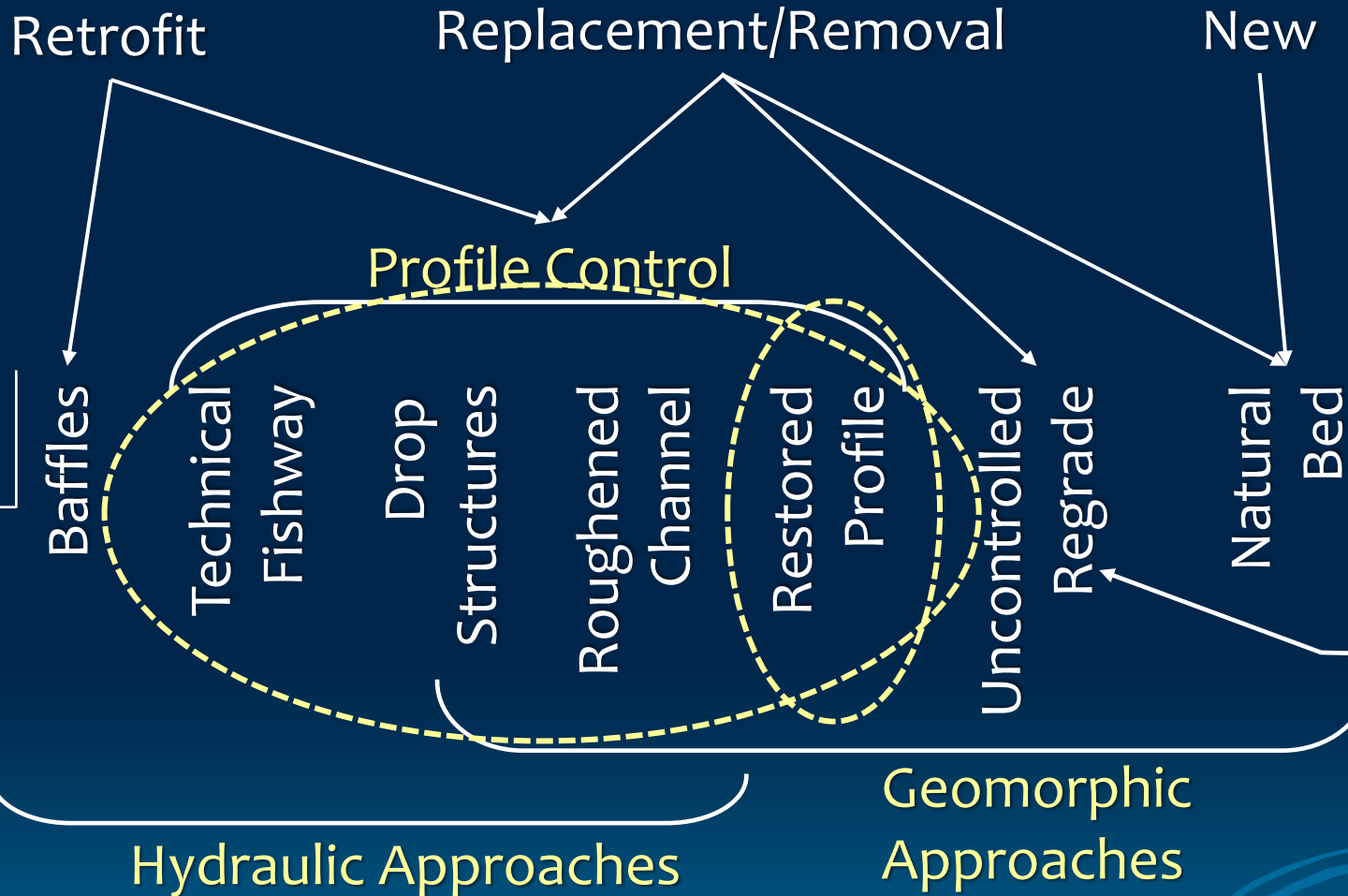


**Resource:** Castro, Janine. 2003. *Geomorphic Impacts of Culvert Replacement and Removal: Avoiding Channel Incision*. USFWS

# Design Approaches for Aquatic Organism Passage

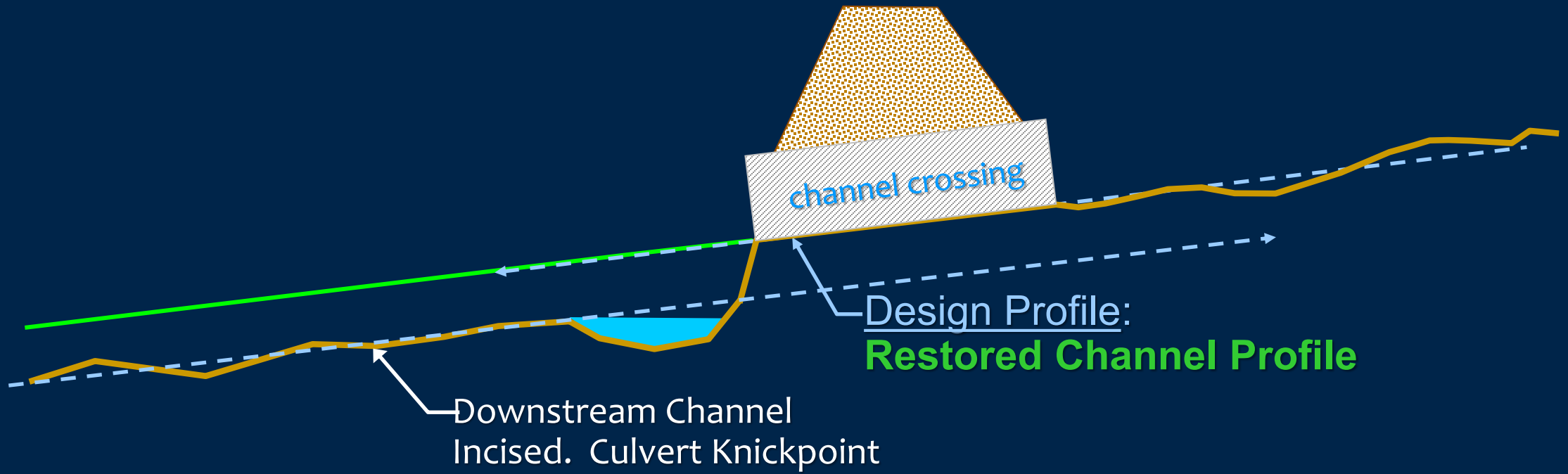
Stream Crossing Project

Fish Passage Approach



Increasing Ecological Function

# Restored Profile Option



# Restoring Incised Channels and Connectivity

## Placing Wood - Profile Restoration



Baker Creek  
photos: Sam Flanagan, BLM



# Restoring Incised Channels and Connectivity

## Placing Wood - Profile Restoration



Large wood placed to restore incised channel profile  
Neefus Gulch, North Fork Navarro River

# Restoring Incised Channels and Connectivity

## Beaver Dam Analogs



Post Lines



Reinforced Dams

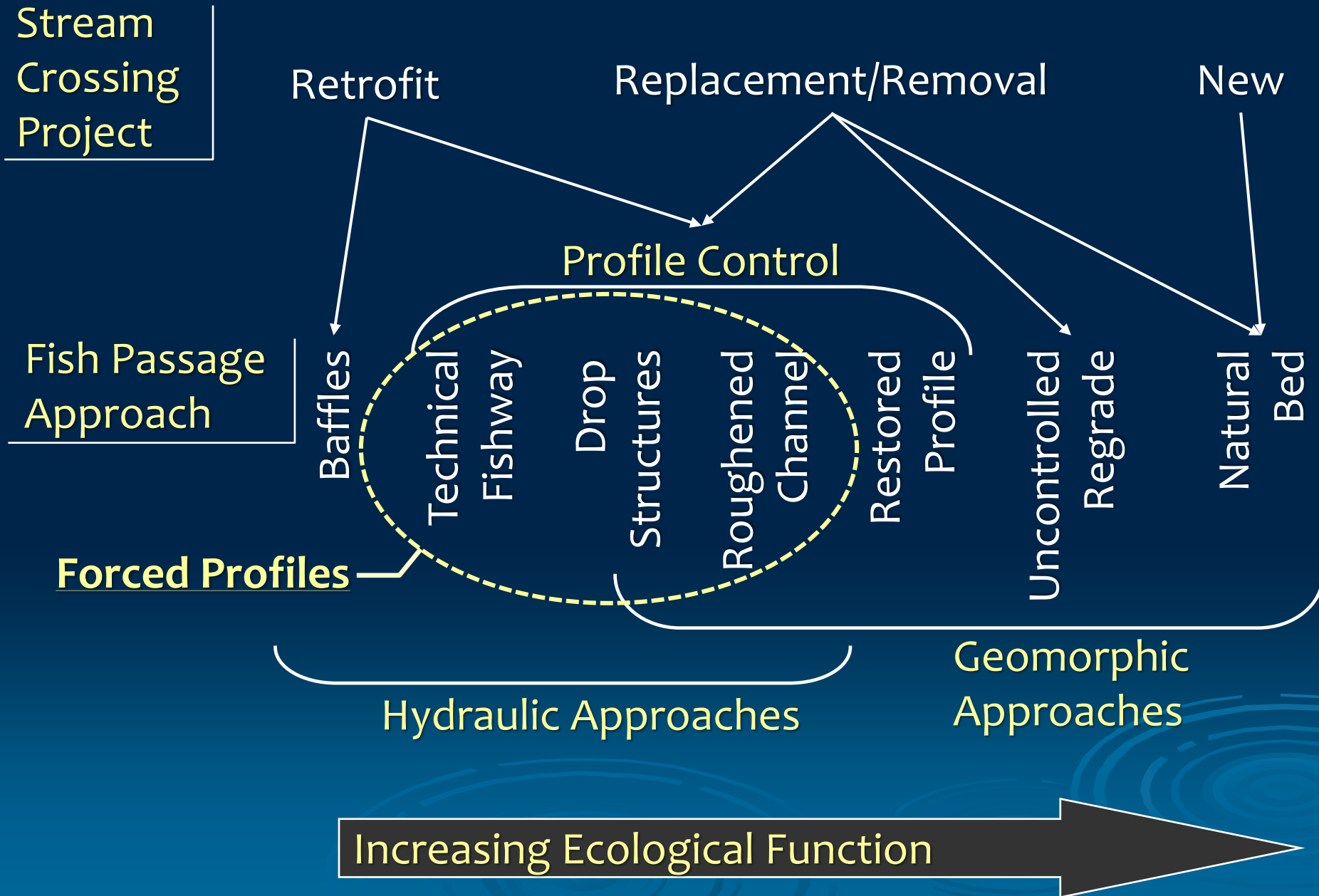


Wicker Weaves

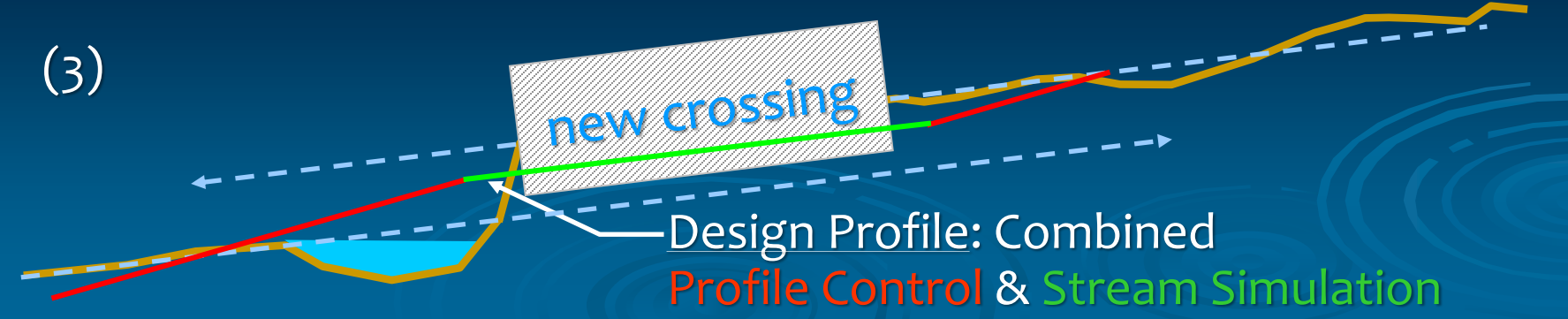
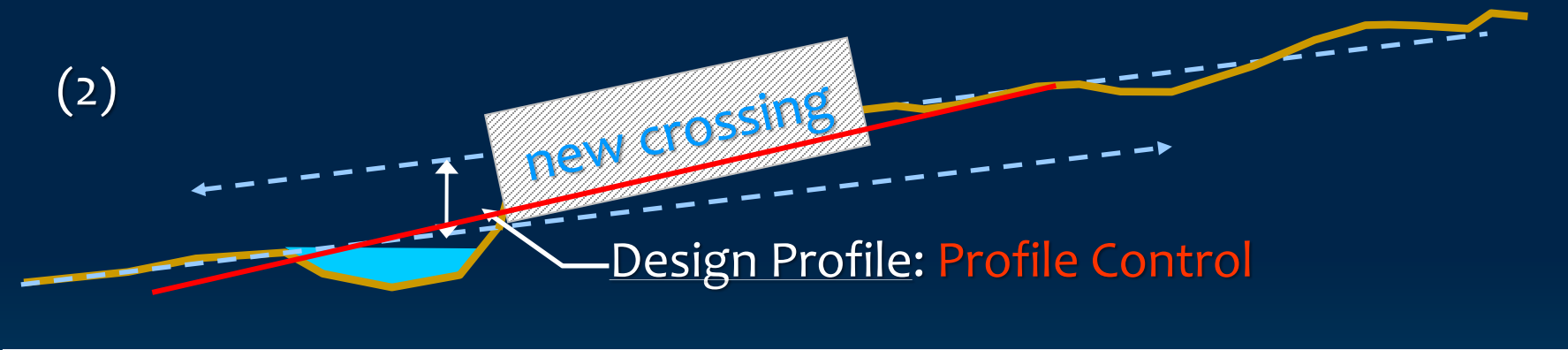
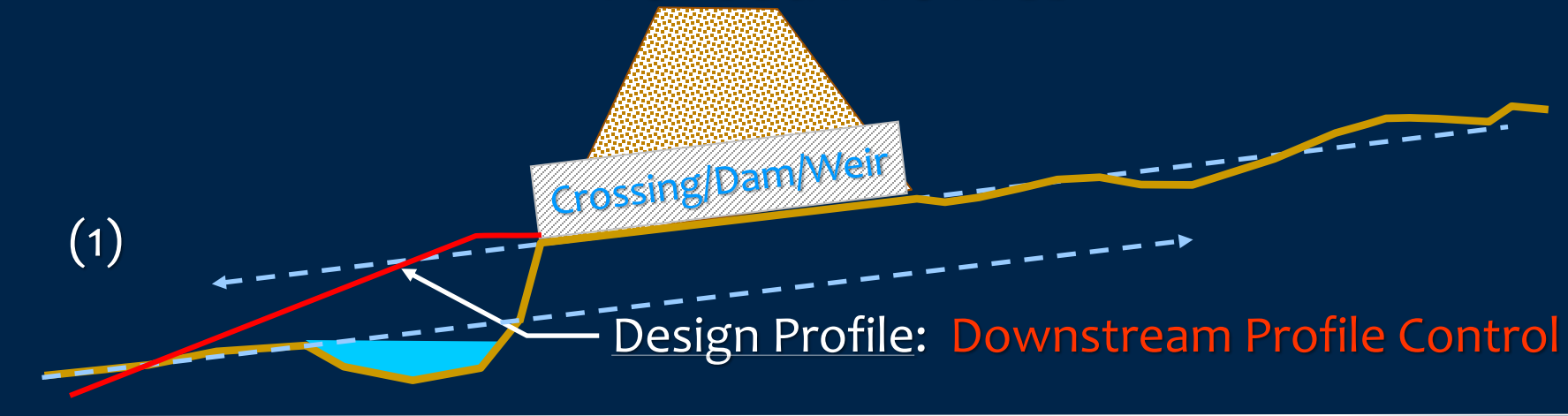
from: NOAA Fisheries



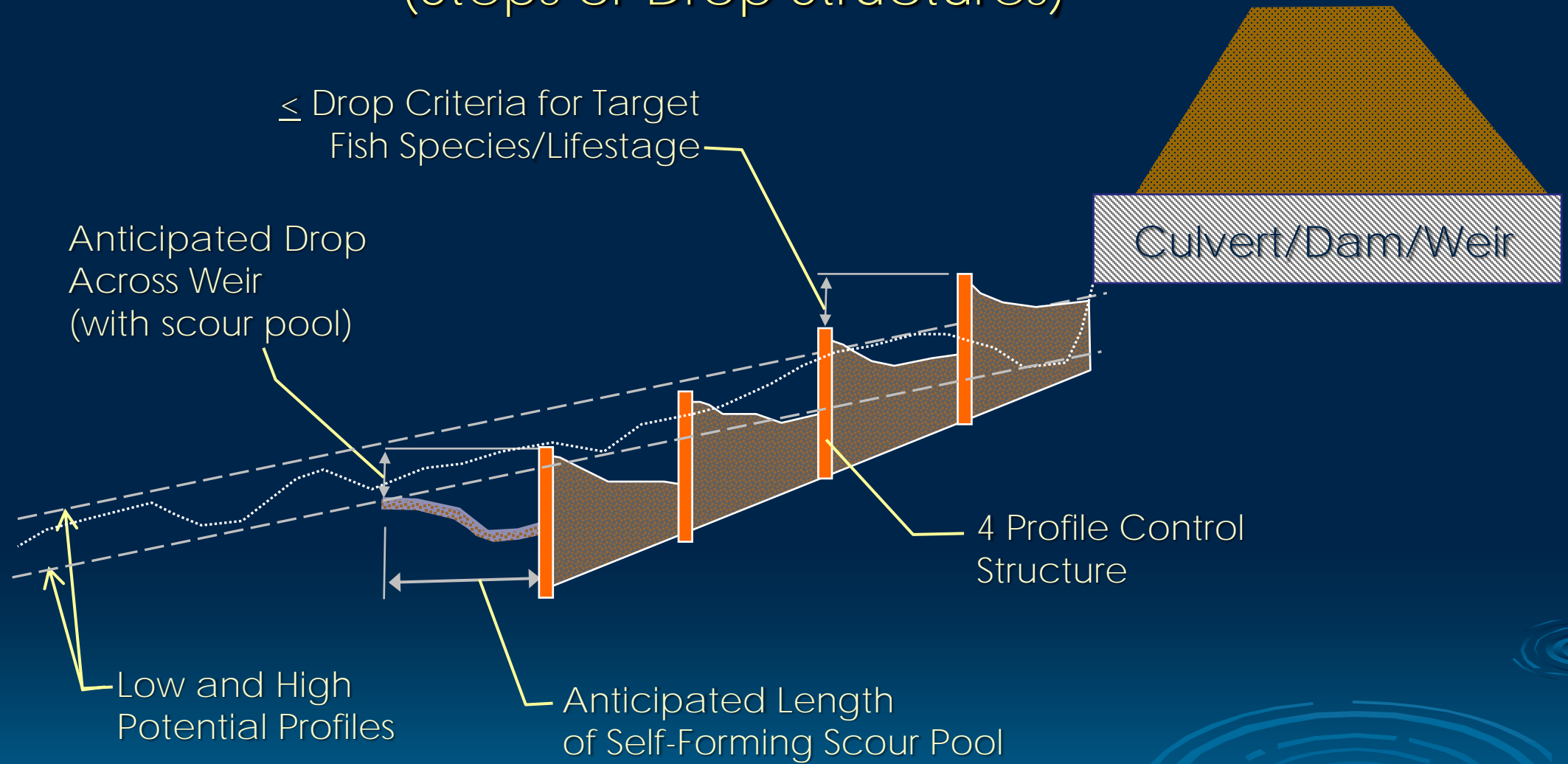
# Design Approaches for Aquatic Organism Passage



# Forced Profiles

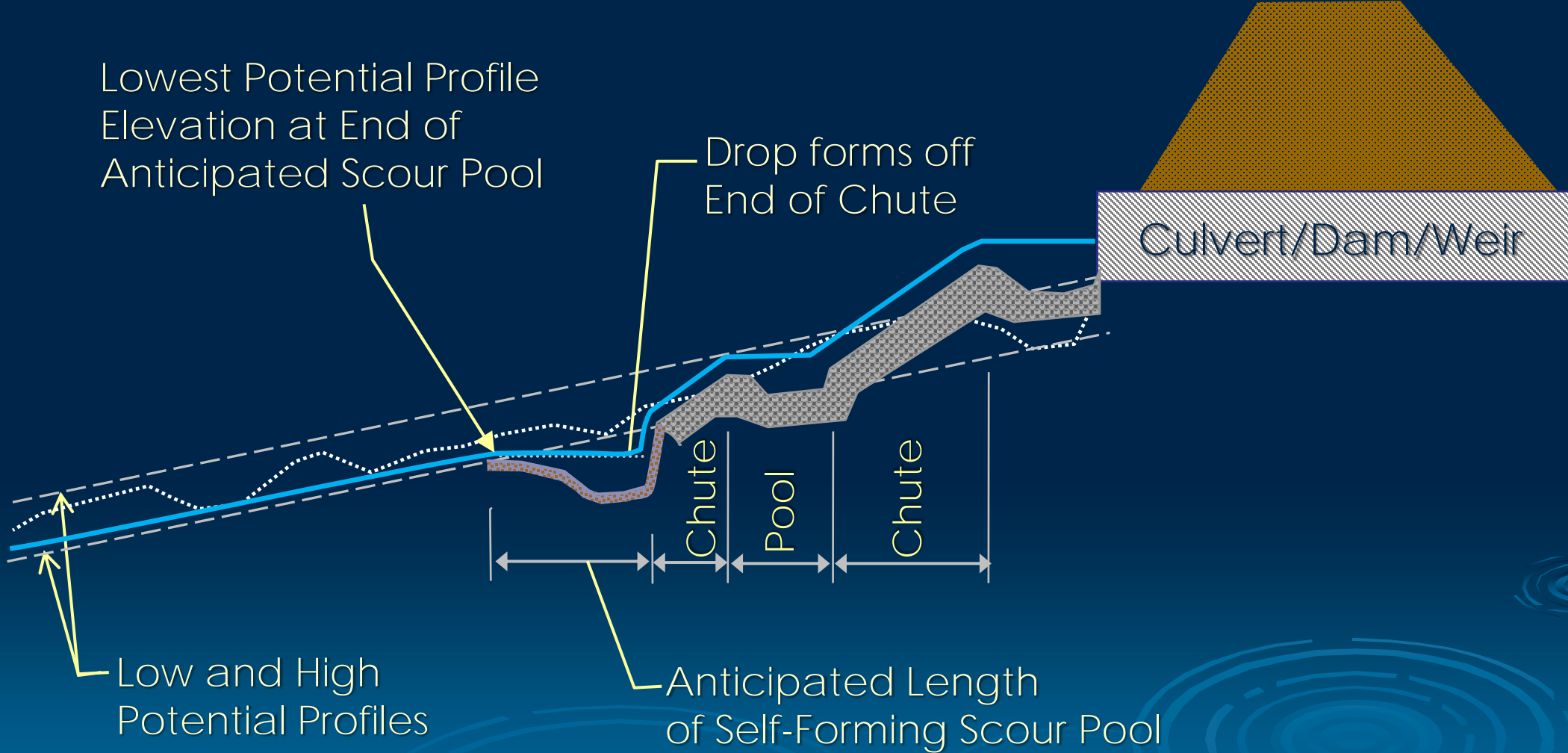


# Setting Fishway Entrance based on Low VAP (Steps or Drop Structures)



Place End of Profile Control based on Low Potential Profile with Anticipated Scour Pool

# Setting Fishway Entrance based on Low VAP (Chutes & Pools Roughened Channel)



Lowest Potential Profile  
Elevation at End of  
Anticipated Scour Pool

Drop forms off  
End of Chute

Culvert/Dam/Weir

Chute

Pool

Chute

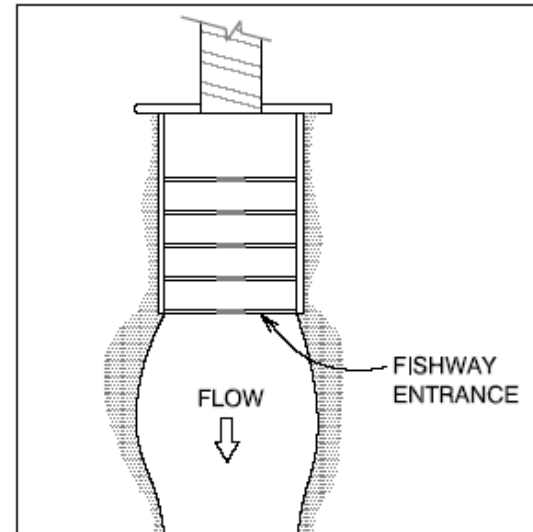
Low and High  
Potential Profiles

Anticipated Length  
of Self-Forming Scour Pool

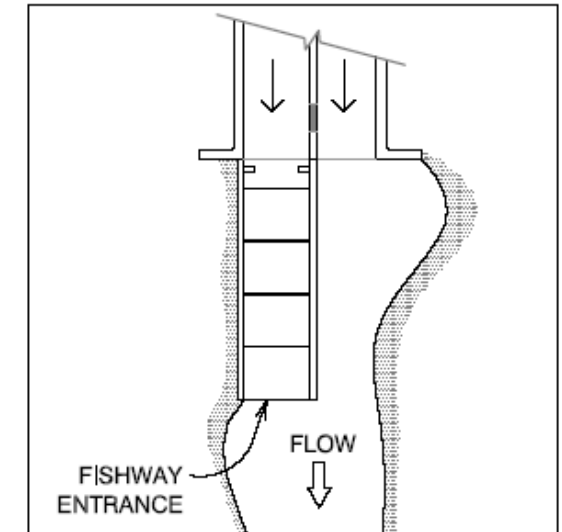
# Develop Profile in Conjunction with Plan Layout



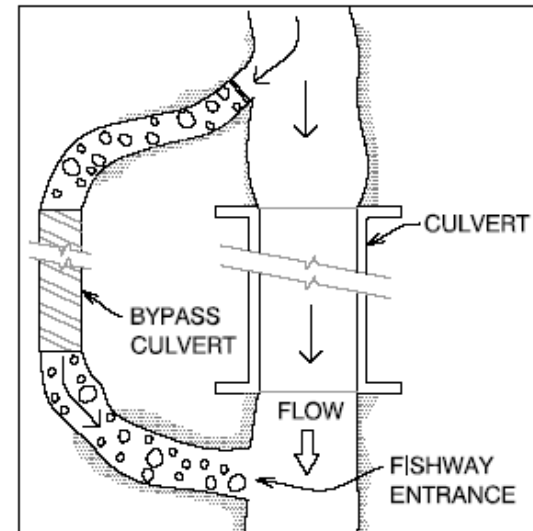
Full-Width Roughened Channel



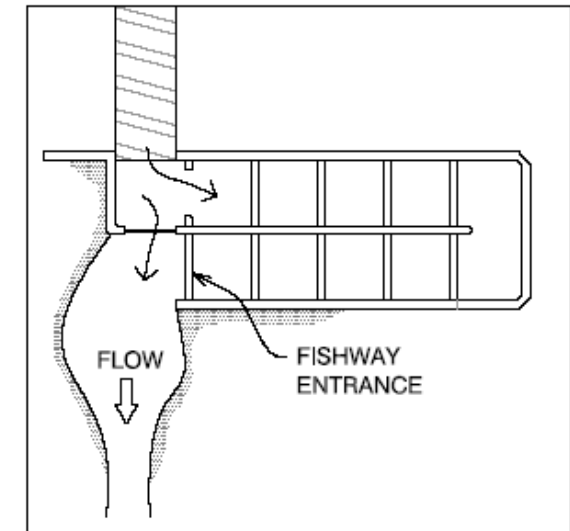
(a) Full Width (Pool and Chute)



(b) Partial Width Fishway

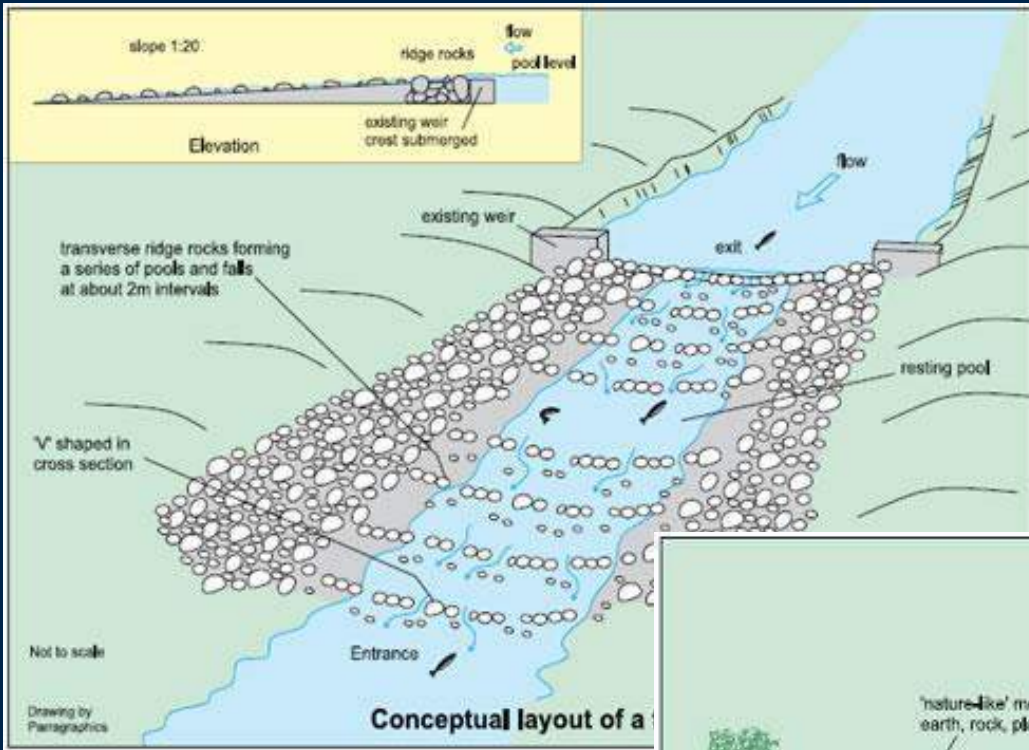


(c) Bypass Roughened Channel



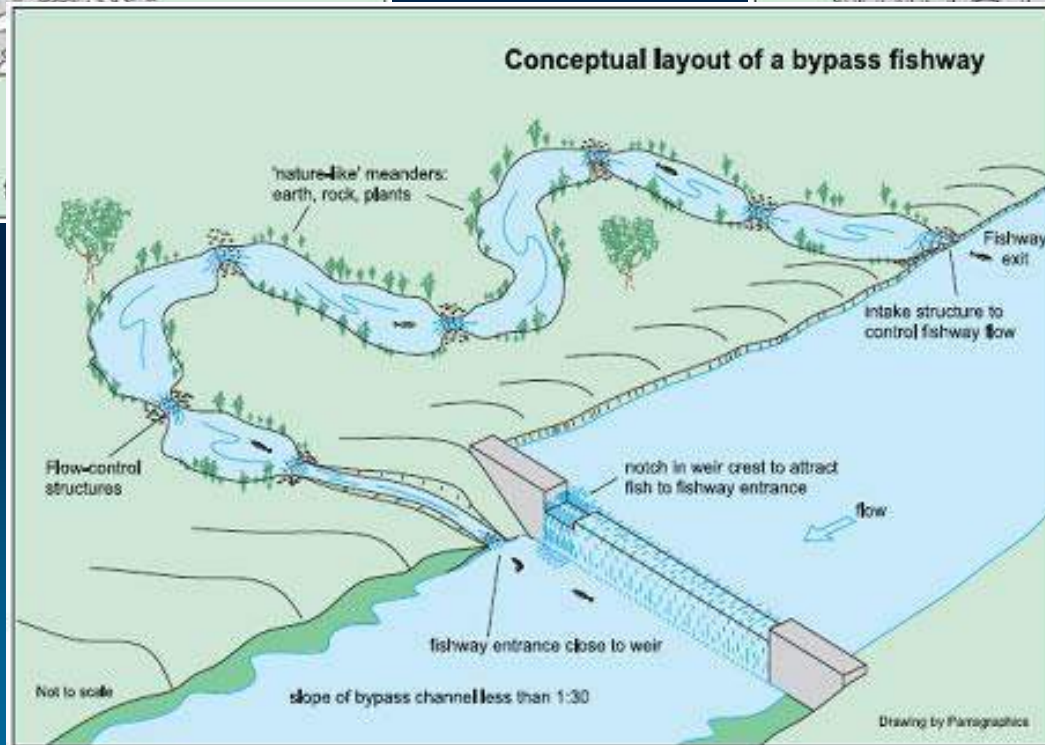
(d) Bypass Pool and Weir

# Full Span

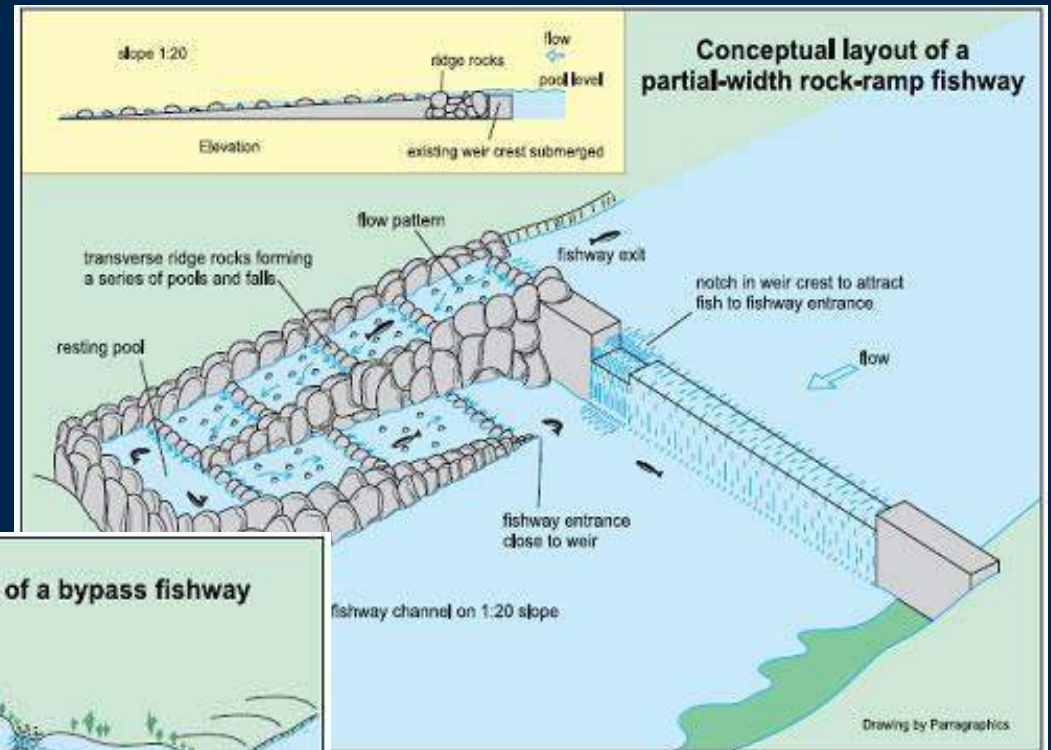


# NLF Layouts

## Bypass

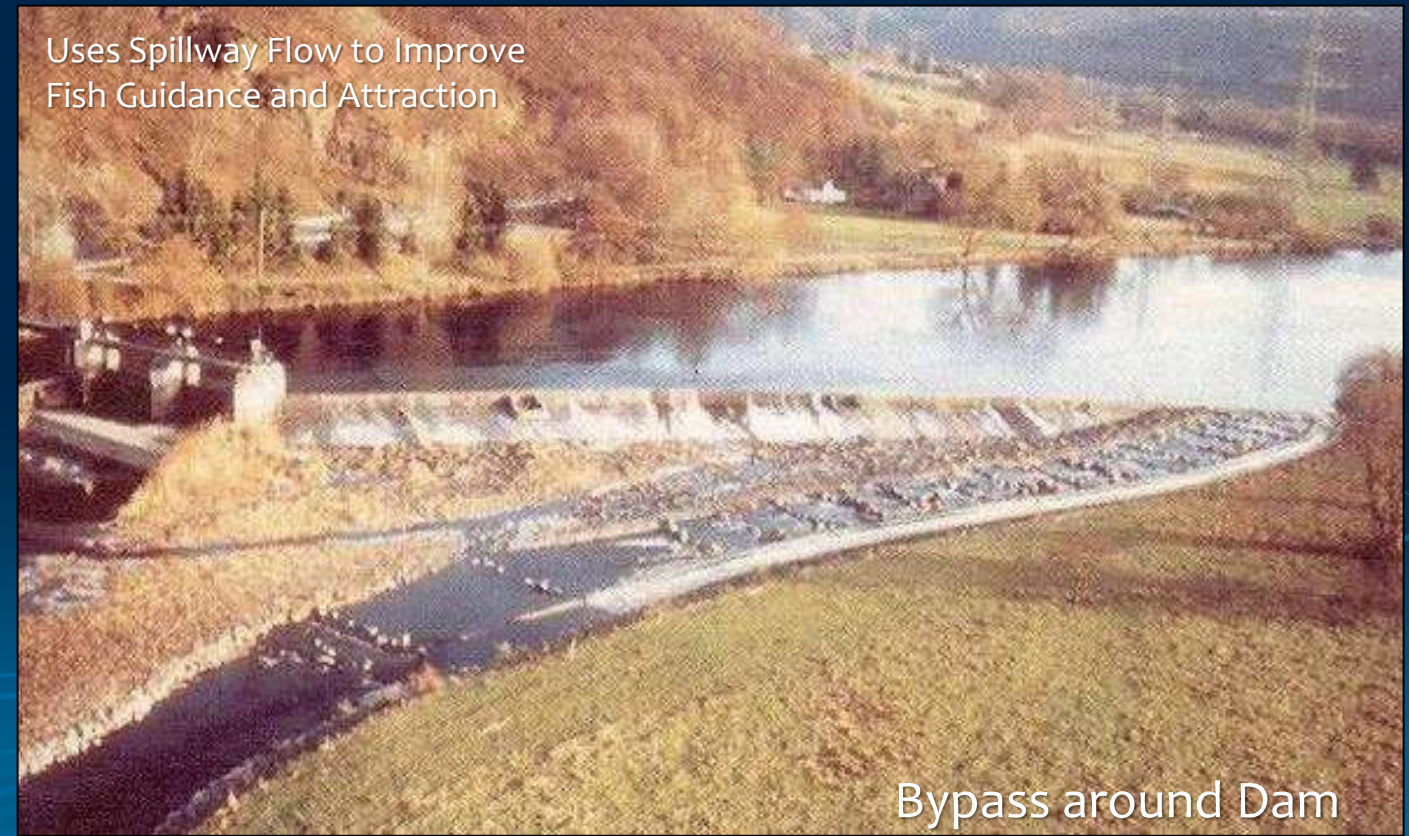


# Partial Span





# NLF Layouts

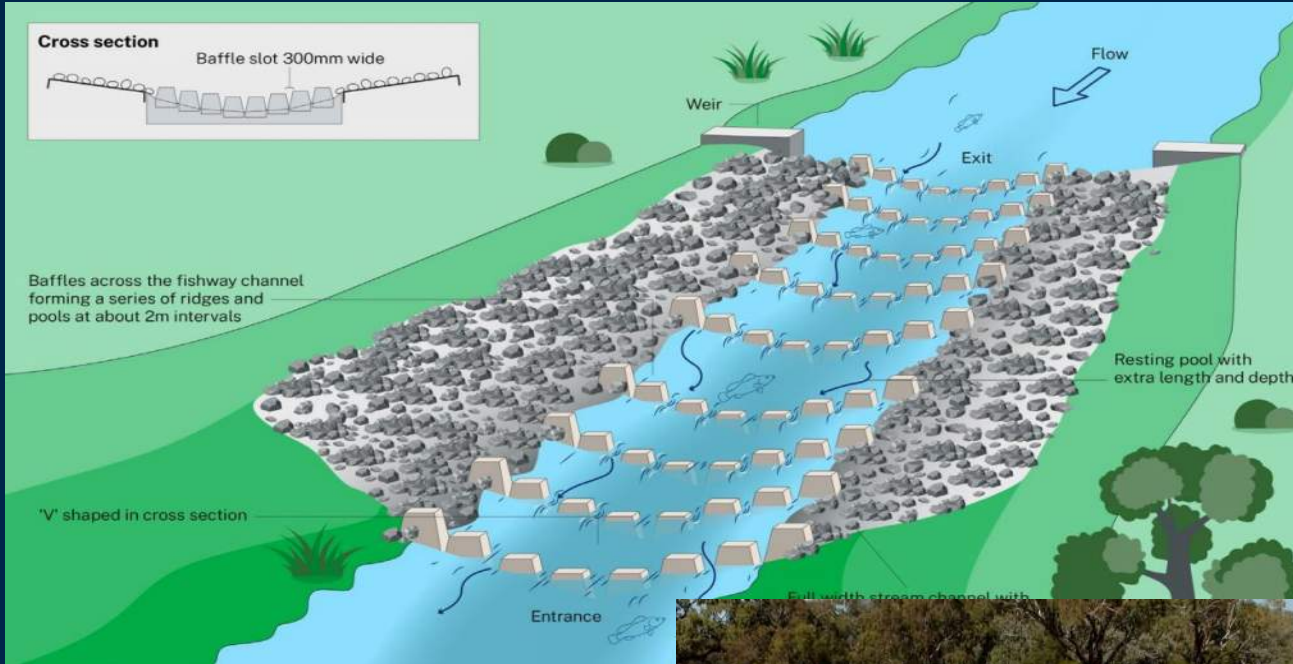


Thornbury, Lake Huron Tributary, Ontario

From DVWK 1996

# NLF Configurations

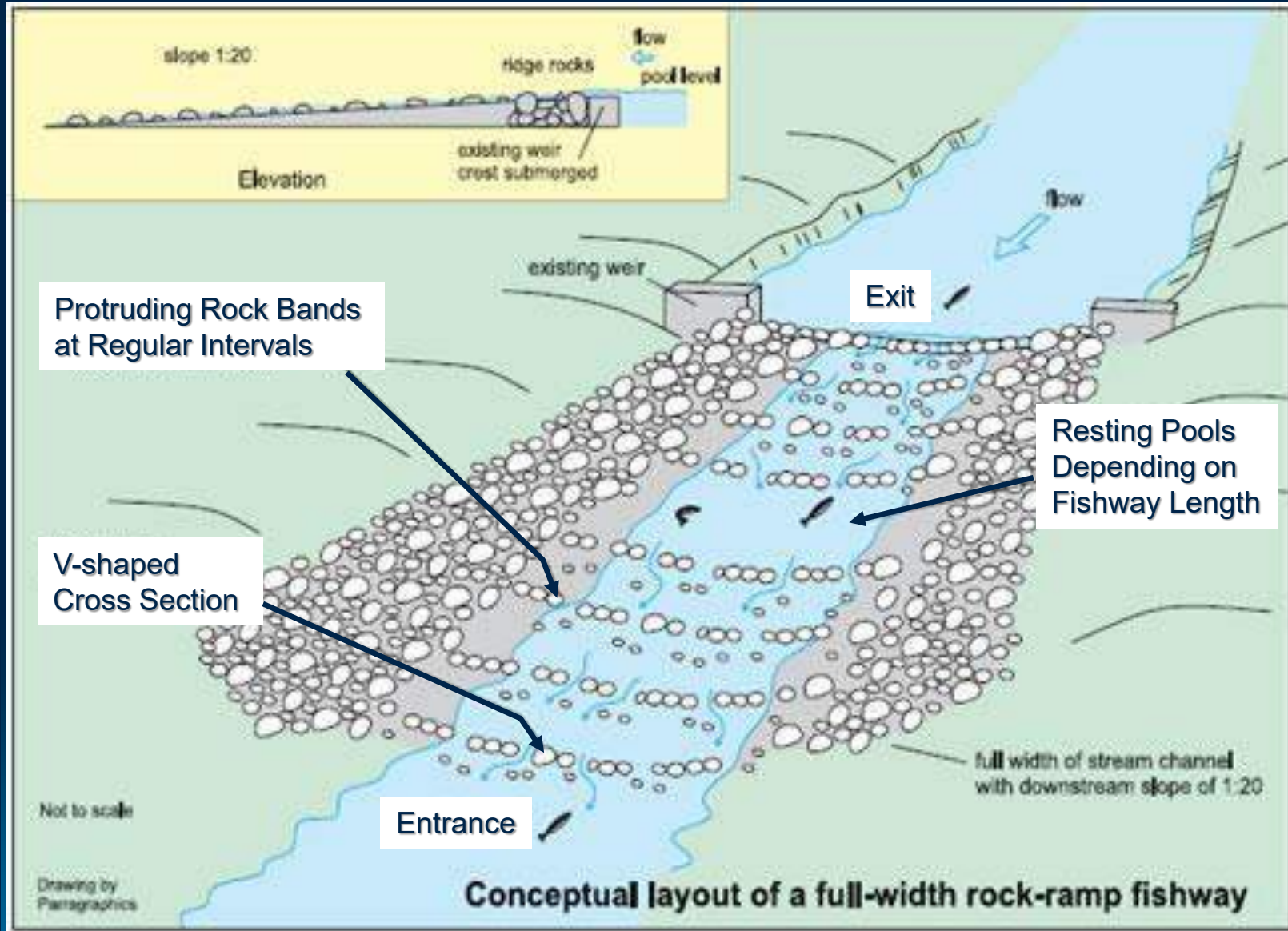
## Full Span



## Partial Span



# Channel Spanning NLF Configuration



## Pros

- Excellent attraction (100% of flow)
- Fish able to find entrance with ease
- Less susceptible to sediment and debris

## Cons

- Fishway conveys entire flood flow (rock more likely to become destabilized)
- Larger footprint/higher cost than other configurations

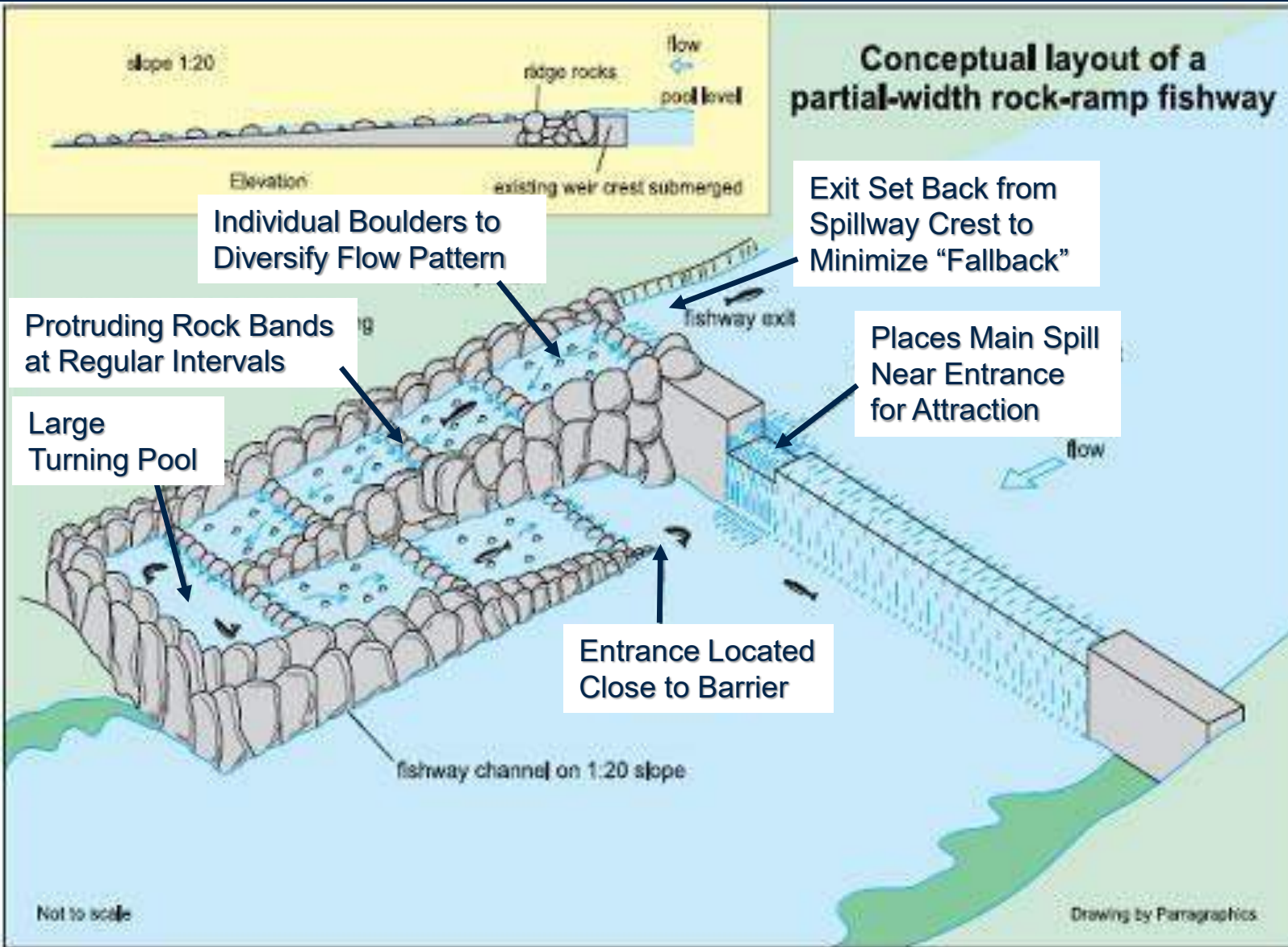
# Partial Spanning NLF Configuration

## Pros

- Smaller footprint/lower cost
- Conveys only portion of total flow
  - Provide passage over wider range of streamflow
  - More stable at flood flows
- Can regulate (constrict) flood flows entering fishway to improve rock stability

## Cons

- More susceptible to debris plugging and sedimentation/lack of scouring
- Lack of attraction velocity
- Barrier flow can create nuisance attraction
- Wide channel relatively small to small entrance



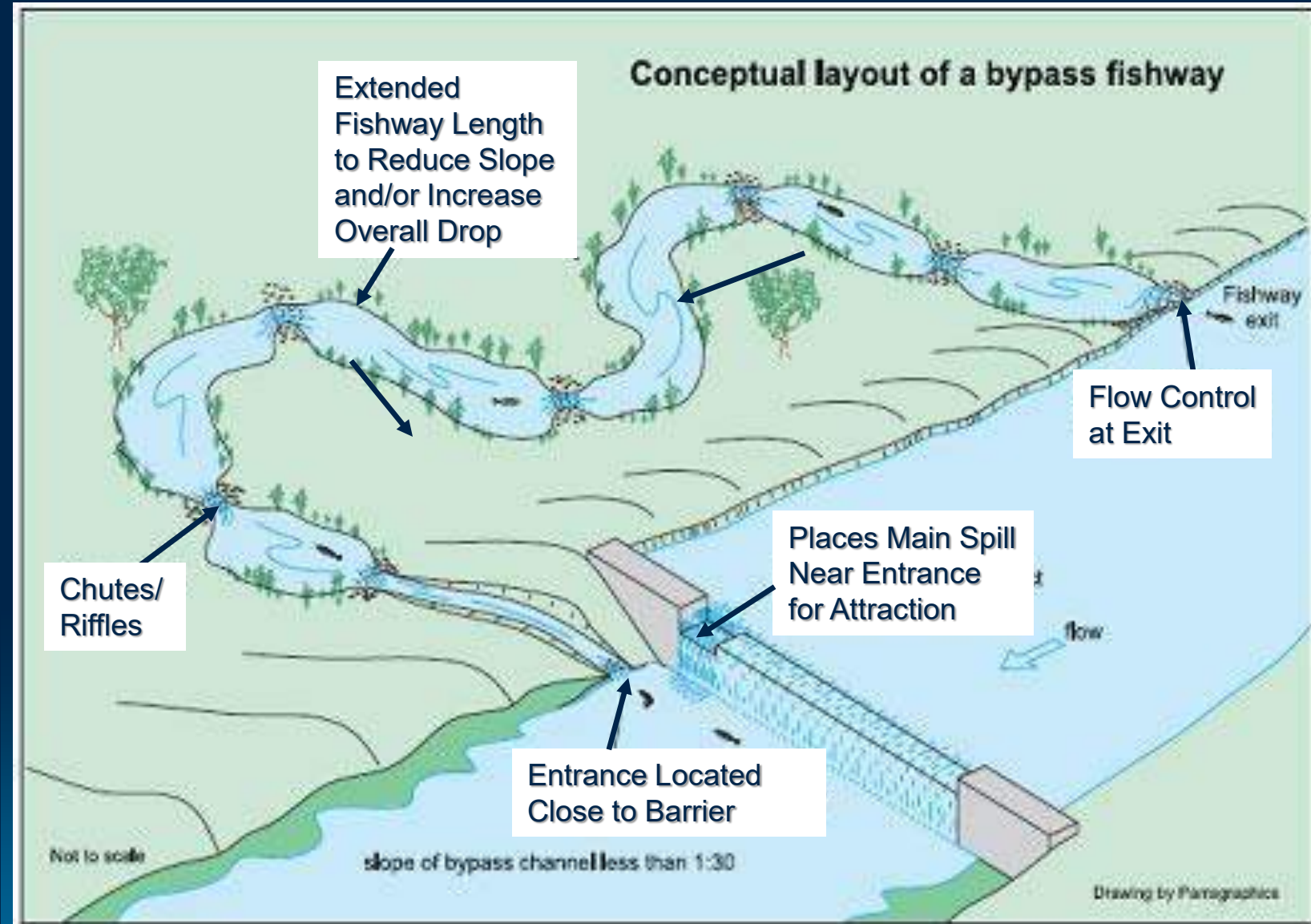
# Bypass NLF Configuration

## Pros

- Can place most of fishway away from flood flows (more stable)
- Allows for extended length/bypass around larger barriers
- Provide passage over wider flow range
- May have smaller footprint/lower cost
- Fishway can provide habitat/holding/riparian shade

## Cons

- More susceptible to debris plugging and sedimentation/lack of scouring
- Lack of attraction velocity
- Barrier flow can create nuisance attraction
- Wide channel relatively small to small entrance

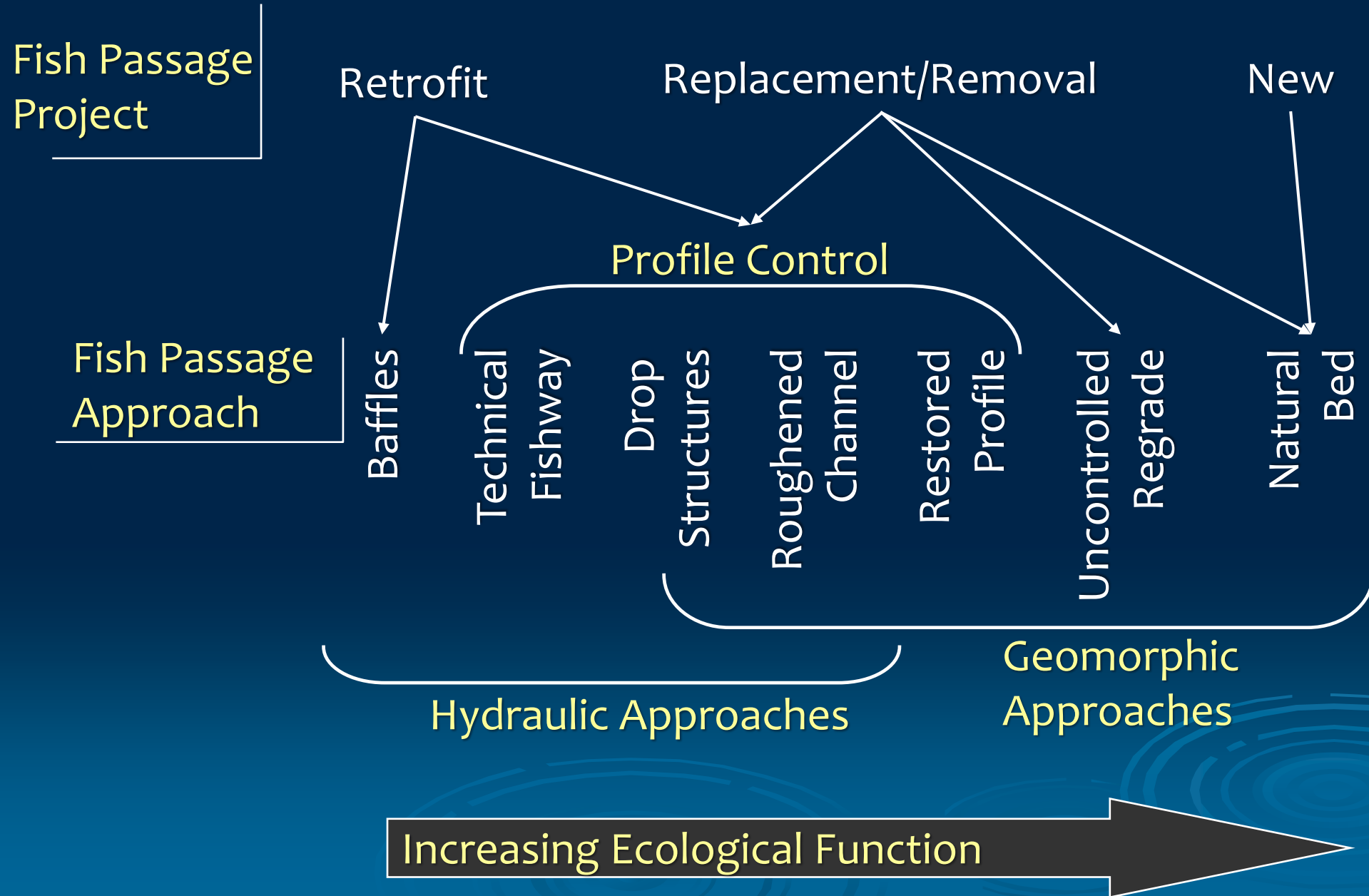


Not to scale

slope of bypass channel less than 1:30

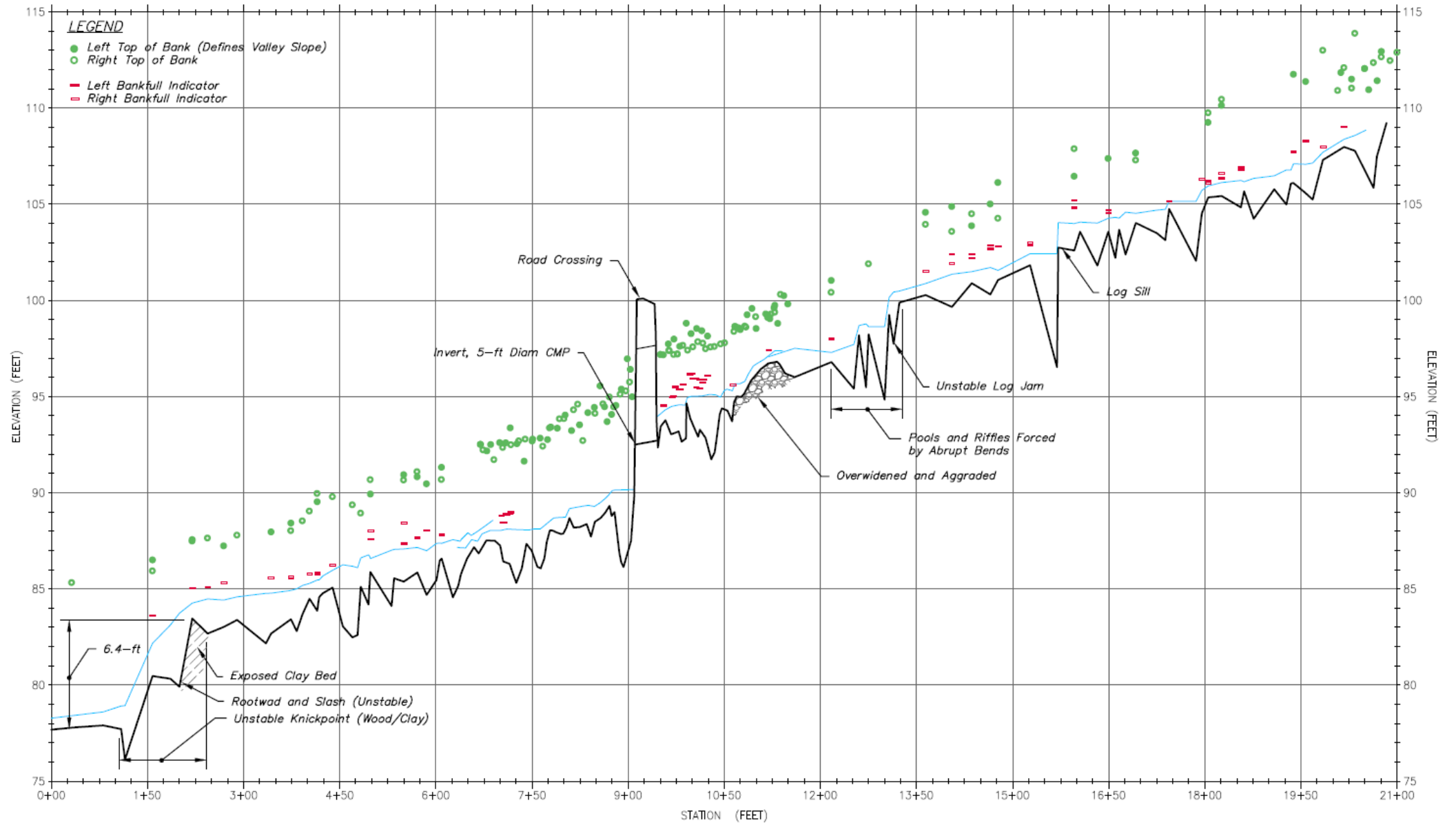
Drawing by Paragraphics

# Design Approaches for Aquatic Organism Passage



# Neefus Gulch Profile Analysis Part II

## Group Exercise



Vertical Exaggeration 1:30  
 Horizontal Scale: 1"=150'  
 Vertical Scale: 1"=5'

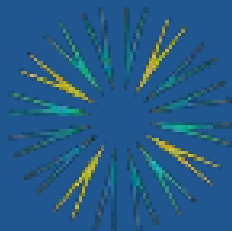
Survey conducted by Michael Love and Associates on January 24-26 and February 23-25, 2017. Vertical datum assumed

VERIFY SCALE  THIS BAR IS ONE INCH LONG AT FULL SCALE	 Michael Love & Associates, Inc. PO Box 44774 Arcata, CA 95518 • (707) 822-2411	DATE March 2017
		FIGURE 2

# Session 3-3

# Primer for Risk and Risk Management during NLF Projects

*Mike Garello, PE*



3/26/2024



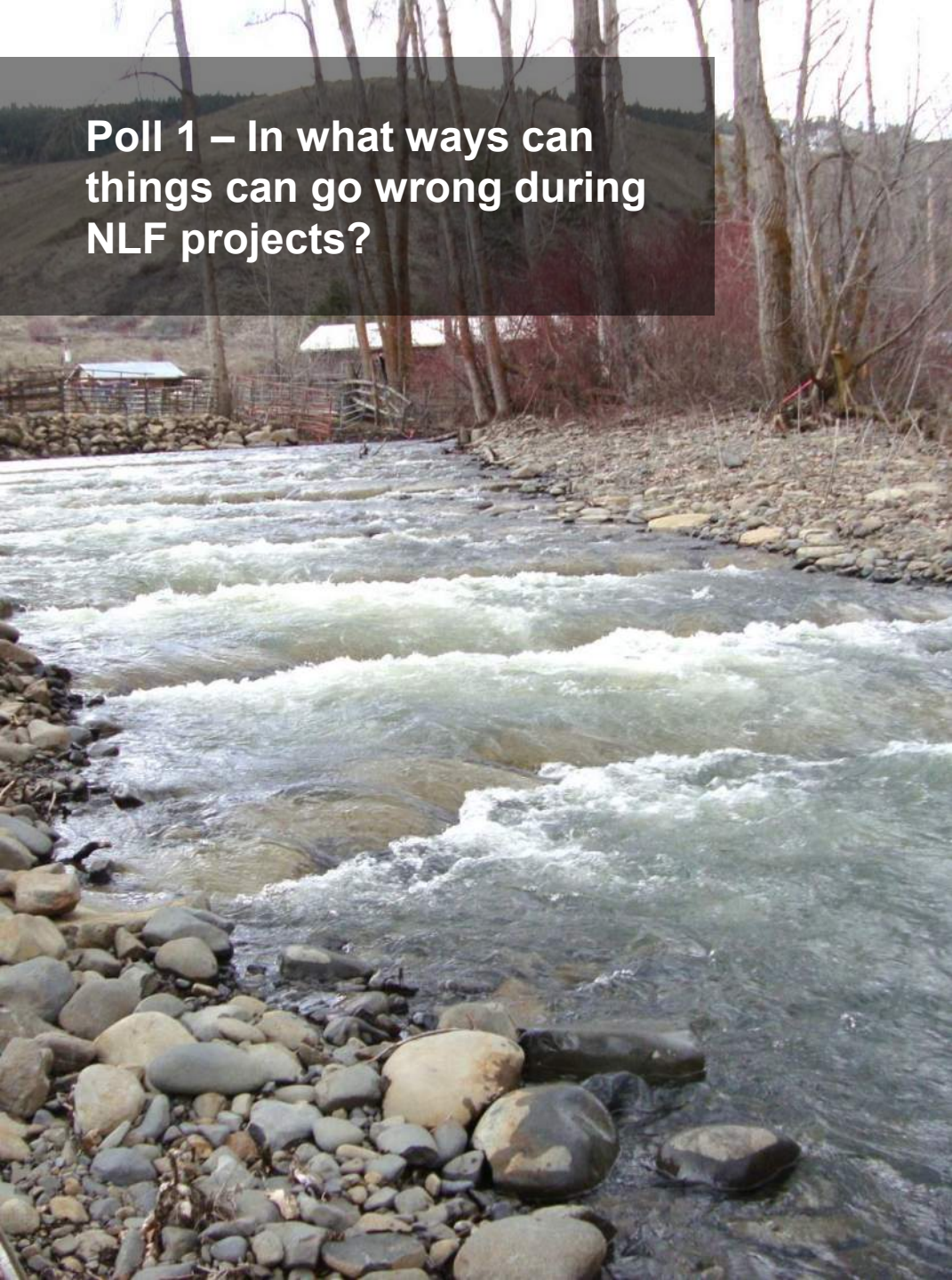
# What is Risk....?



*“A probability or threat of a damage, injury, liability, loss, or other negative occurrence that is caused by external or internal vulnerabilities, and that may be neutralized through preemptive action.”*

[www.businessdictionary.com](http://www.businessdictionary.com)

Poll 1 – In what ways can things can go wrong during NLF projects?



*Keach-Jensen Diversion NLF, Manastash Creek, Ellensburg, WA*





*Nelson Dam Removal, Naches River, Yakima, WA*

*Risk includes any factor which may negatively impact a NLF project – which could result in a true or perceived failure and put the project, engineer/consultant, owner, or public in jeopardy.*

# Risk Exposure and Management during NLF Projects

## Exposure to Risk





**Poll 2 – What risks are the greatest source of project challenges or failures?**

# Risk Exposure and Management during NLF Projects

## Types of Risk



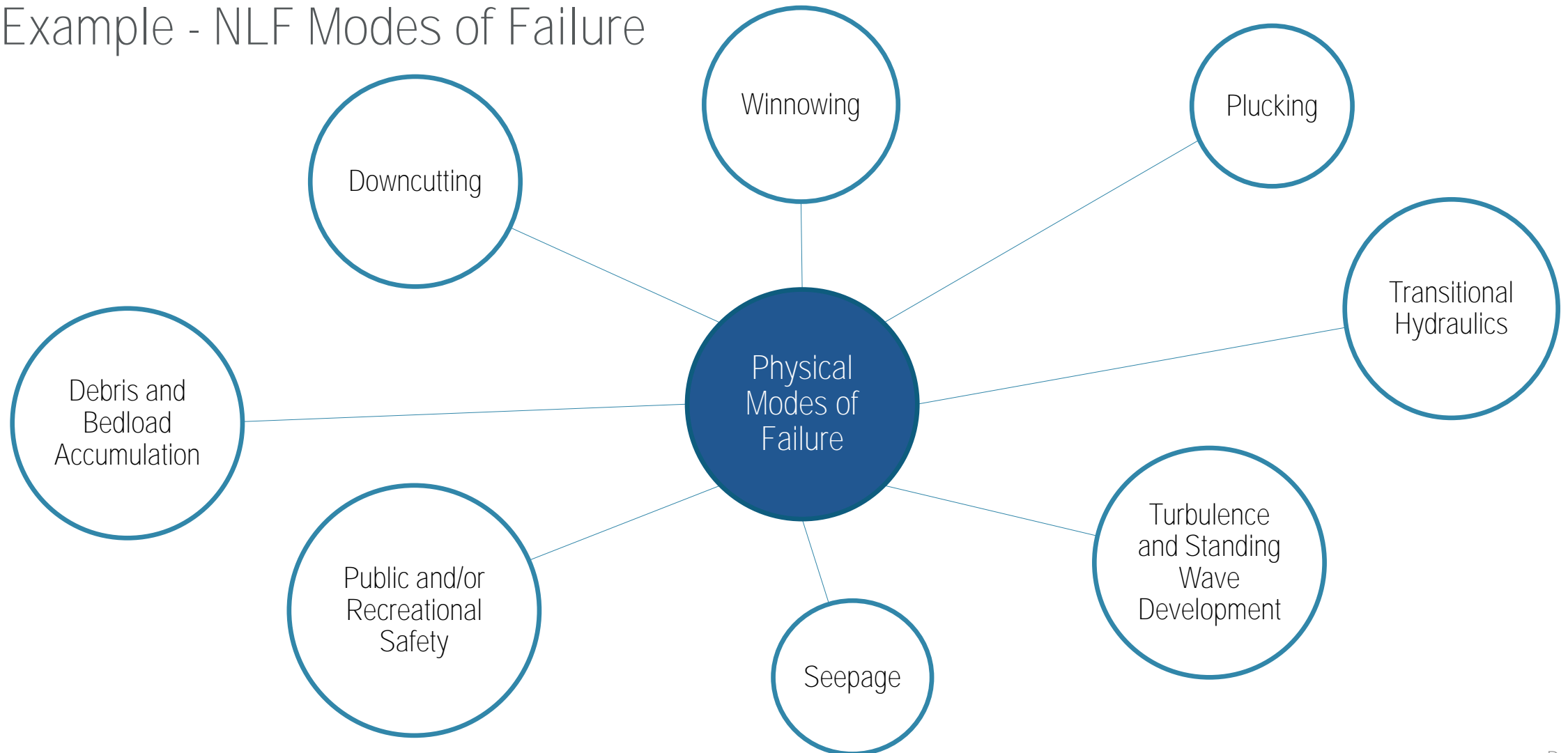
# Risk Exposure and Management during NLF Projects

## Types of Risk - Example



# Risk Exposure and Management during NLF Projects

Example - NLF Modes of Failure





# Risk Exposure and Management during NLF Projects

Exposure to Risk

	MINOR	UNLIKELY	POSSIBLE	LIKELY	ALMOST CERTAIN
CRITICAL	SERIOUS	MAJOR	MAJOR	CRITICAL	CRITICAL
MAJOR	MODERATE	SERIOUS	MAJOR	MAJOR	CRITICAL
SERIOUS	MODERATE	MODERATE	SERIOUS	SERIOUS	MAJOR
MODERATE	MODERATE	MODERATE	MODERATE	SERIOUS	SERIOUS
MINOR	MINOR	MINOR	MODERATE	MODERATE	SERIOUS

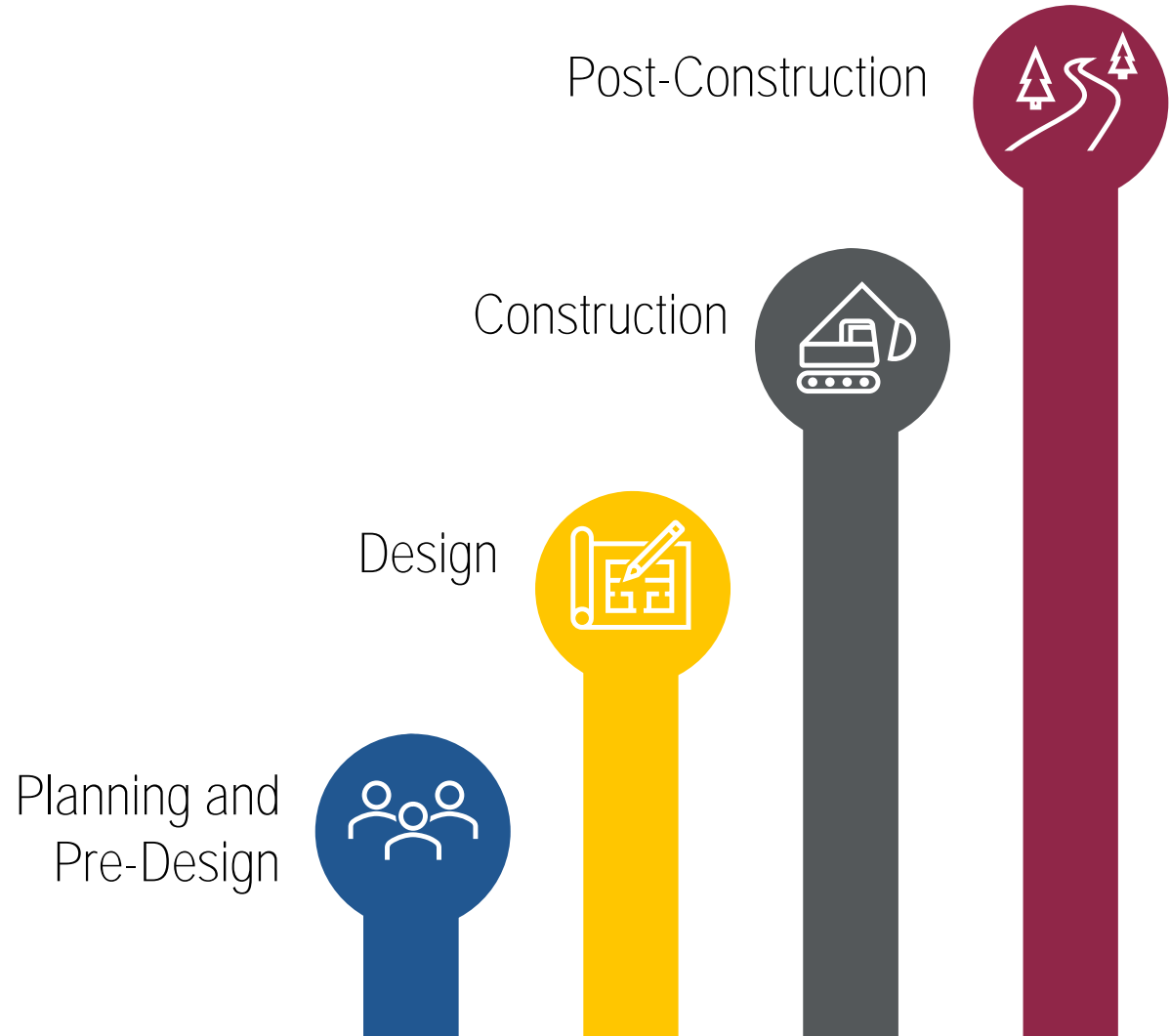


**Poll 3 – When can you most effectively mitigate NLF project risks?**

# Risk Exposure and Management during NLF Projects

Identifying and Managing Risks at All Levels of Project Implementation

- Risk management and the mitigation of potential modes of failure must be proactive rather than reactive.
- Identifying, evaluating, and managing risks throughout all phases of implementation are core components of a successful NLF project.



# Risk Exposure and Management during NLF Projects

## Identifying and Managing Risk at the Pre-design Level

### ***A few places to start....***

- *Fish Passage Project Checklists* - <https://units.fisheries.org/fishpassagejointcommittee/resources/fishpassagetrainingportal/>
  - *Profile Control Feasibility*
  - *Profile Control Scope of Work*
- *2016 Technical Memorandum – Federal Interagency Nature-Like Fishway Passage Design Guidelines for Atlantic Coast Diadromous Fishes*
- *NOAA – 2022 WCR Anadromous Salmonid Design Manual*
- *NOAA – 2022 Pre-Design Guidelines for California Fish Passage Facilities*
- *Stream Simulation: an ecological approach to Providing Passage for aquatic organisms at road-Stream Crossings*

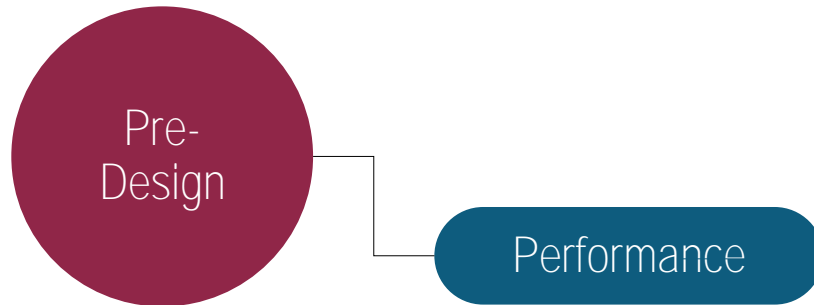
# Risk Exposure and Management during NLF Projects

## Identifying and Managing Risk at the Pre-design Level



# Risk Exposure and Management during NLF Projects

## Identifying and Managing Risk at the Pre-design Level - Example



- Establish performance goals, expectations, and constraints
  - Ownership, Infrastructure, Public Use and Safety, and Access
  - Agency, cultural, and stakeholder participation
  - Regulatory setting (FEMA, USACE, ESA, Etc.)
  - Fish species, phenology (life history), and periodicity
  - Timescales – design, construction, regulatory, longevity
  - Risk tolerance, adaptive management
  - Development of design and performance objectives and criteria
- Design strategy to test and address objectives
  - Range of design conditions
  - Modeling tool selection
  - Modeling scenarios
  - Application of model results to inform design
  - Decision framework for NLF Composition, hybridization, and anatomy
  - Decision framework for material selection and assessment of availability
- Data Collection, Field Investigations, and Synthesis
  - Topographic and hydrographic surveys
  - Geomorphic context. Local and reach based processes.
  - Geotechnical and/or geophysical investigations

# Risk Exposure and Management during NLF Projects

*Open Discussion...*

*Successes and failures  
at the pre-design level?*



# NLF Project Spotlight:

Nelson Dam Removal Project  
Naches River, Yakima, WA  
Mike Garelo, PE





# Nelson Dam Removal Project

## Key Features

- Replaced dam with nature-like fishway at surface water diversion intake
- Channel-spanning rock crest with 350-foot-long roughened channel
- 400 ft wide, 350 ft long, 2.5 percent gradient
- Multi-level cross-section – low flow channel, two secondary channels
- Hydraulic scale and unit discharge characteristics
  - 0.5 APE (2-year) unit discharge 25 to 50 cfs/ft (river flow of 6,520 cfs)
  - 0.01 APE (100-year) unit discharge 100 to 150 cfs/ft (river flow of 27,000 cfs)
- Regionally significant project with over a decade of stakeholder engagement

# Project Benefits

---



Overall reduction in WSELs, resulting in less frequent flood-induced infrastructure damage

---



Increased stability of bridge piers and roadway embankments

---



Opportunity for sediment continuity through and past the Project reach

---



Greater reliability of water supply systems

---



Decreased level of effort associated with facility maintenance

---



Creation of fish passage corridors to allow volitional upstream and downstream migration

---



Increased habitat potential for rearing and spawning fish

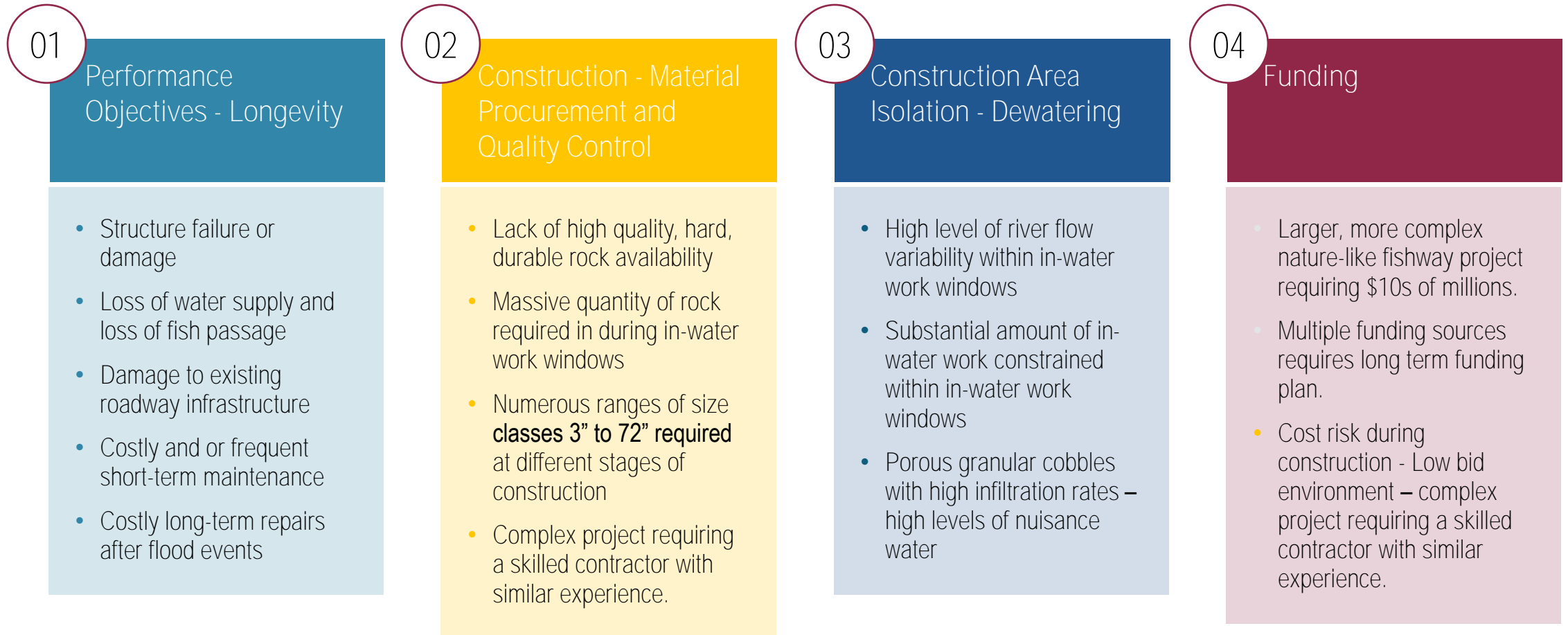
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**Pre-Project Conditions  
February 2020**

# Key Considerations, Challenges, and Risks

- *Four example key challenges and risks*



# Risk Based Performance Objectives

Example Risk 1 - Performance Objectives and Longevity

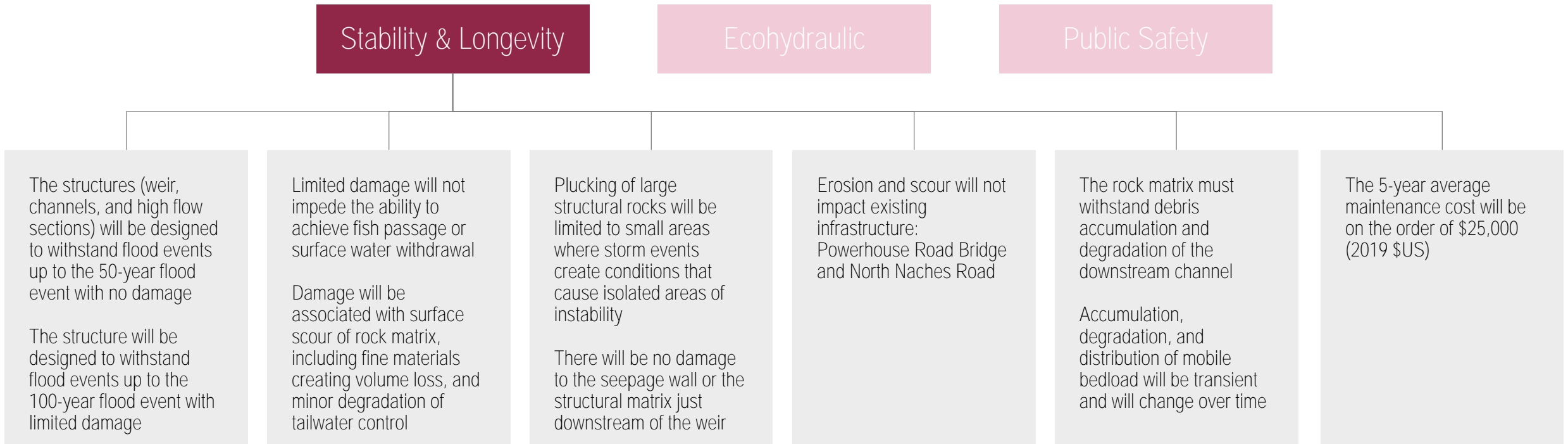
Stability & Longevity

Ecohydraulic

Public Safety

# Risk Based Performance Objectives

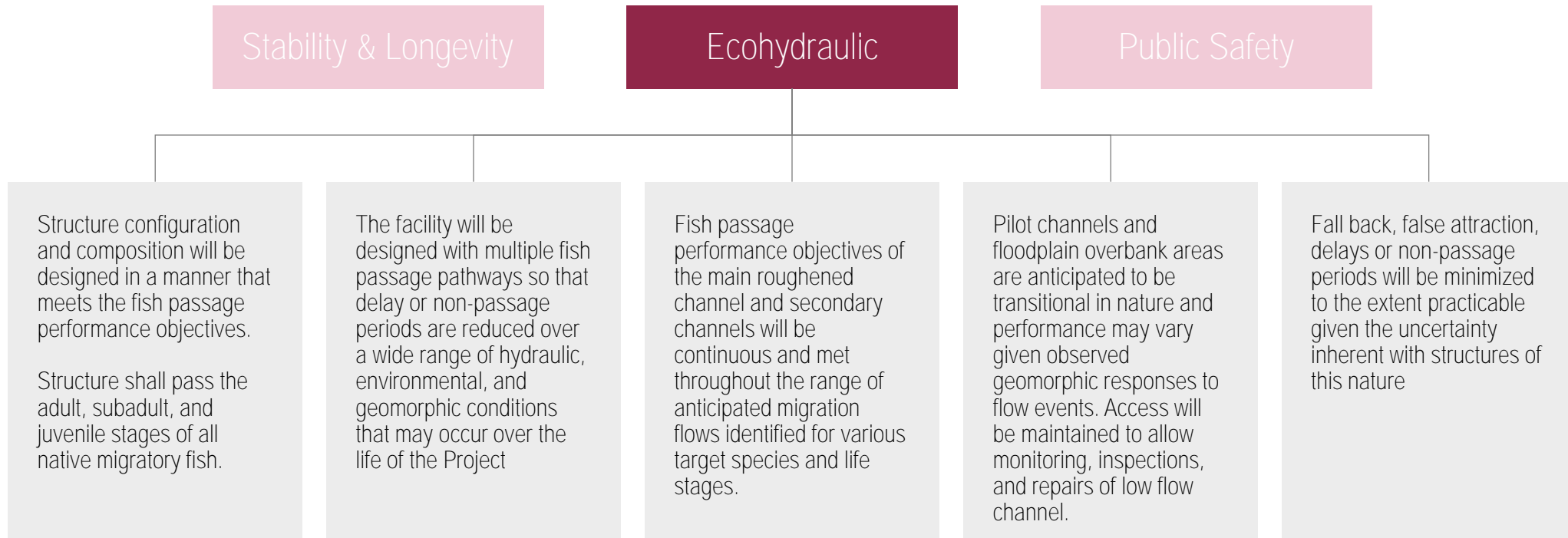
## Example Risk 1 - Performance Objectives and Longevity



- *Design expectations for the rock structure over time...*

# Risk Based Performance Objectives

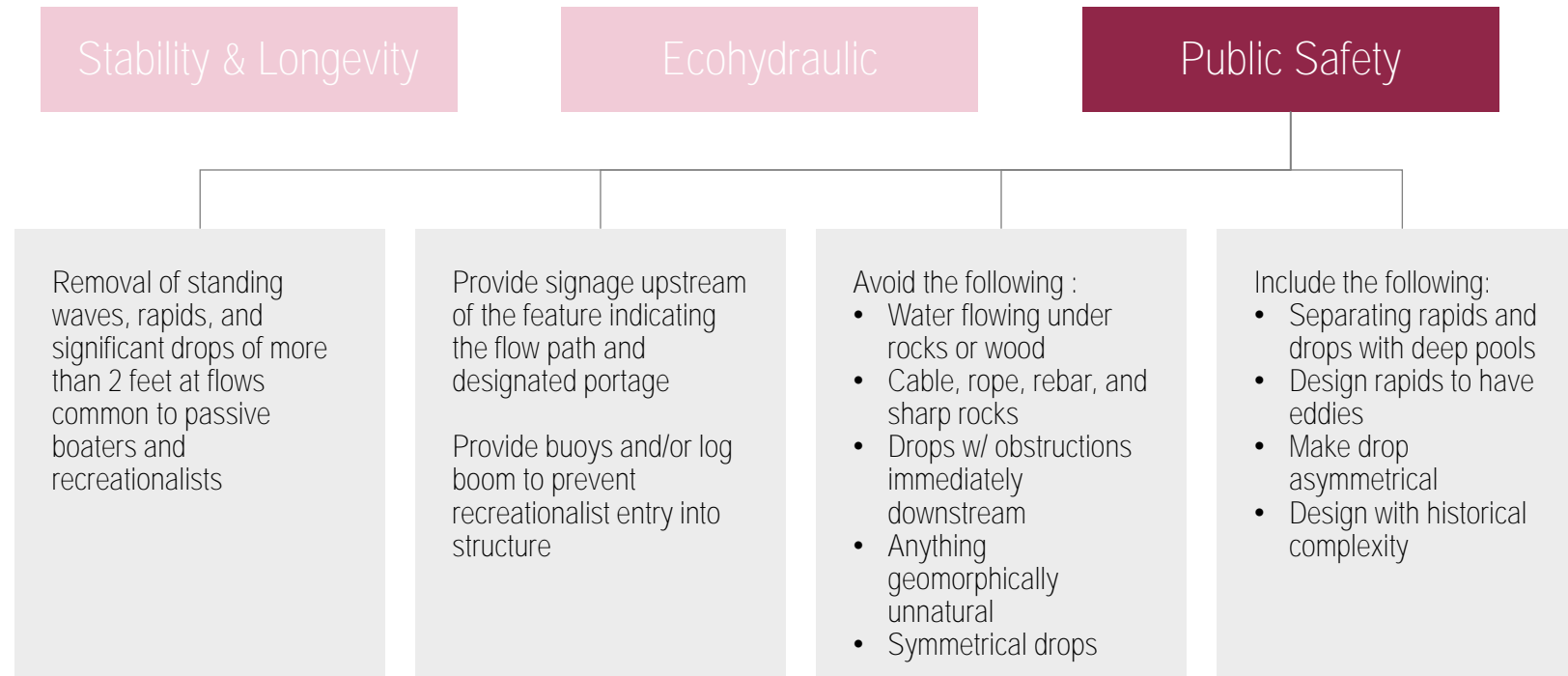
## Example Risk 1 - Performance Objectives and Longevity



- *Fish passage performance expectations...*

# Risk Based Performance Objectives

## Example Risk 1 - Performance Objectives and Longevity



- Recreational experience and public *safety*...



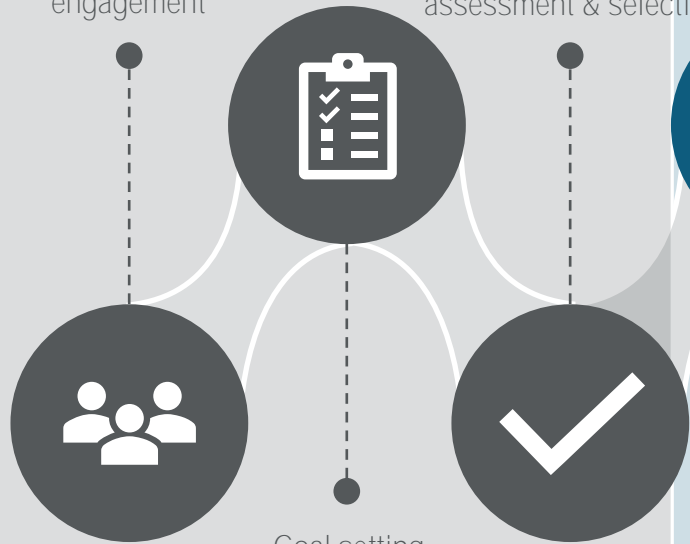
# Design Strategy Addresses Potential Risks

## Speculation and Alternative Selection Process

Multi-agency stakeholder engagement

Consensus-based alternative assessment & selection

Goal setting

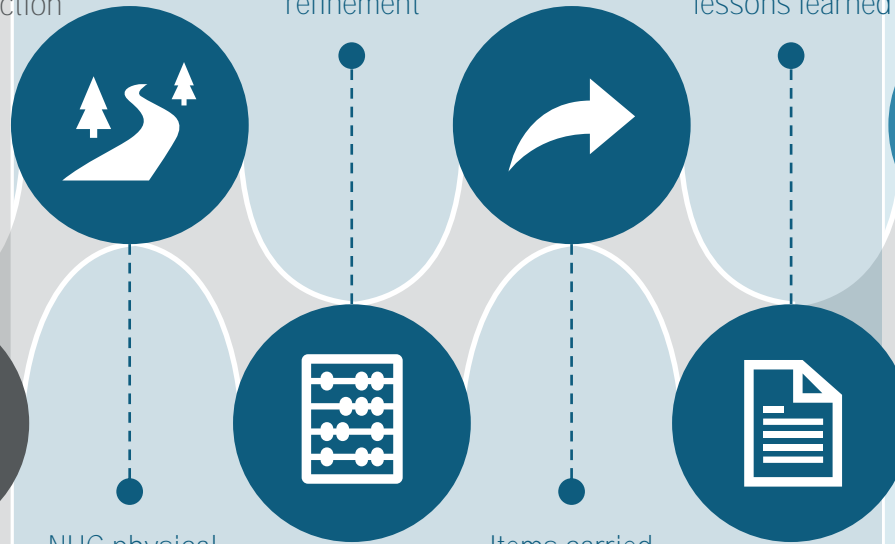


## Proof of Concept

Concept refinement

Integration of lessons learned

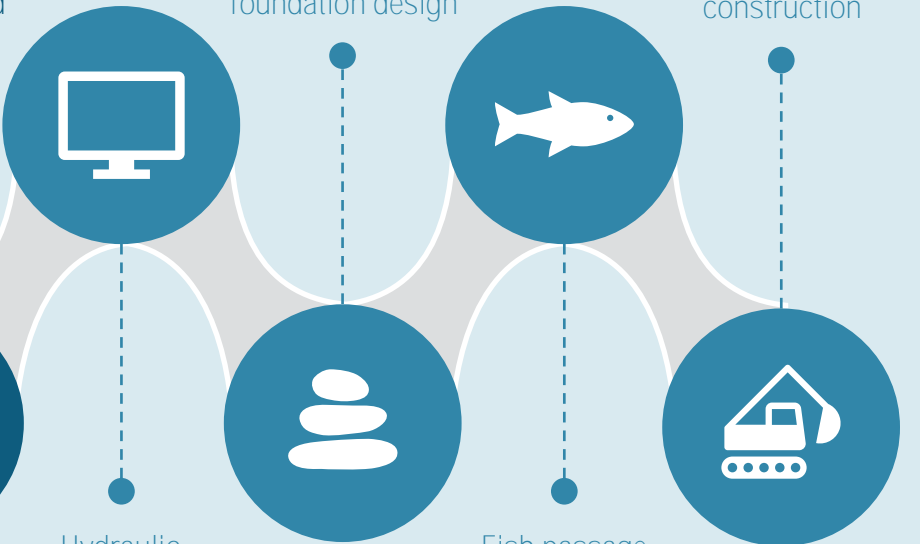
Items carried forward to final design



## Final Design

Rock sizing and rock foundation design

Care of water during construction



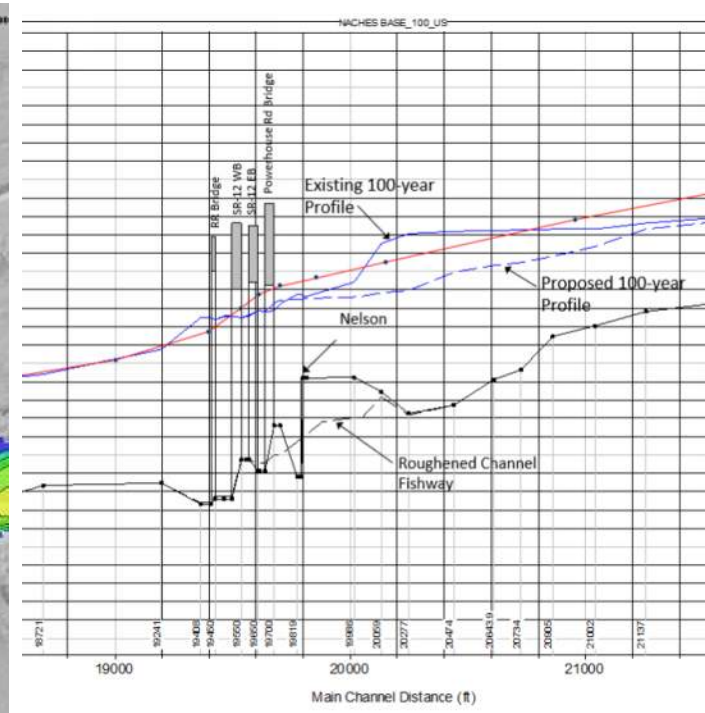
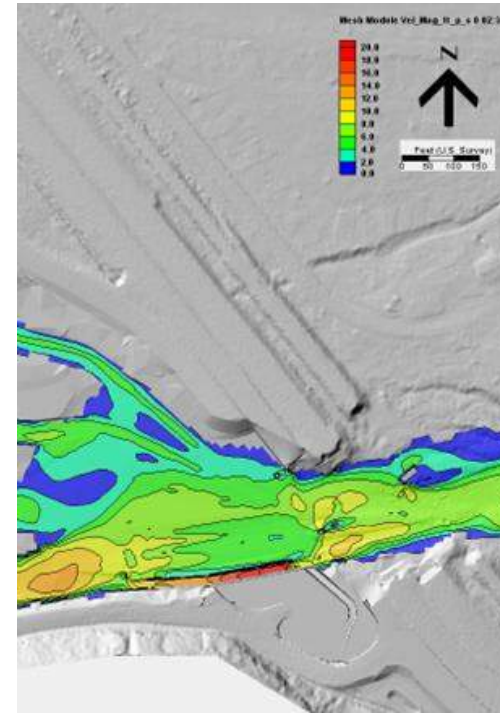
# Multi-Model Strategy to Inform Design

## Physical Modeling

- Proof of concept

## Numerical Modeling

- 1-Dimensional
  - HEC-RAS
  - 2- to 100-year flood profiles
  - Document flood level reduction
- 2-Dimensional
  - SRH-2D
  - Development of hydraulic design parameters for key assessments
  - Risk Scenarios
  - Fish Passage



# Three Proposed Project Risk Scenarios

## Downstream Bridge Span Widening

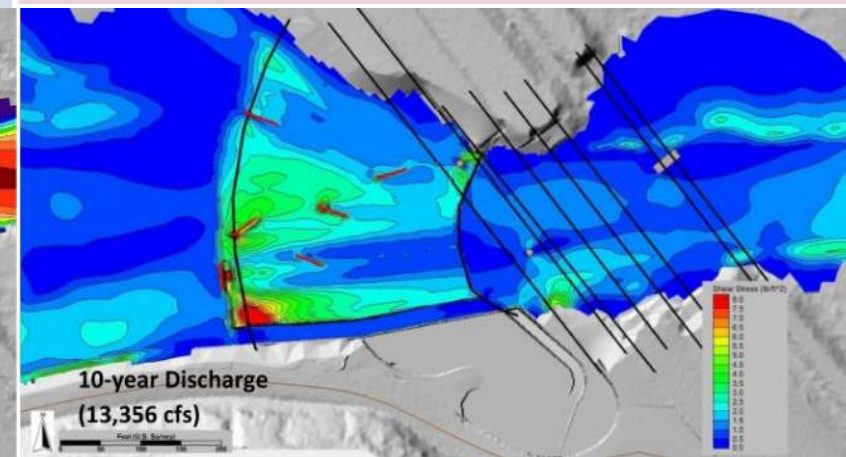
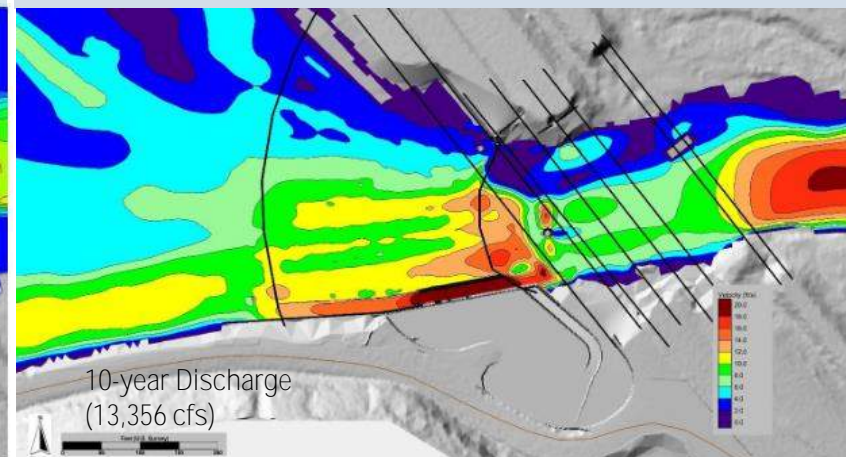
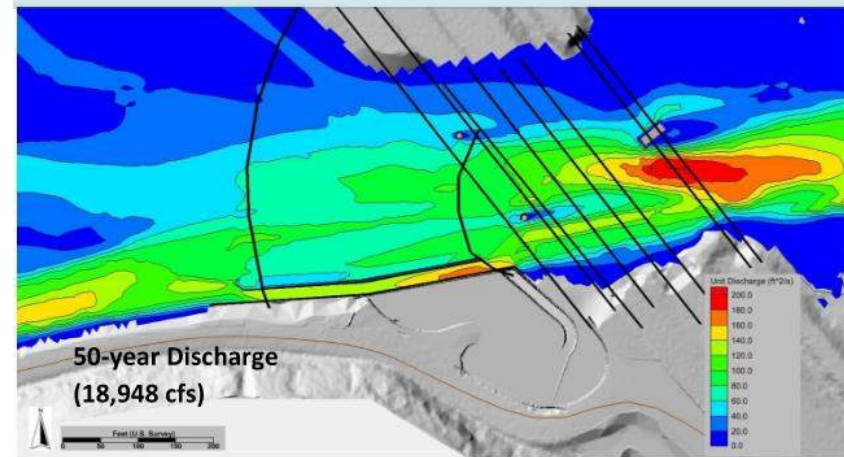
- Future widening of Hwy 12 bridge span
- Steepening of gradient of energy grade line at higher flood events
- Velocity, Shear, Unit Discharge

## Extreme Downstream Scour

- Degradation of channel bed downstream of project
- Channelization and increase in gradient of energy grade line across all flows
- Calculated Velocity, Shear, Unit Discharge

## Debris Accumulation

- Accumulation of debris across channel surface
- Simulation of elevated localized velocity and shear zones
- Calculated Velocity, Shear, Unit Discharge



Unit Discharge, cubic feet per second per foot, (cfs/ft) or square feet per second (ft<sup>2</sup>/s)

Velocity, feet per second (fps)

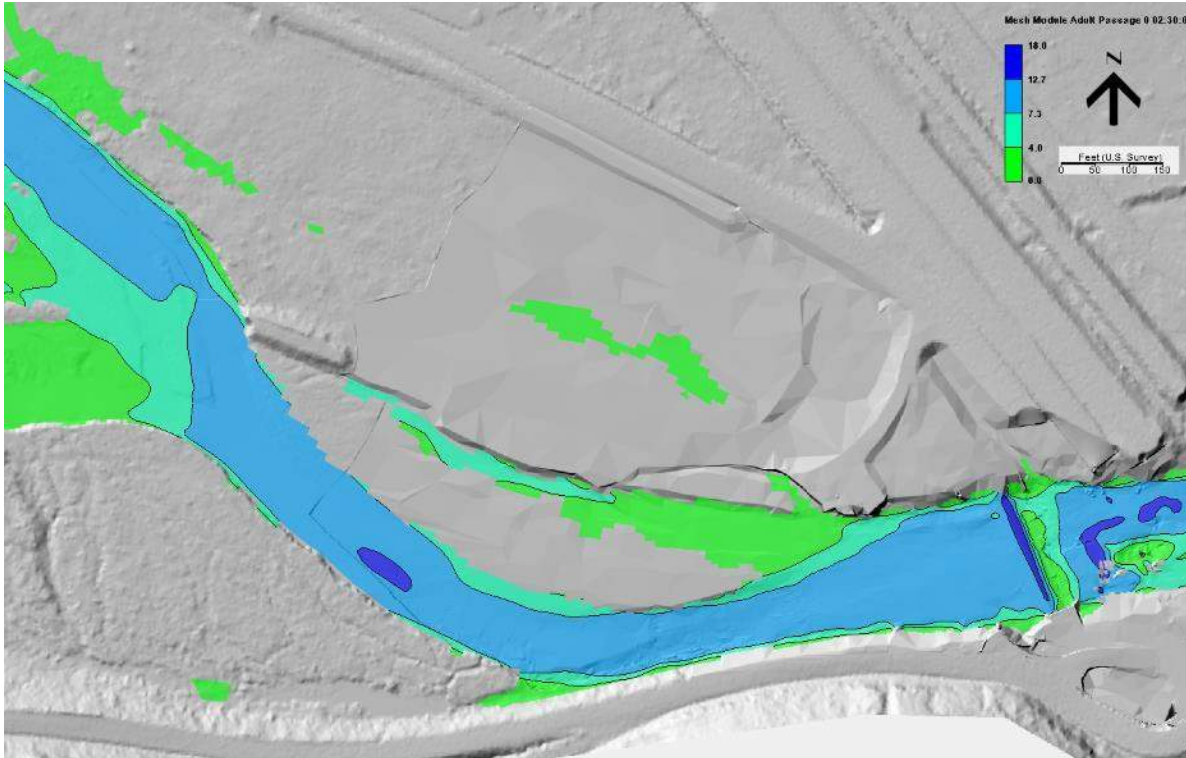
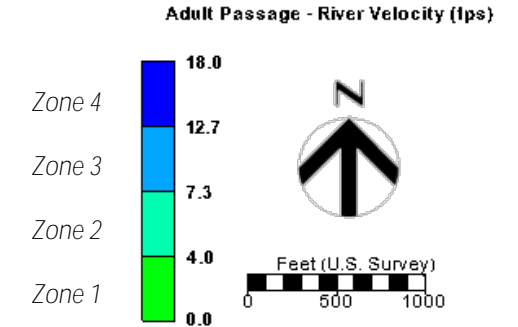
Shear force, pounds per square foot (psf)



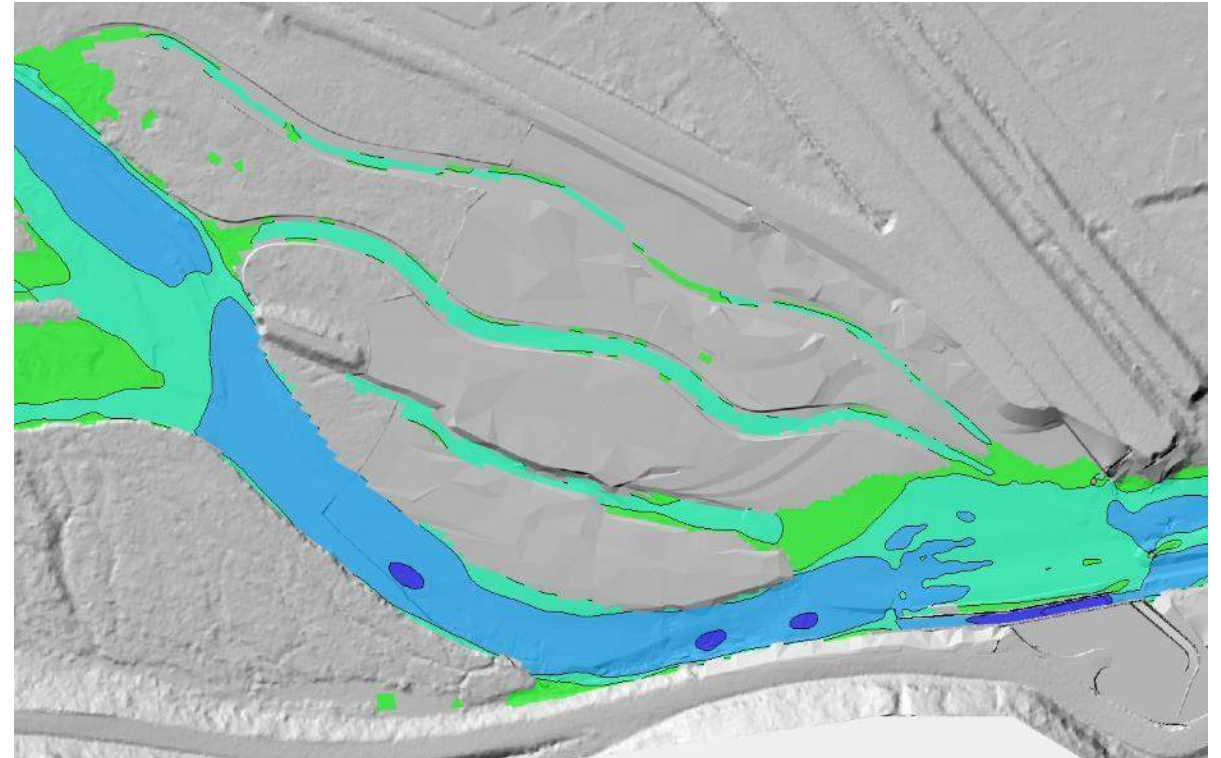
**As anticipated ... debris accumulation happens**

# Ecohydraulic Performance - Fish Passage

- Biometric comparison to 2D hydraulic modeling results
- Flow velocity vs. time to exhaustion vs. fish swimming distance adapted from Katopodis and Gervais, 2016
- Example - Adult fish passage at 6,520 cfs, depth 0.9 feet or greater



Pre-Project Conditions



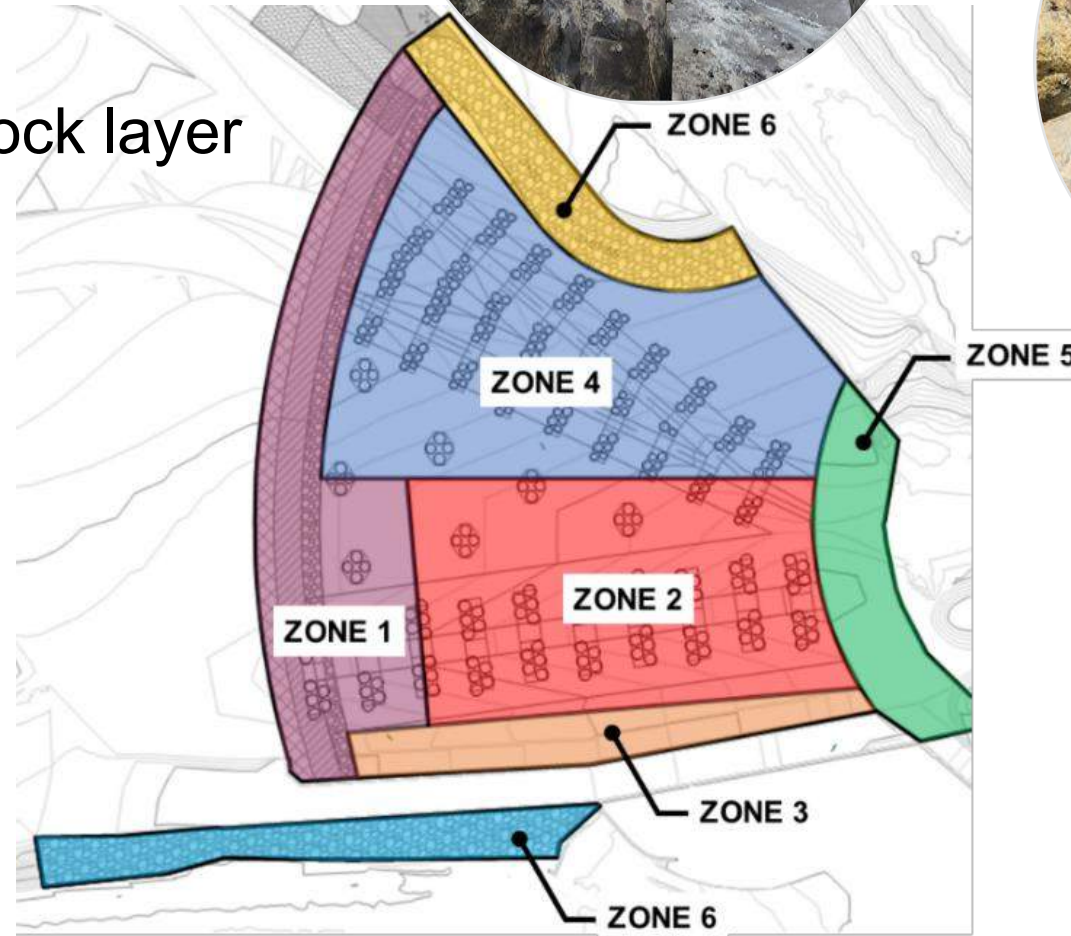
Post-Project Conditions



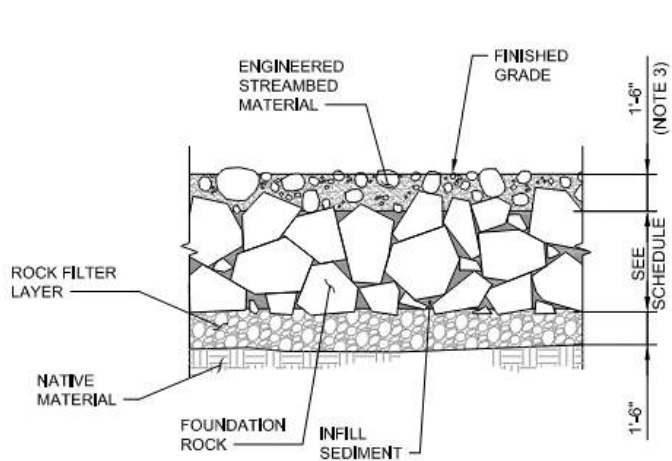
**Floodplain connectivity and  
Multiple Hydraulic Migration  
Pathways During High  
Flows**

# Rock Composition Design and Configuration

- Sheet-pile seepage wall
- Rock filter layer
- Structural foundation rock layer
- Mobile bed layer

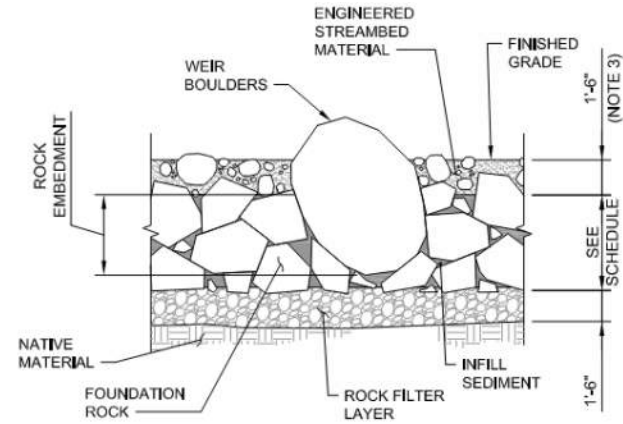


# Rock Composition Design and Configuration



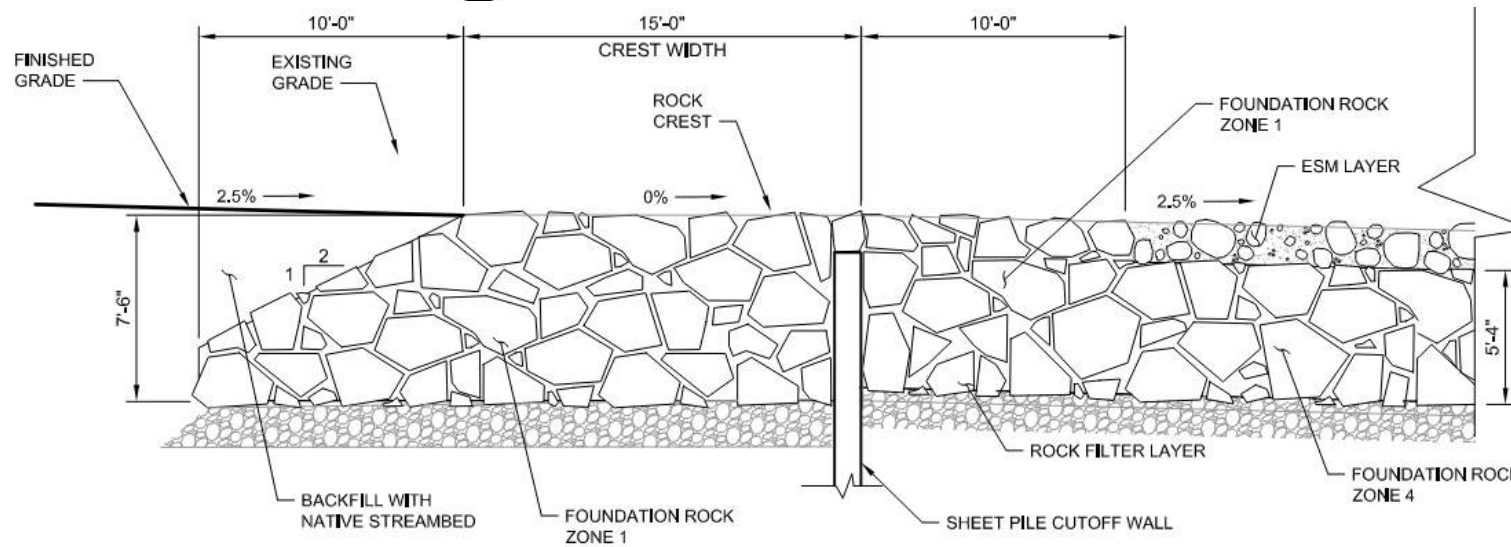
**PRIMARY FISH CHANNEL DETAIL**  
SCALE: NTS

4  
01R04|01R06



**WEIR BOULDER EMBEDMENT DETAIL**  
SCALE: NTS

3  
01R04|01R06



**ROUGHENED CHANNEL CREST SECTION**  
SCALE: NTS

A  
01R02|01R06





# Material Sourcing

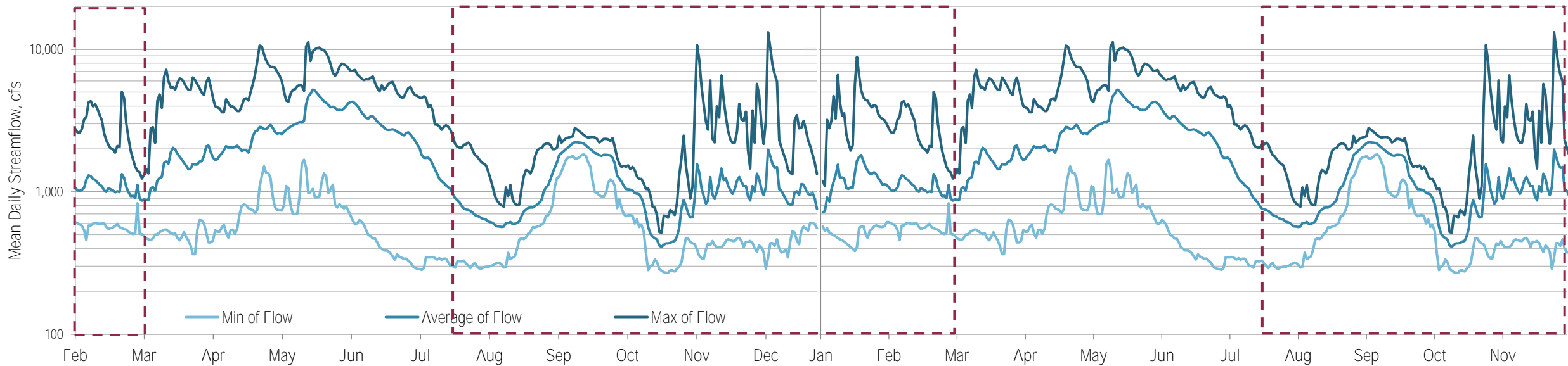
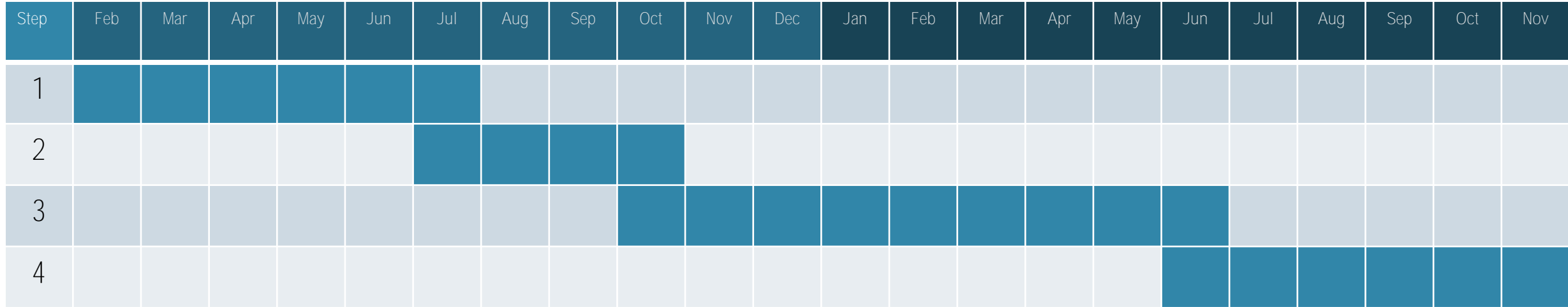
- Bid solicitation through City of Yakima Public Works
- Selection of three local quarries to produce material meeting design requirements
- Stockpile select material and deliver as requested by contractor during construction
- Total select rock deliveries to the project site – 39,000 tons





# Care of Water During Construction

Summary of Construction Sequencing and Anticipated River Flow Variability

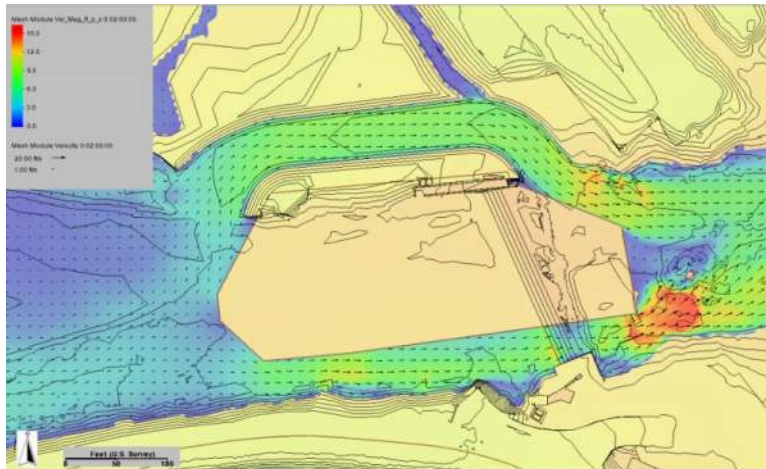


# Care of Water During Construction

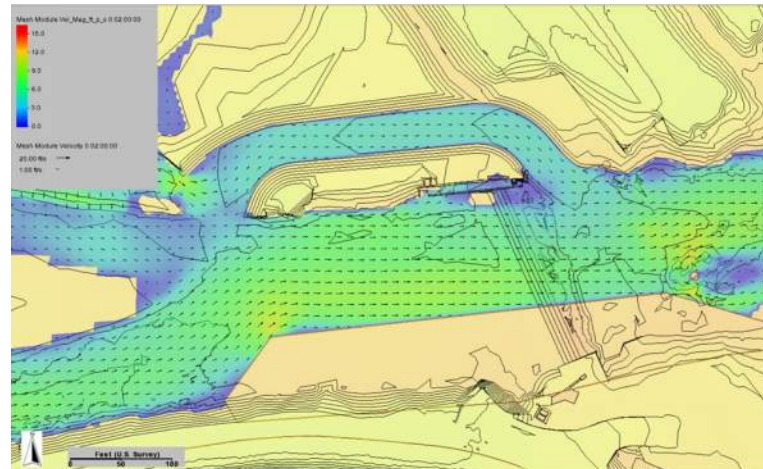
- Major project component:
  - Cost
  - Risk

- Three phase strategy focused on construction of:
  - Main roughened channel area
  - Sluiceway and intake
  - Pilot channels

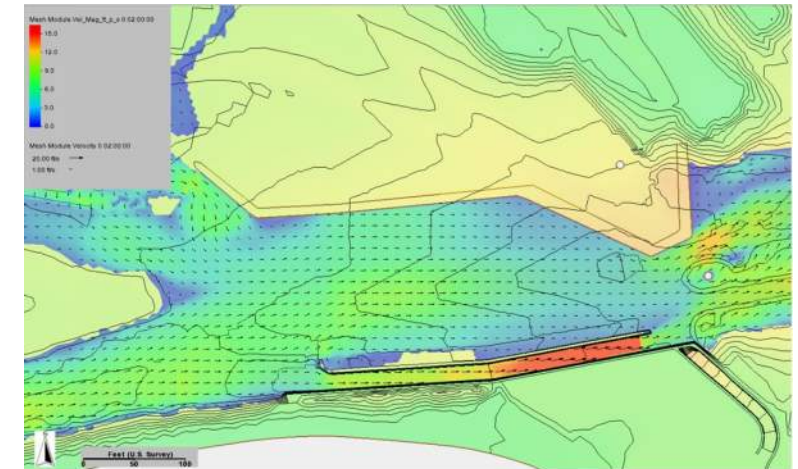
Phase 1



Phase 2



Phase 3



# Care of Water During Construction



**Phase 1: Care of Water**  
**October 22, 2021**



**Phase 2: Care of Water**  
**June 9, 2022**



**Phase 3: Care of Water**  
**October 24, 2022**



**Project Completion**  
**April 19, 2023**

# Care of Water During Construction



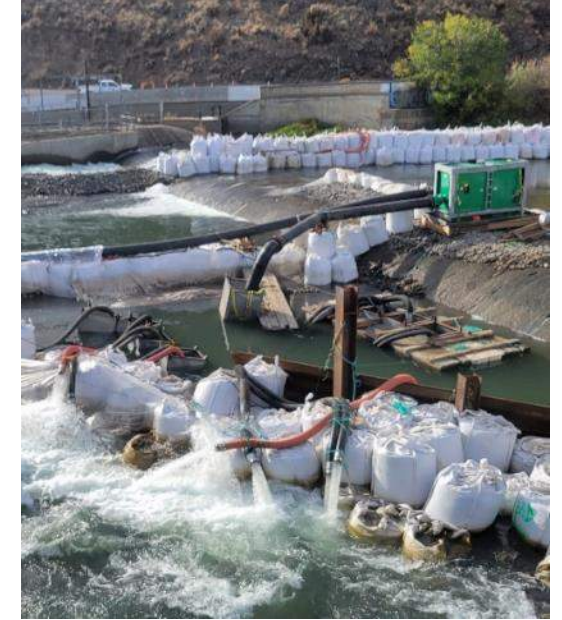
**Over 2,700 supersacks  
used for cofferdams**



**Temporary and  
permanent sheet pile  
walls**



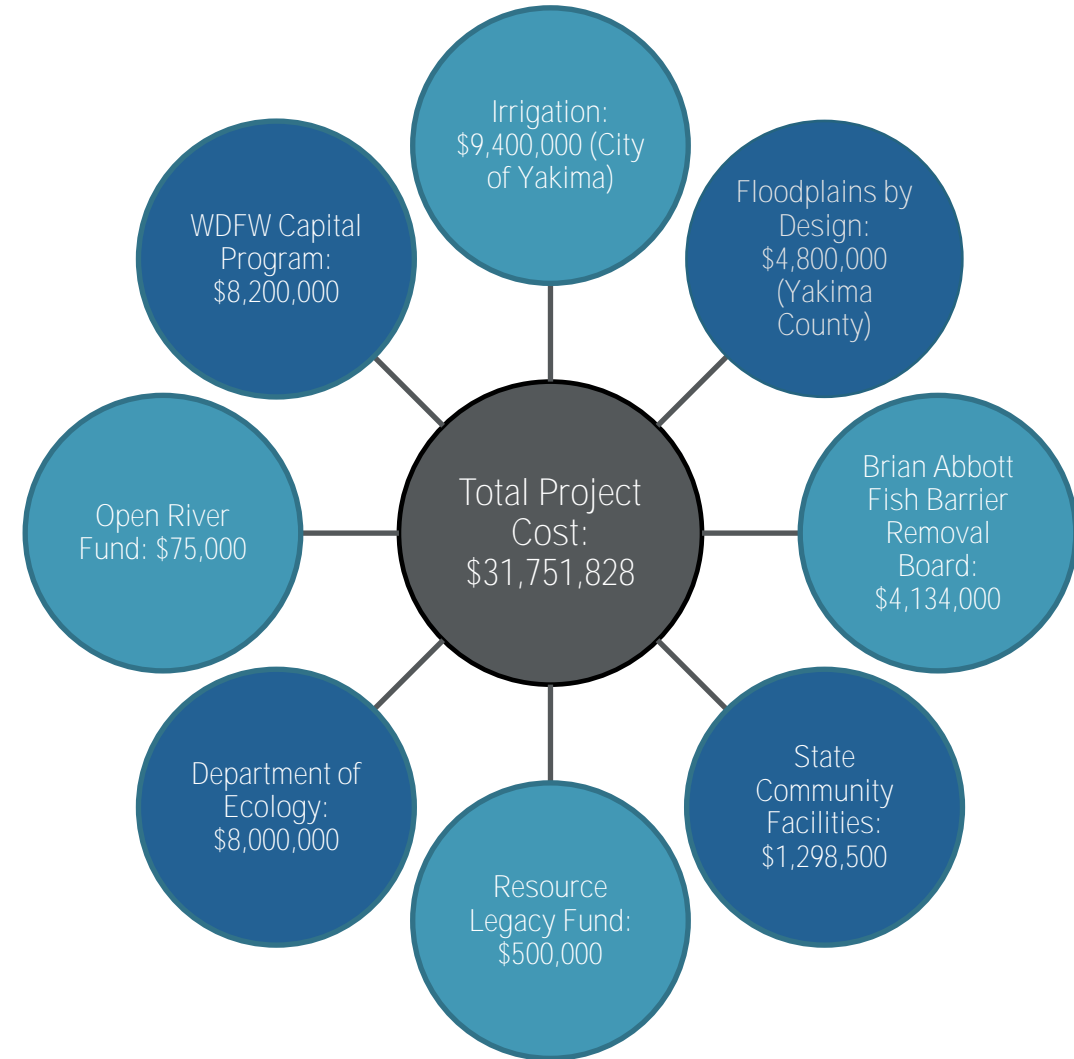
**Multiple river diversion  
strategies**



**Networks of dewatering  
pumps, and conveyance  
techniques**

# Funding

- Evaluated at each phase of project implementation
- Collaborative effort among numerous funding partners
- Plan for ongoing monitoring and maintenance – reference original risks and design criteria identified during pre-design phase of work





**Post-Project Conditions  
October 2023**

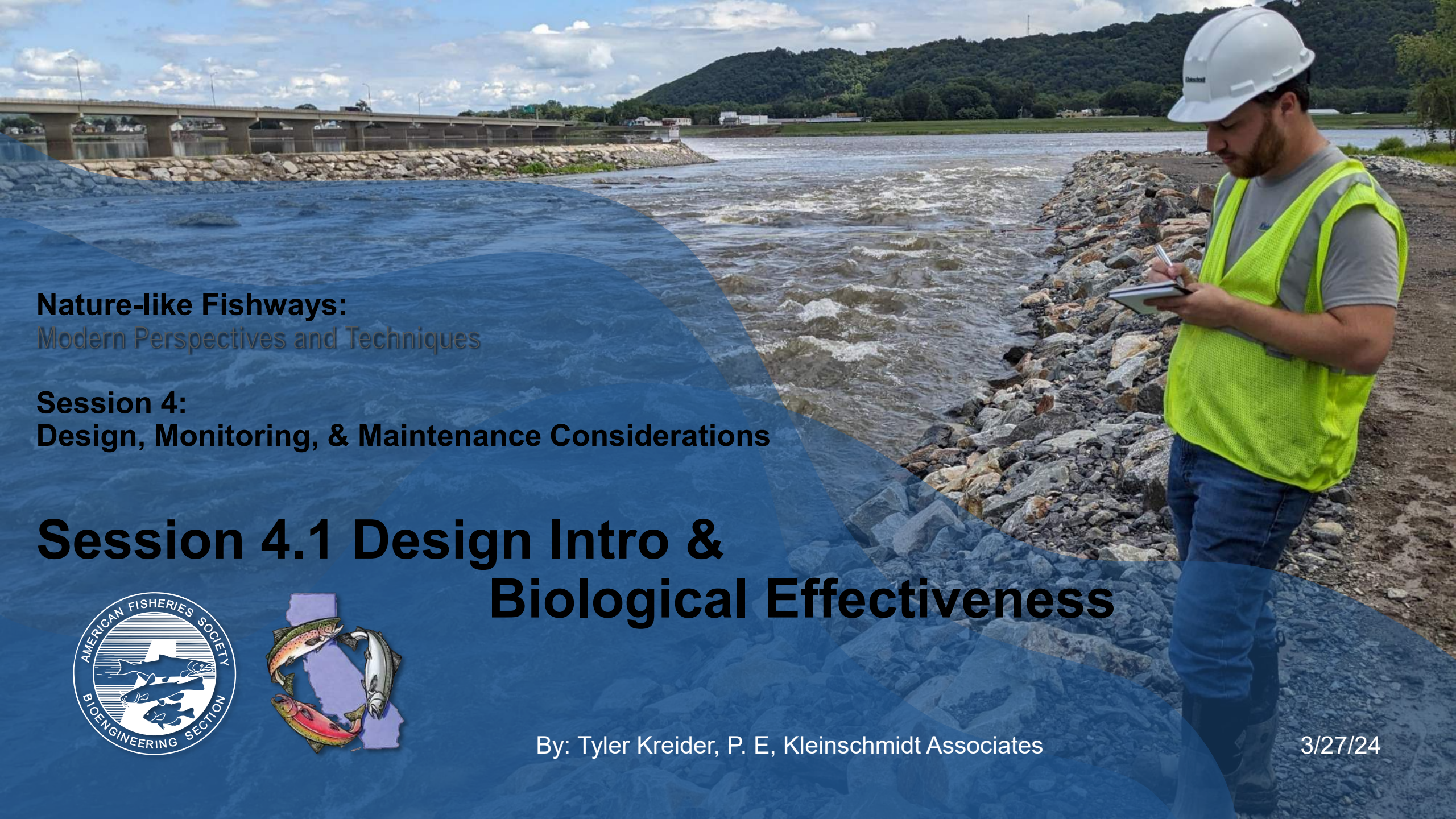


# Lessons Learned

## Key Successes/Failures

- Risk identification and management begins during the project planning phase and continues throughout every stage of implementation
- Goals, objectives, expectations, and constraints should be communicated often and well documented
- Design, funding, and construction strategies should focus on addressing high priority risks – Risks with severity and likelihood
- Exposure to risk changes throughout the project, evaluating and addressing new risks doesn't end





**Nature-like Fishways:**  
Modern Perspectives and Techniques

**Session 4:**  
Design, Monitoring, & Maintenance Considerations

**Session 4.1 Design Intro &  
Biological Effectiveness**



By: Tyler Kreider, P. E, Kleinschmidt Associates

3/27/24

## Session 4 AGENDA

<b>01</b>	<b>Design Intro &amp; Biological Effectiveness</b> by Tyler Kreider
<b>02</b>	<b>Hydraulic Modeling</b> by Barry Chilibeck
<b>03</b>	<b>Roughness Design</b> by Barry Chilibeck
<b>04</b>	<b>Other Design Factors</b> by Tyler Kreider
<b>05</b>	<b>Summary of NLF Monitoring Results</b> by Bjorn Lake
<b>06</b>	<b>Monitoring Methods</b> by Barry Chilibeck and Tyler Kreider
<b>07</b>	<b>Maintenance of NLFs</b> by Marcin Whitman
<b>08</b>	<b>Q&amp;A (as time allows)</b> led by Tyler Kreider



## Session 4.1 AGENDA

**01** Defining “effective passage”

**02** Meshing the Biological & Mathematical



# Design



**Design a fishway**

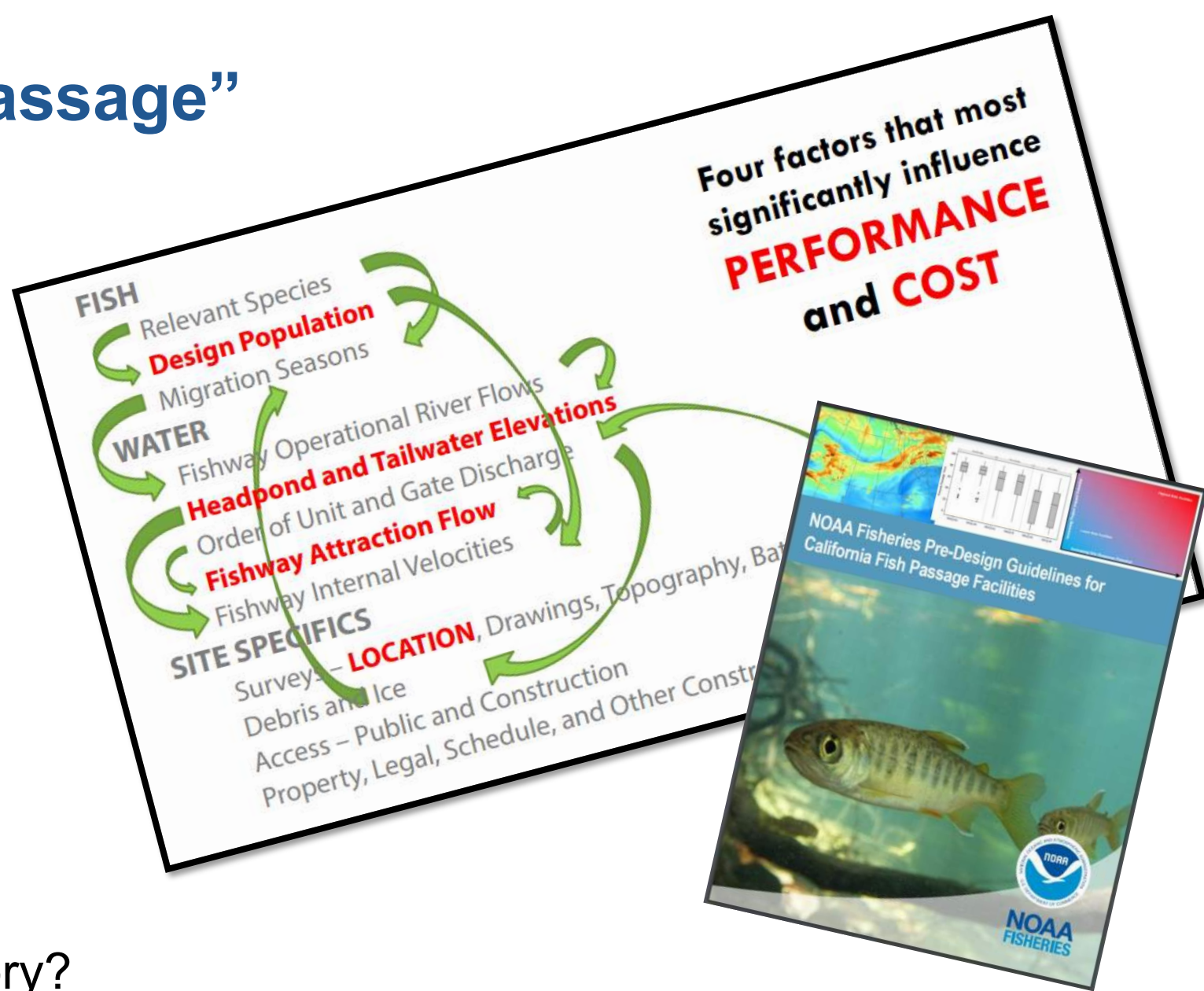
**Design a NLF**

**Design an effective NLF for passage of X,Y, Z species.**

- Guidance documents (see Session 1 references)

# Defining “Effective Passage”

- Project Design Criteria
  - Defining design criteria could be 2-day workshop by itself!
  - NOAA Pre-Design Guidelines for CA Fish Passage Facilities
  - Some mentioned in following slides, but not exhaustive
- Compliance driven?
- Regulatory input
- Get fisheries biologist & engineer input
- Species: Resident? / Migratory?  
Life Stage(s)?

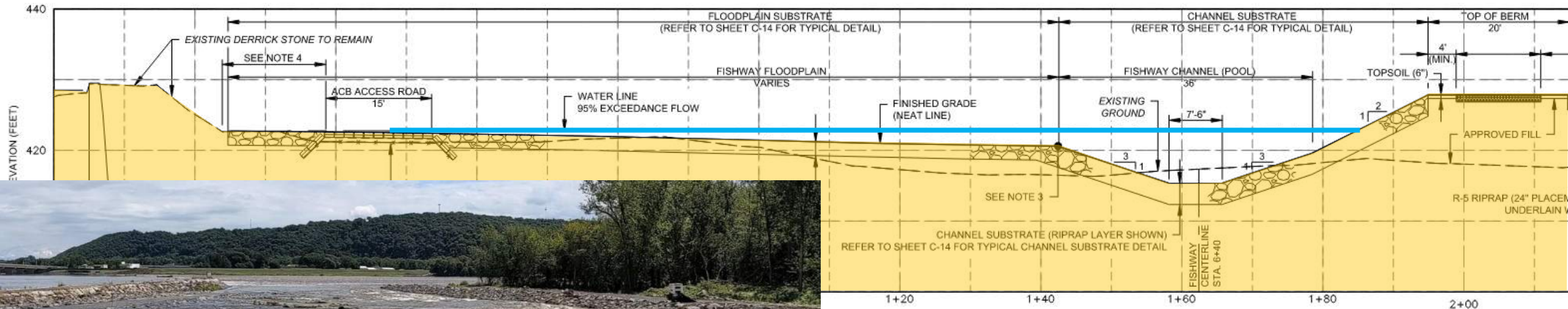




# Defining “Effective Passage”

- NLF type (*see Session 2*)
  - Pool/weir vs. roughened channel vs. hybrid
  - Partial width vs. full-width vs. bypass
  - Attraction flow (% of total river flow)
- Applicability of Regional/National fish passage guidance
  - Specific for NLFs?
  - May vary by NLF type
- One-size-fits all criteria/guidance???
  - Overly conservative vs. not conservative enough for given species?
  - Variability in fish size across region/nation





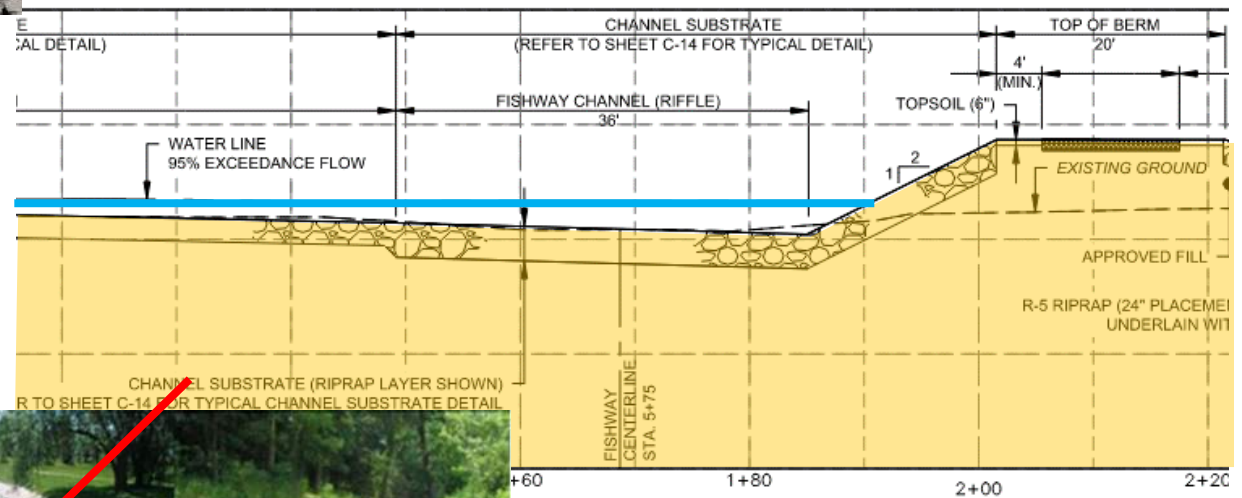
POOL Cross Section



# Defining “Effective Passage”

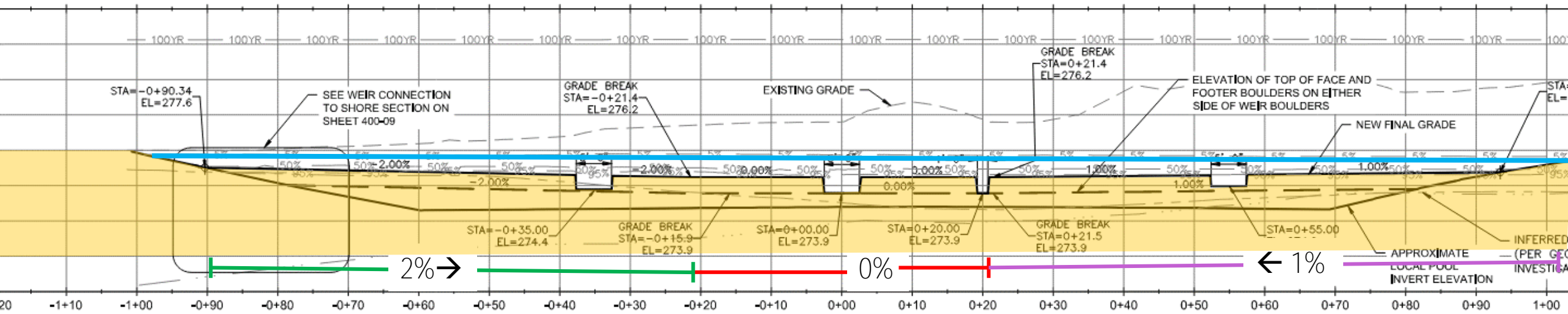
Cross Section matters!

- Pool vs. riffle/weir
- Thalweg/deep zone of passage
  - Width
  - Cross-flow slope?



RIFFLE Cross Section



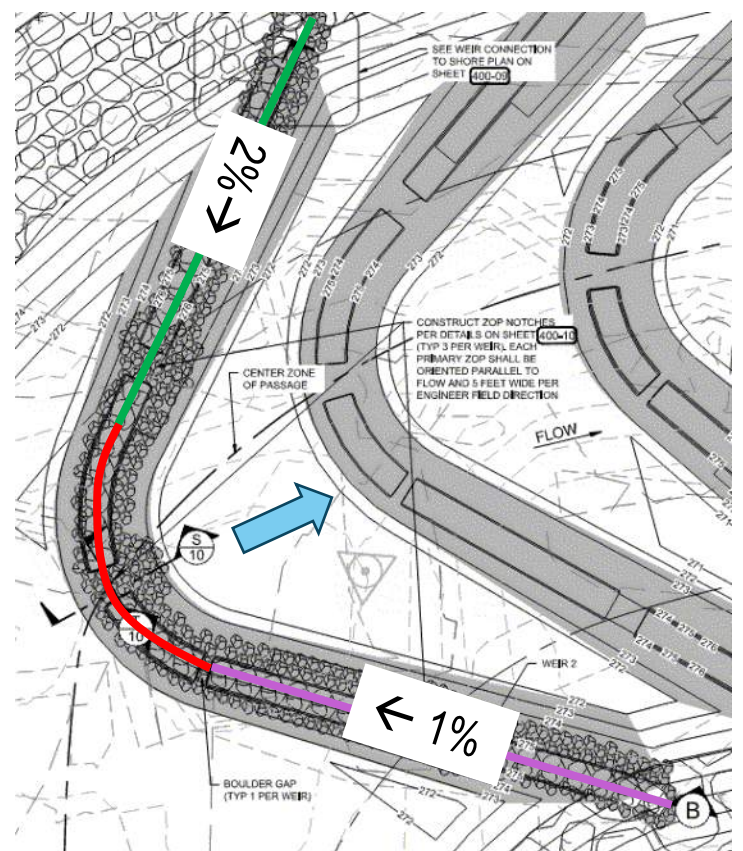


WEIR 2 SECTION (B)

# Defining “Effective Passage”

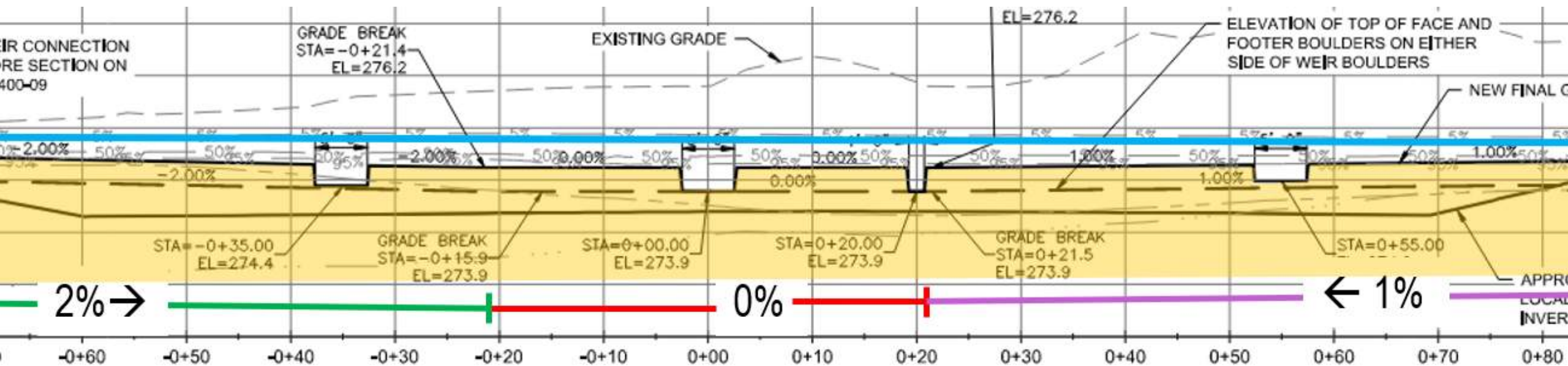
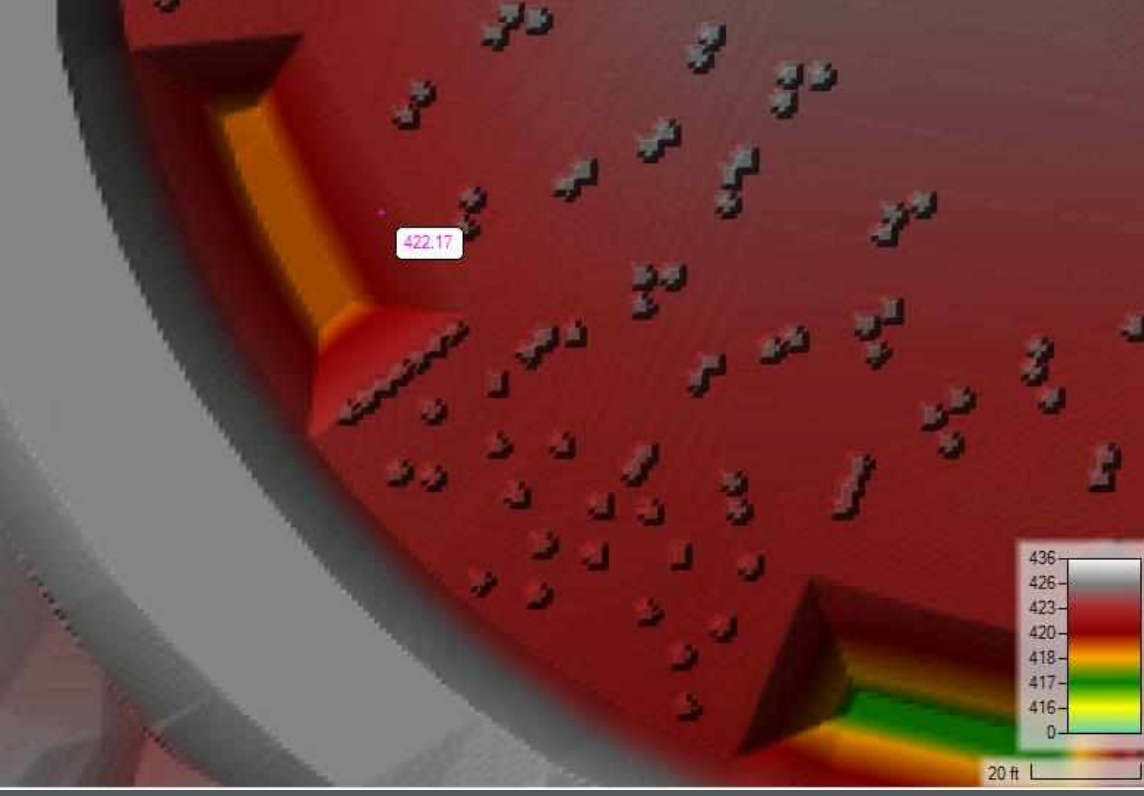
## Cross Section matters!

- Boulder weir arm/floodplains
  - Width
  - Cross-flow slope (vary by side?)



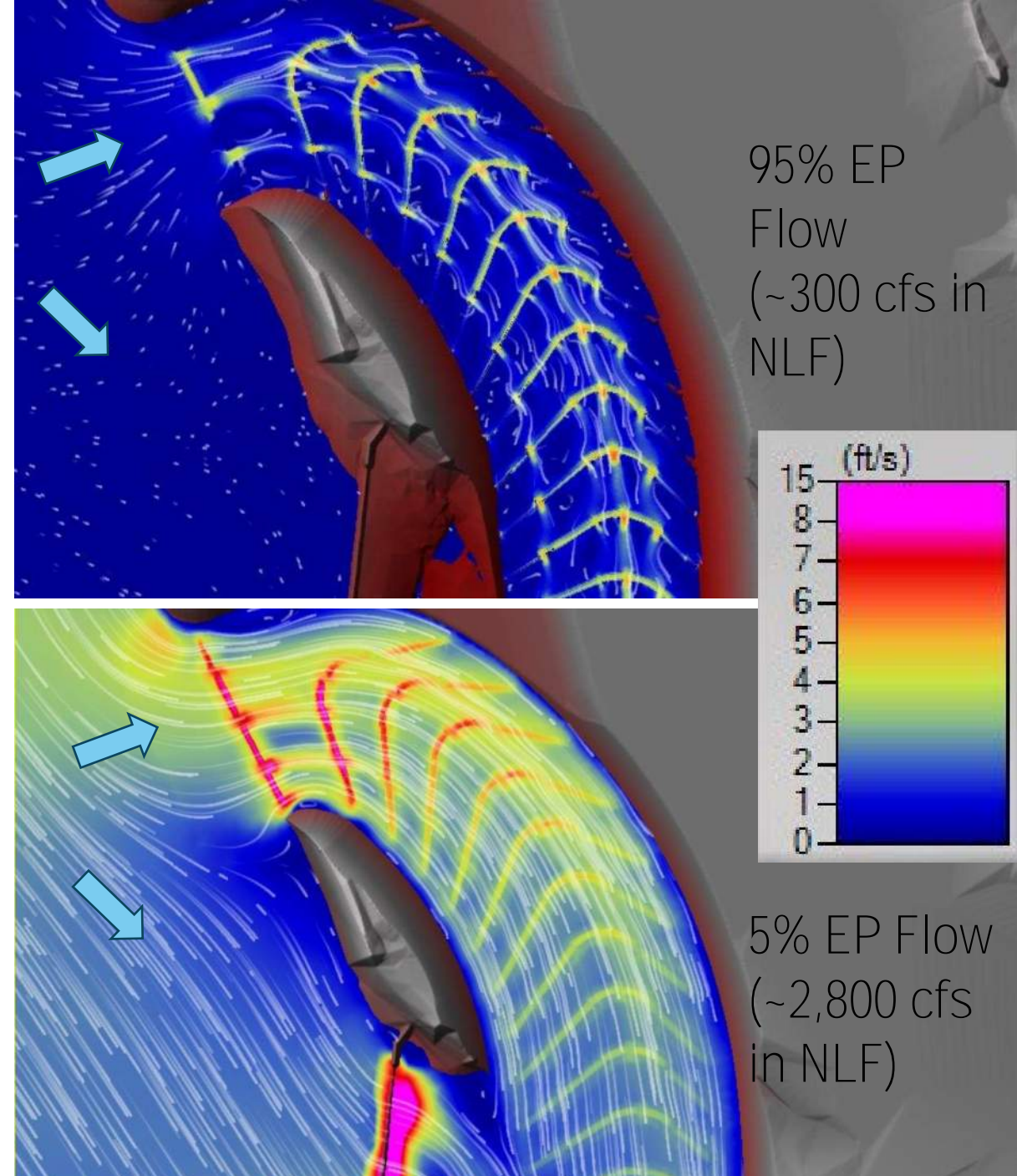
# Defining “Effective Passage”

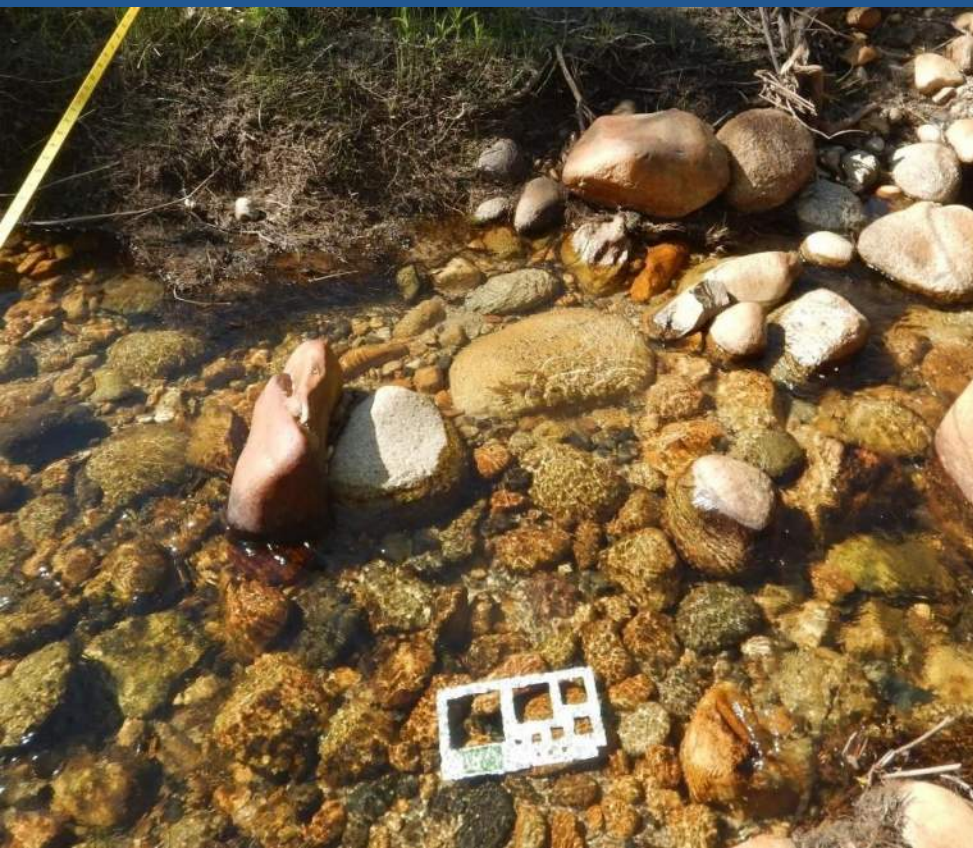
- Cross Section matters!
  - Roughness Elements?
  - Zones of Passage
    - “Random” vs. small/large gapped boulders
    - Refer to design criteria → water depth
    - Variability (in design and construction)



# Defining “Effective Passage”

- Effectiveness likely will vary with flow
  - 95% exceedance flow
  - 50% exceedance flow
  - 5% exceedance flow
- Optimize passage for flows that can reasonably be anticipated to occur most often
- Scope/Budget/Schedule limitations





## Effective Passage: Desktop vs. Field

- Designer's Goal: is maximum fish passage for minimal design/construction cost
- Need mechanism to evaluate passage effectiveness during design
  - Balance theoretical design effort (desktop) against labor-intensive condition survey of known passable reaches (field)
- Desktop tools: Google Earth/FEMA/hyd. model
- Field benefits:
  - Design to replicate known passable conditions

Velocity

## Meshing the Biological & Mathematical

Methods to consider

- Depth/Velocity Mapping
- Single species vs. conservative values to cover multiple species

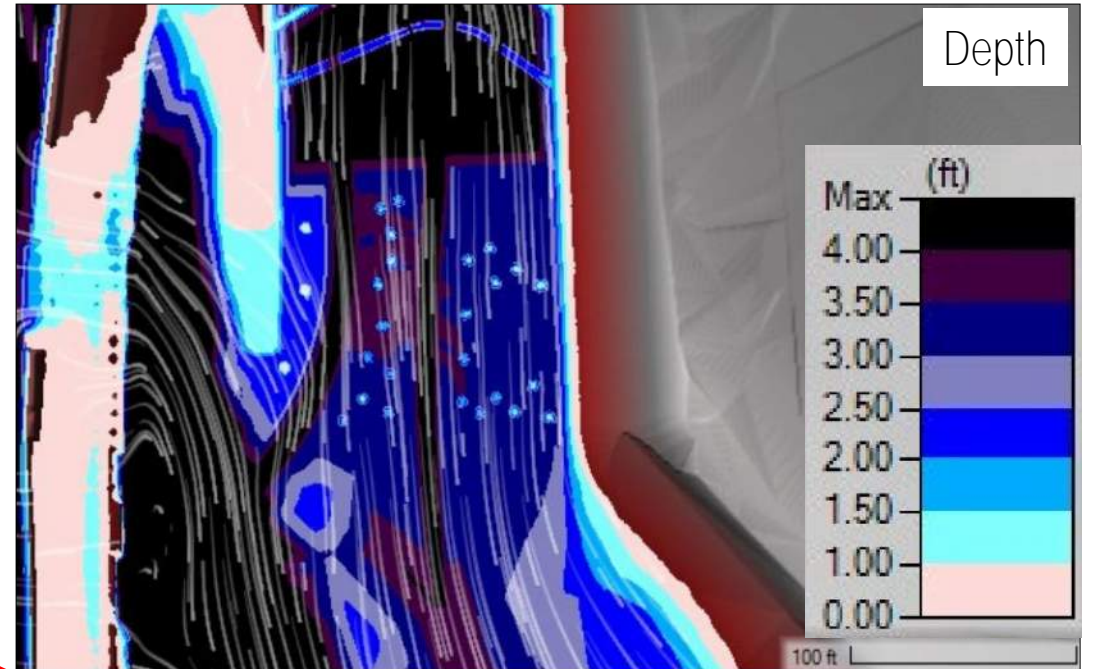
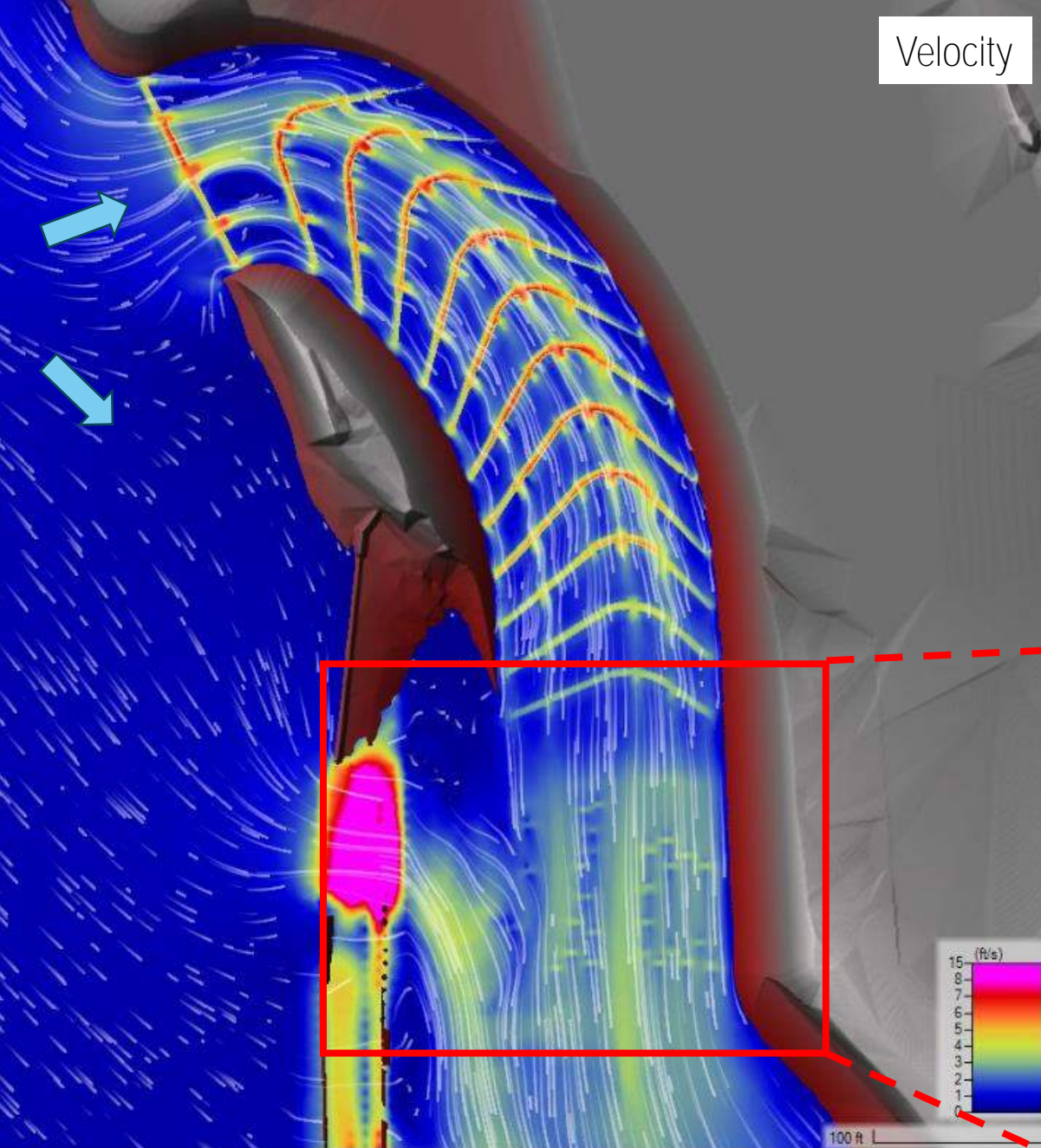


Figure 5-11. Proposed Inland Nature-like Fishway: Fine Scale Model 50 Percent Exceedance Probability (35,200 cfs) Velocity

# Meshing the Biological & Mathematical

## Methods to consider

- Depth/Velocity Mapping
- Species-specific mapping
  - Zones of passage
  - Suitable passage by flow for given species threshold depth & velocity

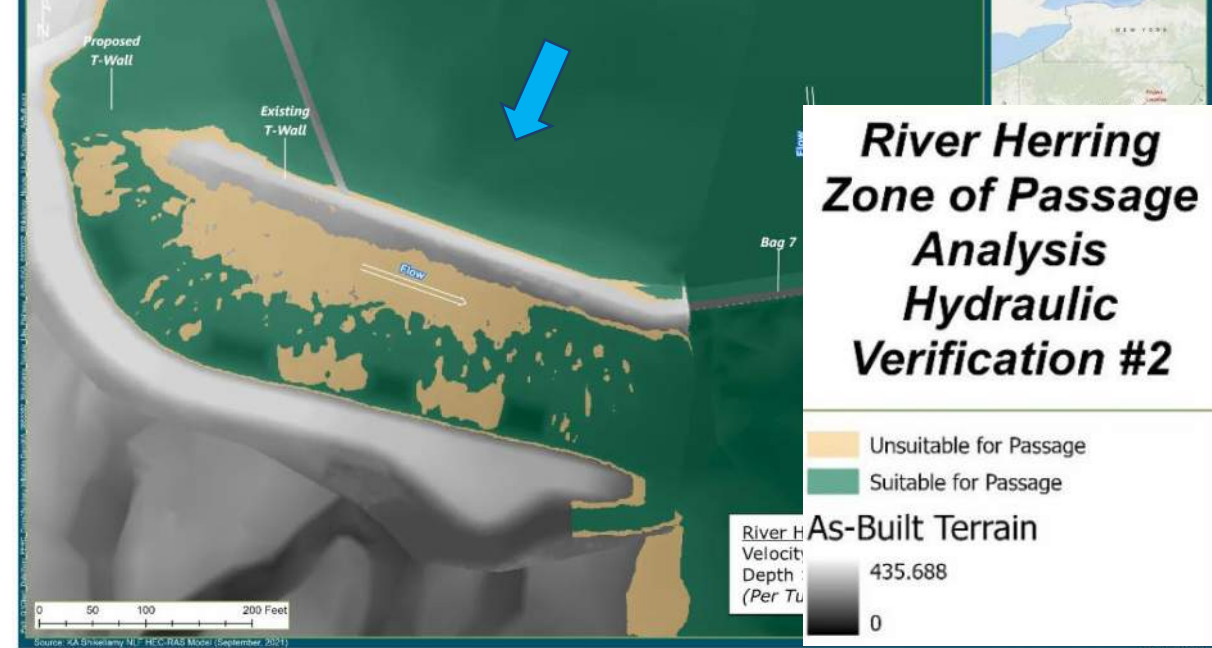


Figure 15. River Herring Zone of Passage Analysis at 428.4' Headpond WSE (lower than design flow).

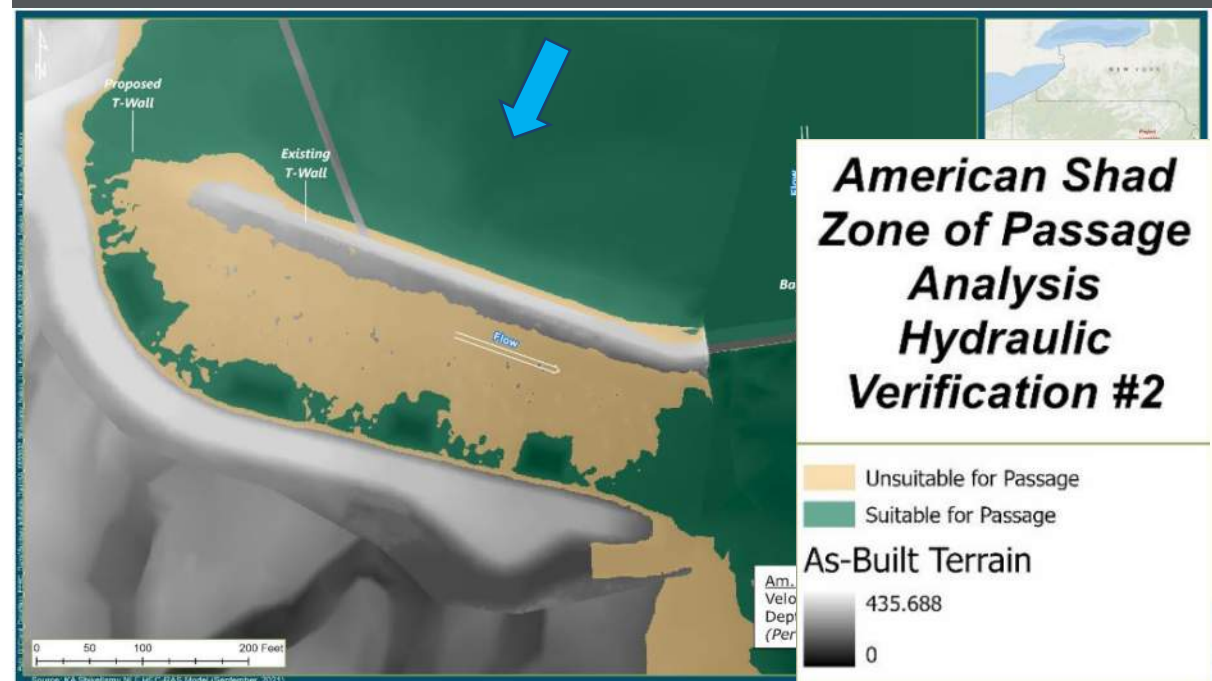


Figure 16. American Shad Zone of Passage Analysis at 428.4' Headpond WSE (lower than design flow).

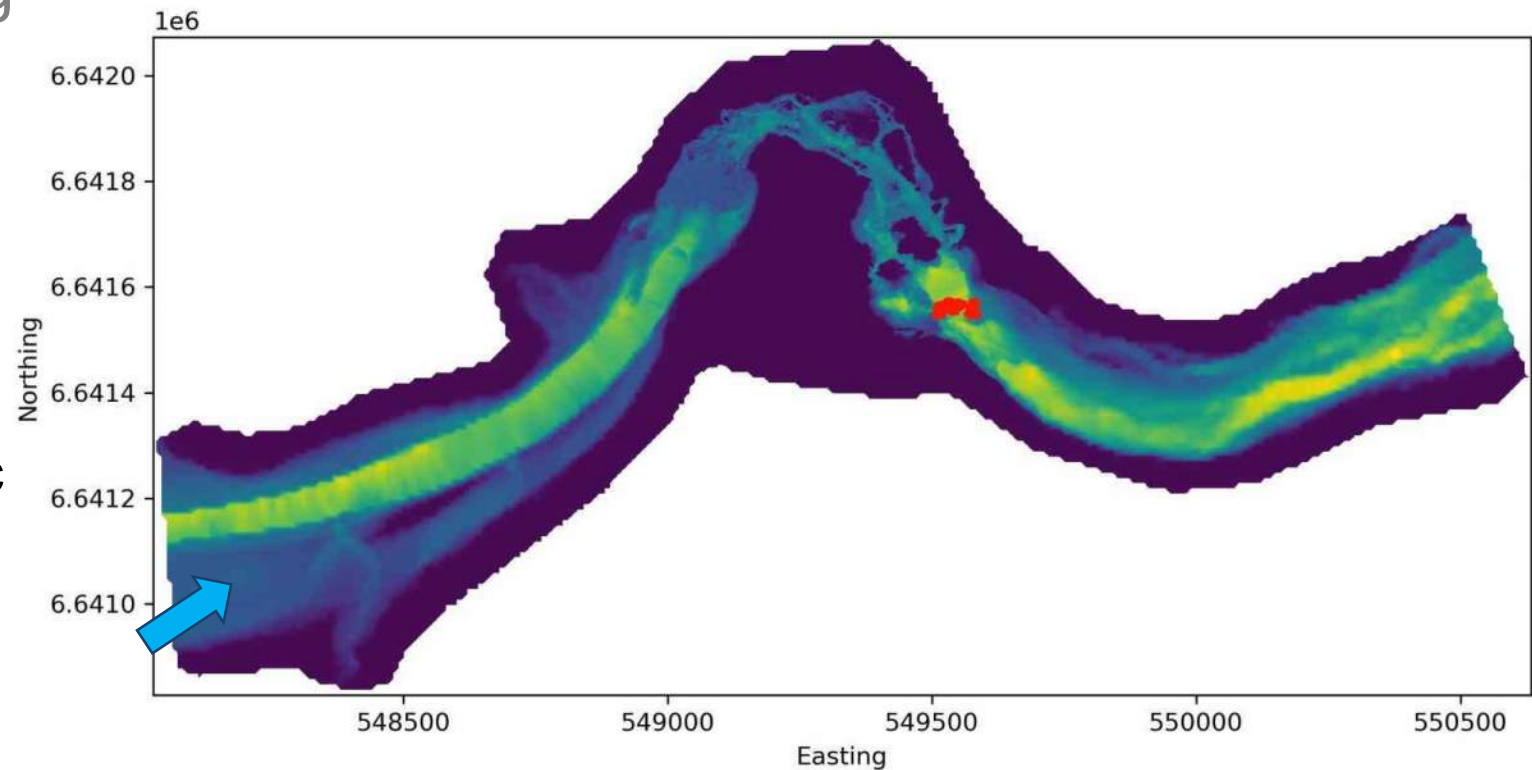
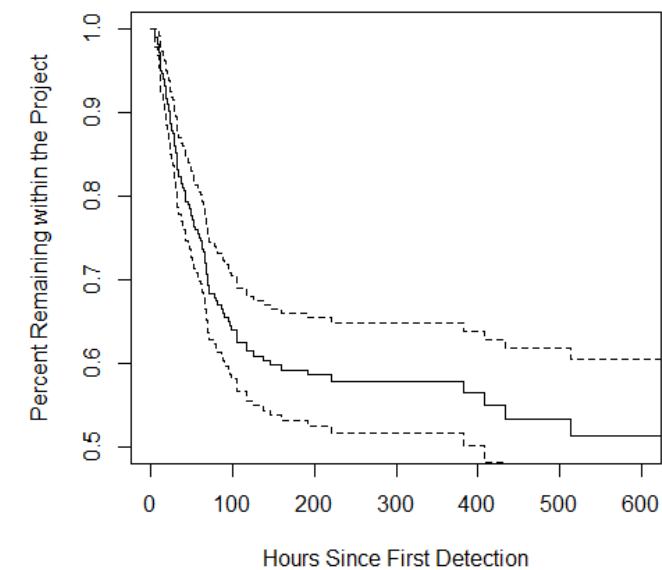


# Meshing the Biological & Mathematical

## Methods to consider

- Depth/Velocity Mapping
- Species-specific mapping
- Agent-based
  - Each “fish” programmed with depth/velocity preference/range
  - Released into hydraulic model to swim upstream
  - Evaluate passage rate

- Kaplan Meier curve: shows the percent remaining in the initial state at time  $t$
- Cox Proportional Hazards regression → hazard ratio  $<1$ ?



# Session 4 AGENDA

01	Design Intro & Biological Effectiveness by Tyler Kreider
02	Hydraulic Modeling by Barry Chilibeck
03	Roughness Design by Barry Chilibeck
04	Other Design Factors by Tyler Kreider
05	Summary of NLF Monitoring Results by Bjorn Lake
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07	Maintenance of NLFs by Marcin Whitman
08	Q&A (as time allows) led by Tyler Kreider

Up Next!



# Nature-like Fishways:

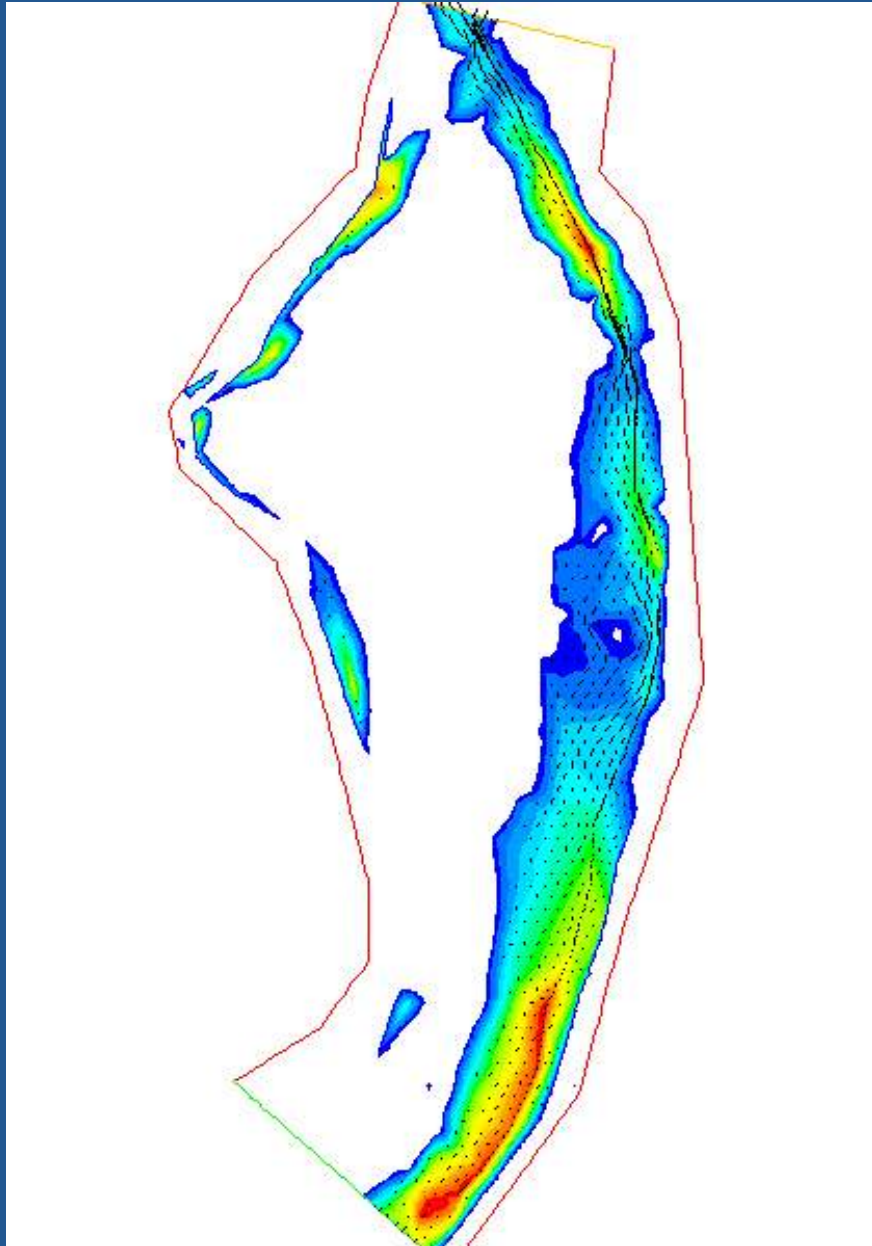
Modern Perspectives and Techniques

## Session 4: Design, Monitoring, & Maintenance Considerations



Lead By:  
**Tyler Kreider &  
Barry Chilibeck**

March 27, 2024



## Discussion Topics

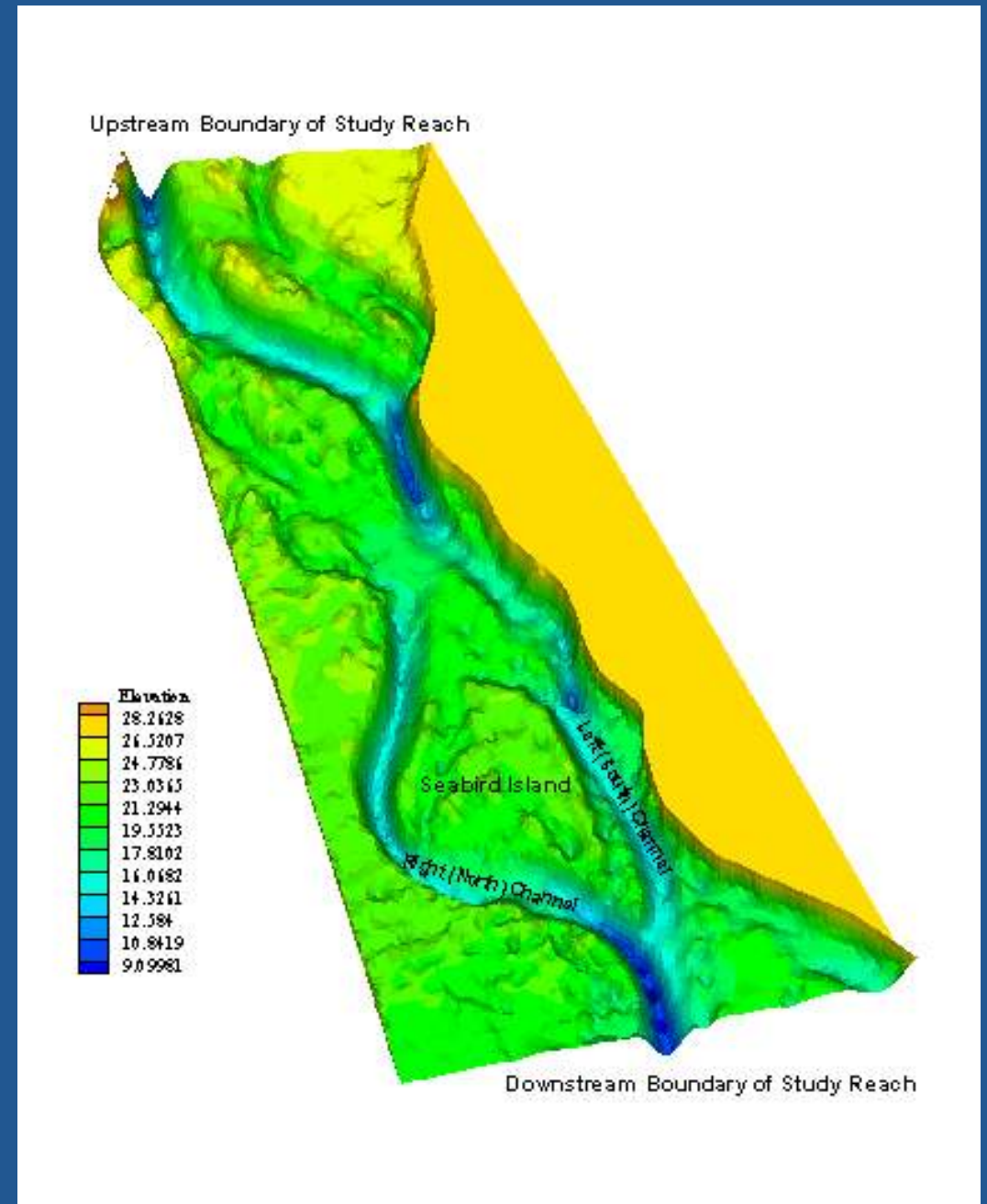
- Types of hydraulic models
- Modeling components and methodology
- Integration of numerical tools into NLF design and assessment
- What to model where and why
- Future of numerical simulations and modeling

the medium is the message

- Marshall McLuhan

# Hydraulic Analysis and Simulations

1. Hydraulic calculators
2. 1D hydraulic models
3. 2D hydraulic models
4. 3D and CFD models
5. Physical Models



# References

1. Maddock et al. 2013. Ecohydraulics: An Integrated Approach; **Part I, Section 3: Hydraulic Modelling Approaches for Ecohydraulic Studies: 3D, 2D, 1D and Non-Numerical Models**, Daniele Tonina and Klaus Jorde.
2. HEC RAS Hydraulic Reference Manual (web):  
<https://www.hec.usace.army.mil/confluence/rasdocs/ras1dtechref/latest>

# At-a-section Hydraulics

- Simple hydraulic calculators and spreadsheets are excellent tools in the conceptual design of NLF
- uniform flow, roughness and hydraulics
- These tools can:
  - develop rating curves to analyse flow distribution
  - determine required NLF width – given unit discharge – to determine flows for passage and attraction
  - provide estimate of mean velocity – at a given slope and roughness – to investigate roughness and initial design trade-offs



# At-a-section Hydraulics

- using Manning equation and develop solvers
- use survey data or estimates of section and reach properties
- can use rating curves developed in other models (e.g. HECRAS, etc.)
- concept and prototype designs to fail-fast

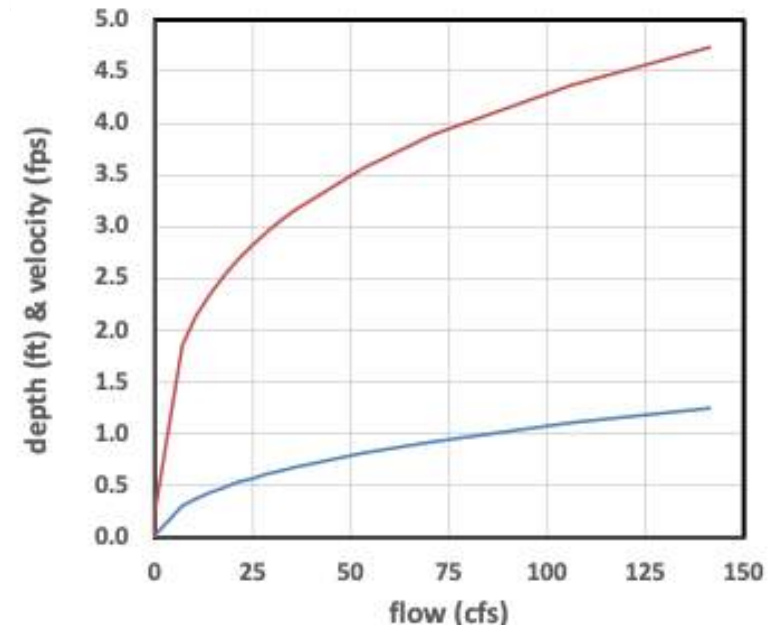
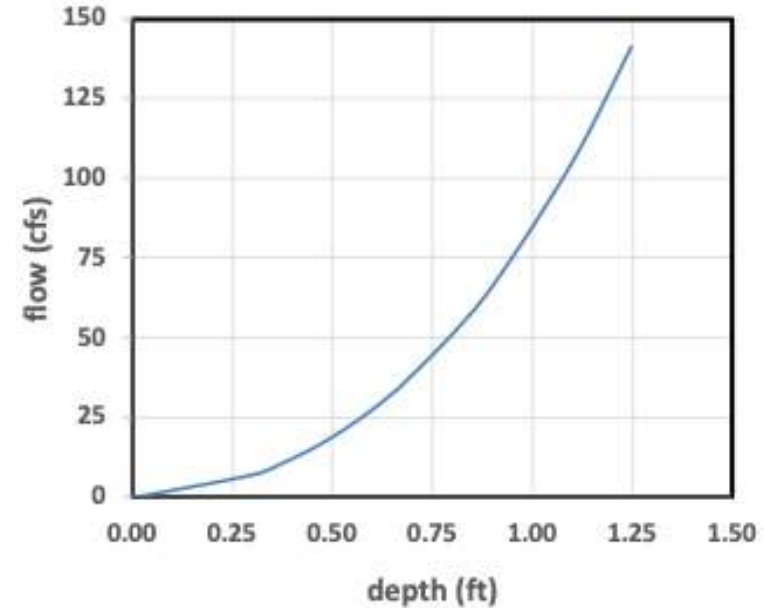
CHANNEL HYDRAULICS			SEDIMENT DATA		
Solve Depth	Bottom Width	2.0 m	Size D <sub>15</sub>	25	mm
	Side Slopes	2.0 H:1V	Size D <sub>50</sub>	150	mm
	Bank Height	1.00 m	Size D <sub>86</sub>	200	mm
Solve Slope	Depth	0.06 m	Size D <sub>100</sub>	300	mm
	Mannings n	0.060	Specific Gravity	2.65	
	Slope	0.8%			
Solve 'n'	Discharge	0.03 m <sup>3</sup> /s			
	Calculated Discharge	0.03 m <sup>3</sup> /s	<b>Bray (1979)</b>		
	Average Velocity	0.21 m/s	D <sub>50</sub>	150	mm
	Average Depth	0.05 m	d	0.45	m
	Flow Area	0.12 m <sup>2</sup>	R	0.20	m
	Perimeter	2.26 m	n	0.063	
	Top of Bank Width	6.0 m	<b>FHA (1975)</b>		
	Wetted Width	2.2 m	D <sub>50</sub>	150	mm
	Hydraulic Radius	0.05 m	n	0.035	
	Shields Stable Stone Size (0.045)	6 mm	<b>Strickler (1926)</b>		
	Lane Stable Stone Size	5 mm	D <sub>50</sub>	300	mm
	Effective Grain Size (Neill)	0 mm	n	0.039	
	Froude Number	0.29			
	Shear Velocity	0.07 m/s	<b>Estimated n for steep slopes</b>		
	Shear Stress	4.2 N/m <sup>2</sup>	Roughness of loose rock riprap on steep slopes.		
	Stream Power	2 W	Rice, C.E. et al. 1998. Journal of Hydraulic Engineering,		
	Unit Stream Power	1 W/m	February 1998. pf 179-185.		
	Critical Shear Stress - initiation	109.2 N/m <sup>2</sup>			
	Critical Shear Stress - full movement	145.6 N/m <sup>2</sup>	0.1 < S <sub>0</sub> < 0.4	n = 0.029(D <sub>50</sub> S <sub>0</sub> ) <sup>0.147</sup>	
	Density	1000 kg/m <sup>3</sup>	D <sub>50</sub>	0.3	m
	Kinematic Viscosity	0.00000179 m <sup>2</sup> /s	S <sub>0</sub>	0.2	
	Gravity	9.806 m/s <sup>2</sup>	n	0.019	
	<b>Blench:</b>	rock diameter > 2 - 3 x bed material D100			
	<b>Miles:</b>	rock diameter > 25 x bed material D50			

# At-a-section Hydraulics

- NLF Section Hydraulics:

Bottom Width	3.0 m	9.8 ft
Side Slopes	4.0 H:1V	4.0 H:1V
Bank Height	1.00 m	3.281 ft
Depth	0.00 m	0.0 ft
Mannings n	0.080	0.08
Slope	5.0%	5.0%
Discharge	0.00 m <sup>3</sup> /s	0.0 cfs

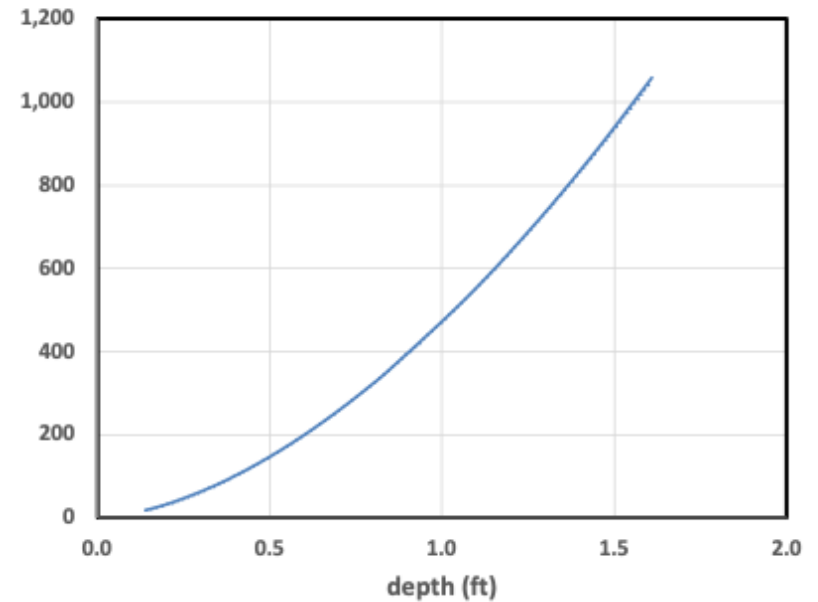
- look at flows and velocities to see where structure is required



# At-a-section Hydraulics

- River Section Hydraulics:

Bottom Width	40.0 m	131.2 ft
Side Slopes	2.0 H:1V	2.0 H:1V
Bank Height	2.00 m	6.562 ft
Depth	0.04 m	0.1 ft
Mannings n	0.030	0.03
Slope	0.5%	0.5%
Discharge	0.50 m <sup>3</sup> /s	17.7 cfs



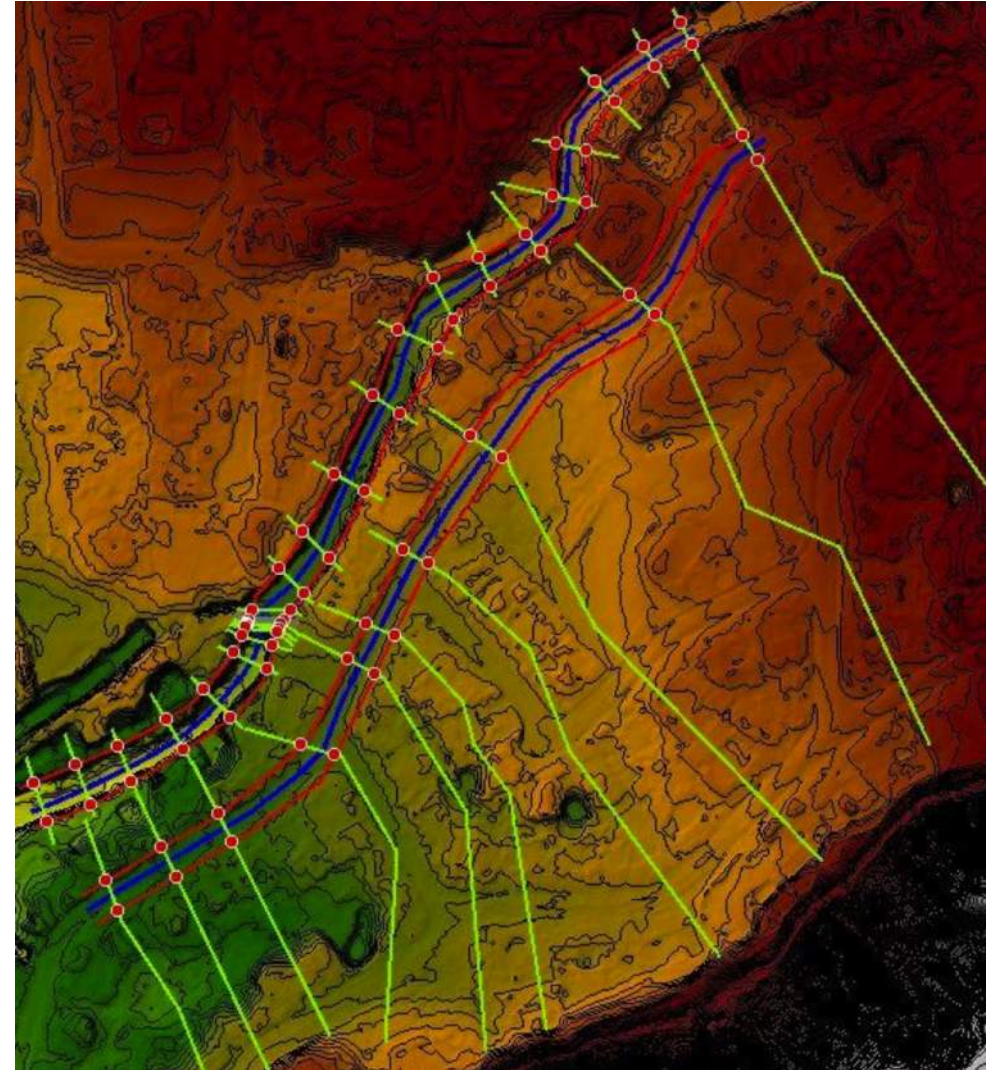
- can compare rating curves and check design invert elevations for operability and flow splits

Depth (ft)	River (cfs)	NLF (cfs)	% Attraction
0.1	10	1	13%
0.2	31	3	12%
0.3	62	7	13%
0.4	100	12	14%
0.5	146	19	15%
0.6	199	28	16%
0.7	258	38	17%
0.8	324	51	19%
0.9	395	67	20%
1.0	471	85	22%
2.0	1518	451	42%
3.0	3008	1285	75%

# Hydraulic Analysis and Simulations

## 1D Modeling

- 1D Hydraulic Models (HEC-RAS, SRH-1D, etc.) used in sediment transport modelling
- 1D is very dependent of section lay-out and assumption at bifurcations and controls
- similar to hydraulic calculators but with momentum and flow conservation
- Provides at-a-section hydraulics and has generally been superseded by 2D modeling



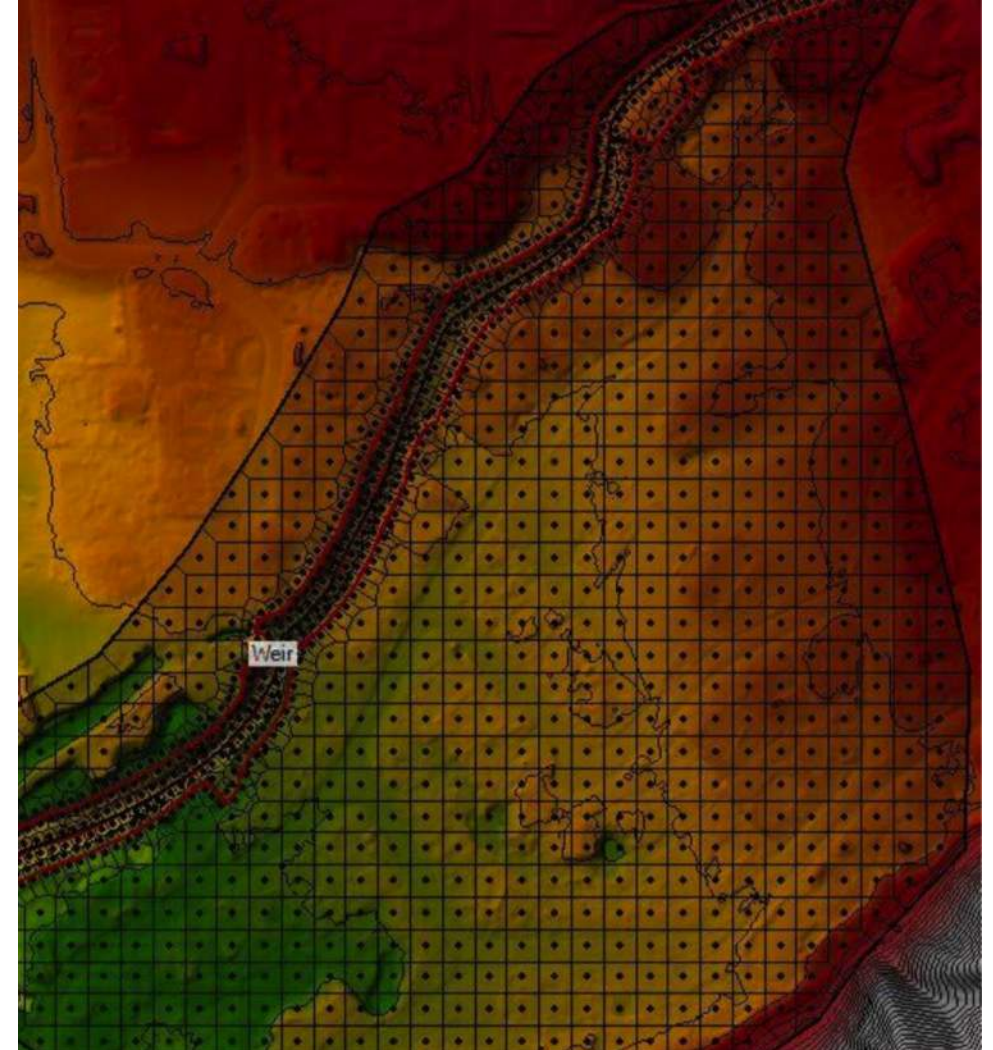
# Hydraulic Analysis and Simulations

## 2D Modeling

- 2D hydraulic modelling (HECRAS, SRH-2D, TELEMAC, River2D, etc.)

Property or Factor	One-Dimensional Modeling	Two-Dimensional Modeling
Flow Direction	Prescribed (streamwise)	Computed
Transverse Velocity and Momentum	Neglected	Computed
Vertical Velocity and Momentum	Neglected	Neglected
Velocity Averaged Over...	Cross Sectional Area	Depth at a Point
Transverse Velocity Distribution	Assumed Proportional to Conveyance	Computed
Transverse Variations in Water Surface	Neglected	Computed
Vertical Variations	Neglected	Neglected
Unsteady Flow Routing	Can Be Included	Can Be Included

- detailed at a point hydraulics can be resolved – ideal for NLF design and assessment



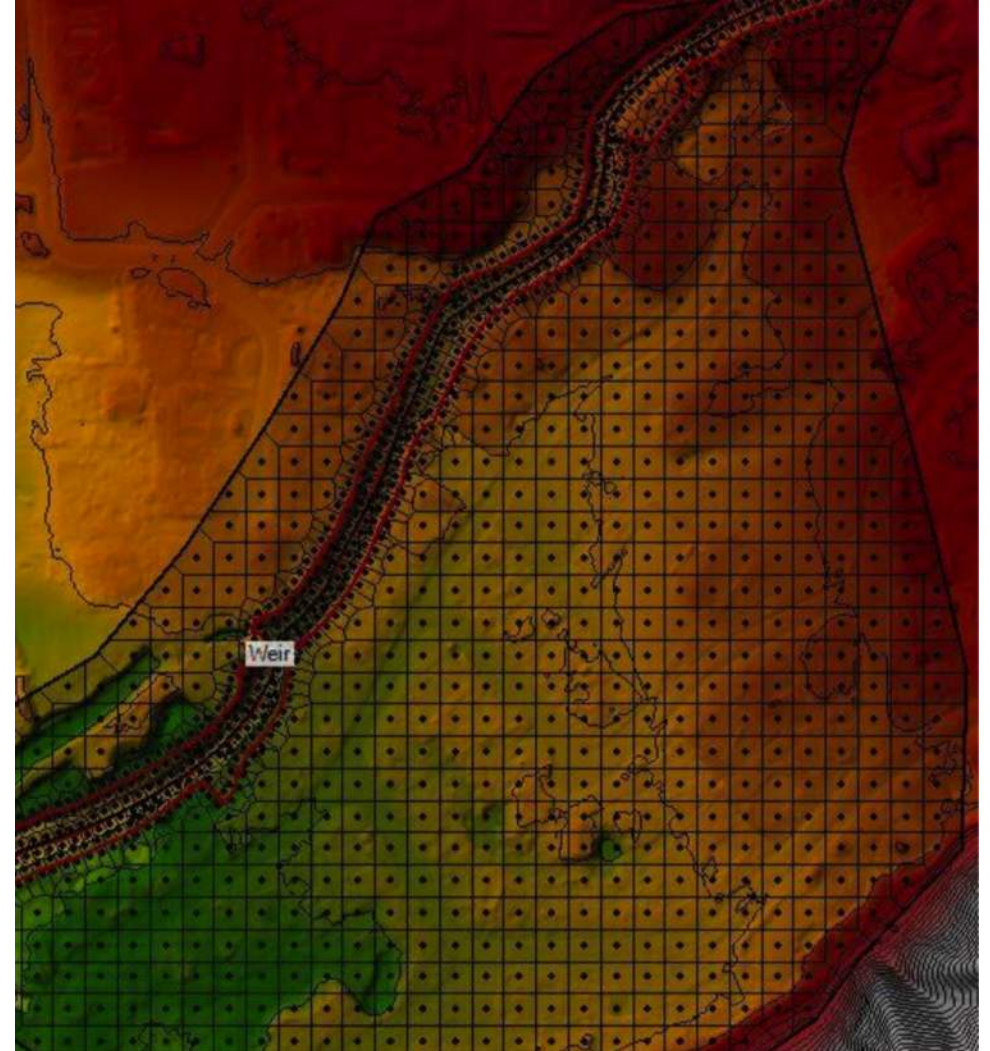
# Hydraulic Analysis and Simulations

## 2D Modeling

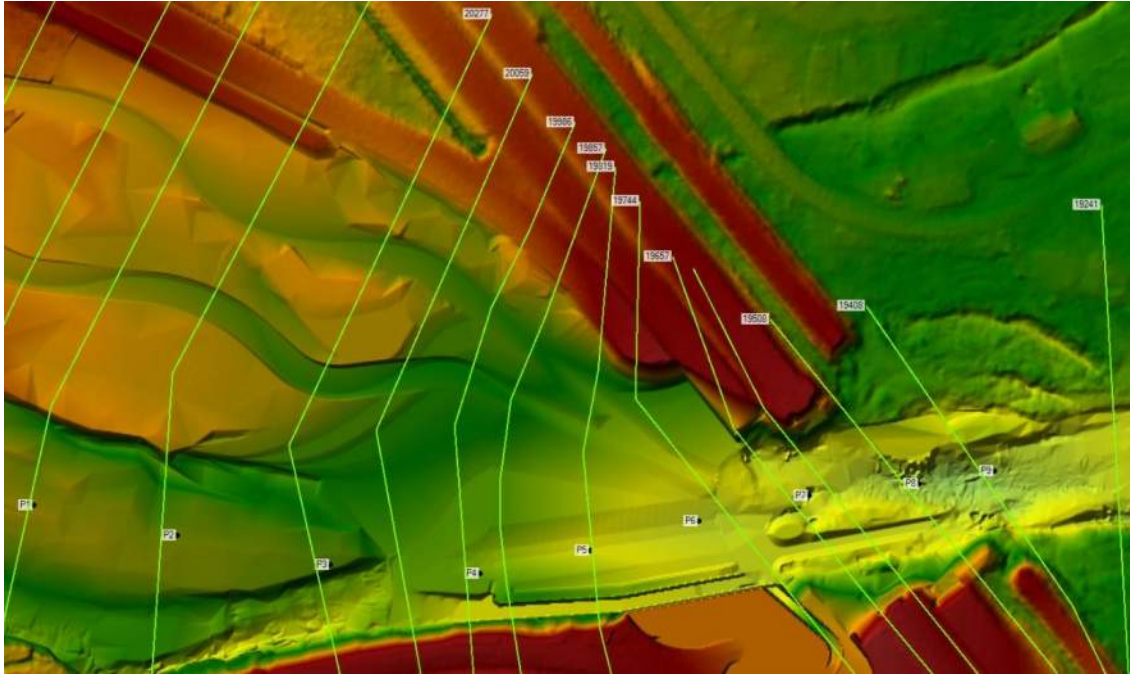
- 2D hydraulic modelling (HECRAS, SRH-2D, TELEMAC, River2D, etc.)

Property or Factor	One-Dimensional Modeling	Two-Dimensional Modeling
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Vertical Variations	Neglected	Neglected
Unsteady Flow Routing	Can Be Included	Can Be Included

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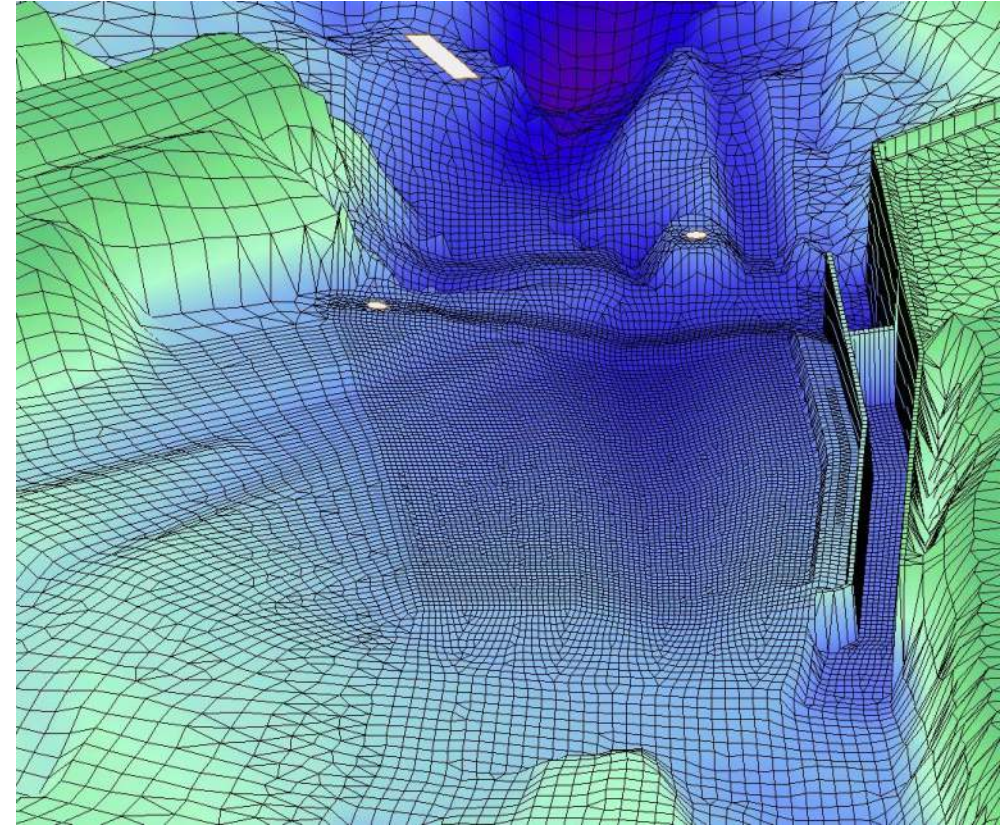


# 1D FEMA - Nelson Dam



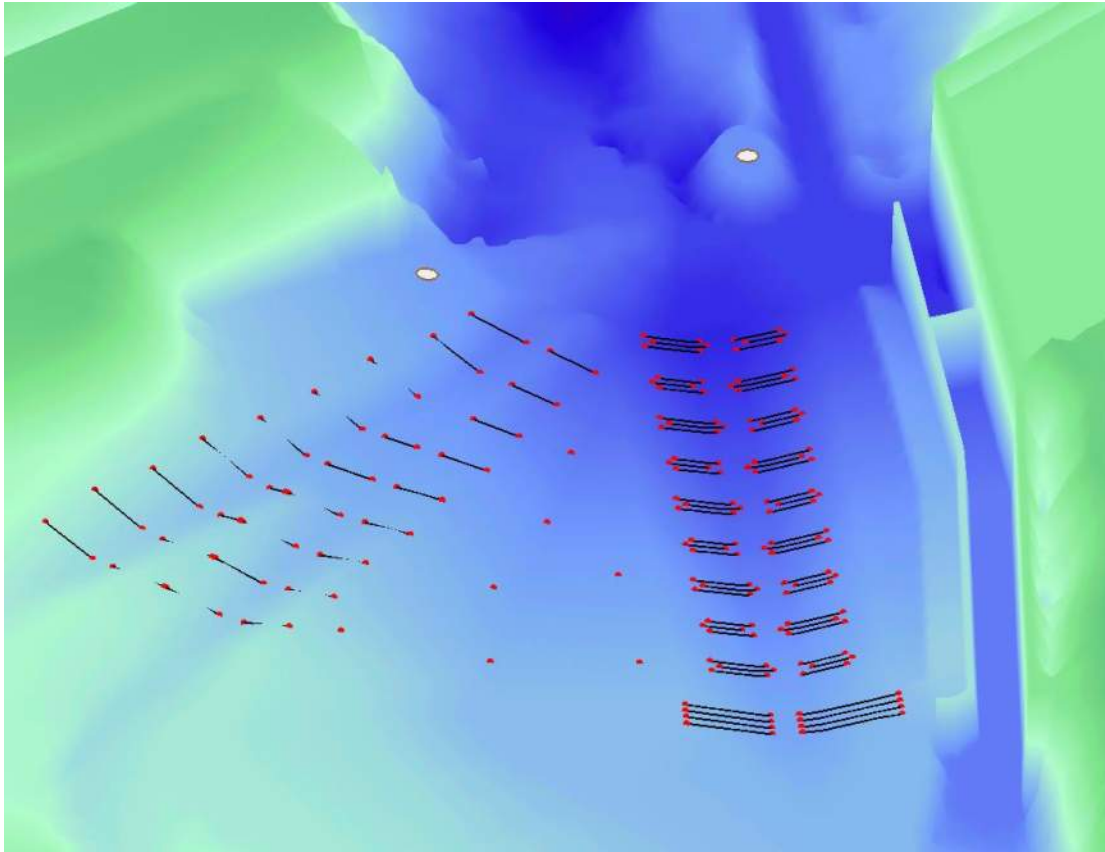
**1D limitations? Not going to cut the mustard for fish...**

# SRH-2D - Nelson Dam

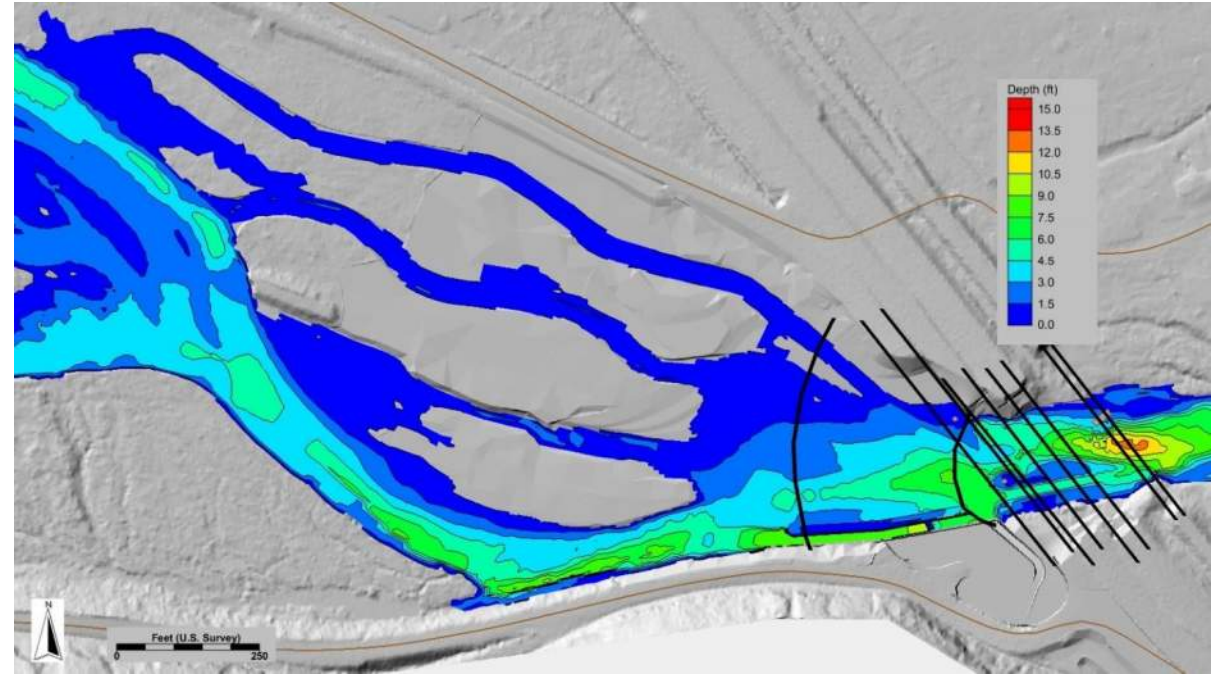


**Converging flows, contraction and acceleration?**

# SRH-2D - Nelson Dam



**Roughness Representation**



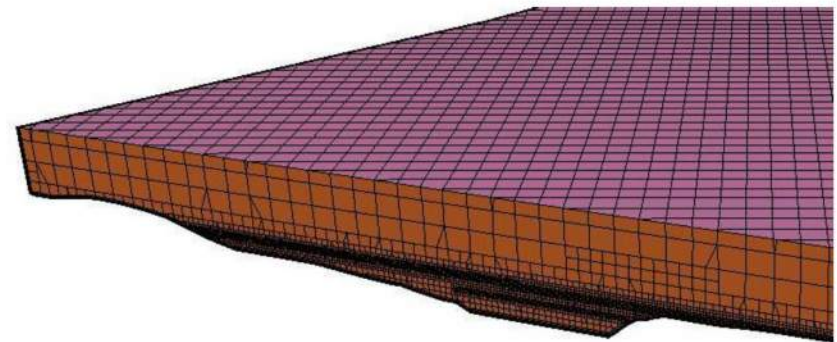
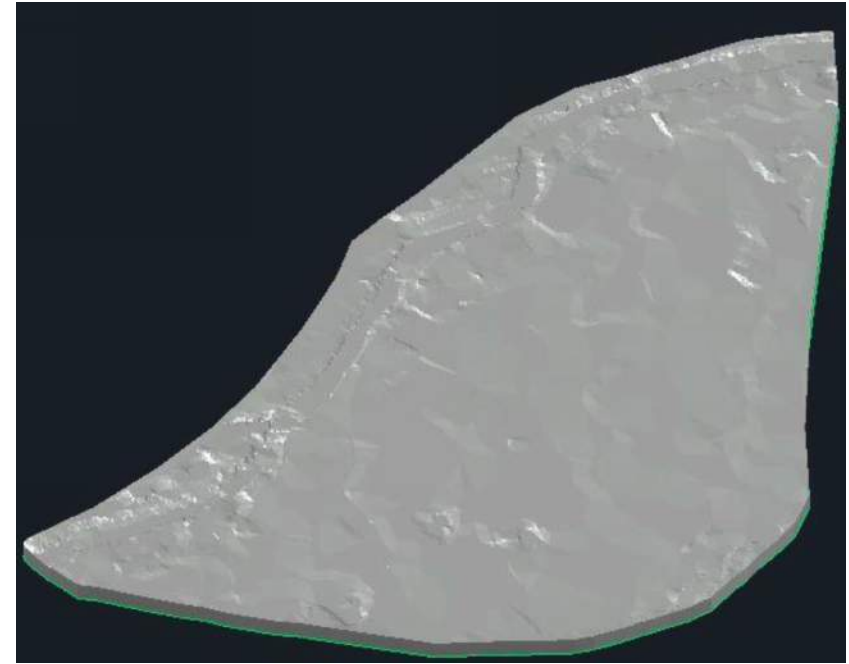
**Floodplain Activation**



# Hydraulic Analysis and Simulations

## 3D / CFD Modeling

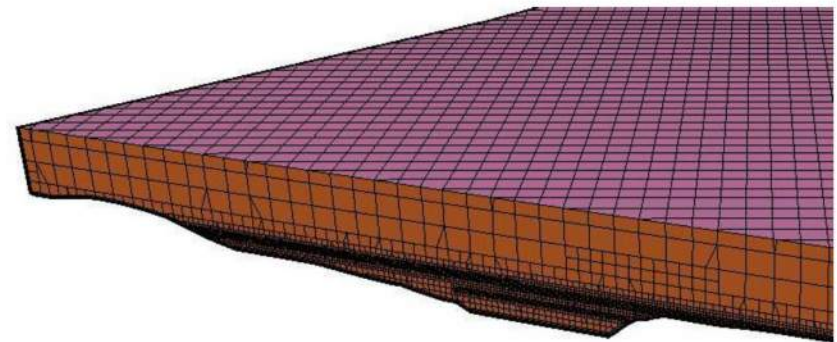
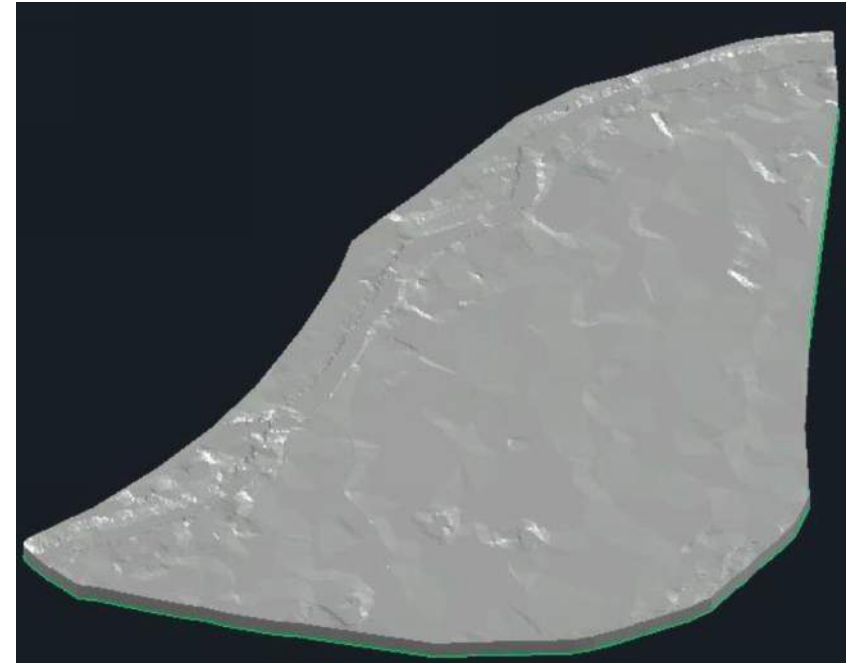
- 3D and CFD models are becoming more commonplace (Delf 3D, Flow-3D, Fluent, openFOAM, TELEMAC 3D)
- 2D surfaces become 3D volumes
- computational meshing and volumes should be scaled appropriately:
  1. scale to the fish scale!
  2. scale to the level of model resolution required (e.g. minimum required to derive the correct results in the solver for the application)



# Hydraulic Analysis and Simulations

## 3D / CFD Modeling

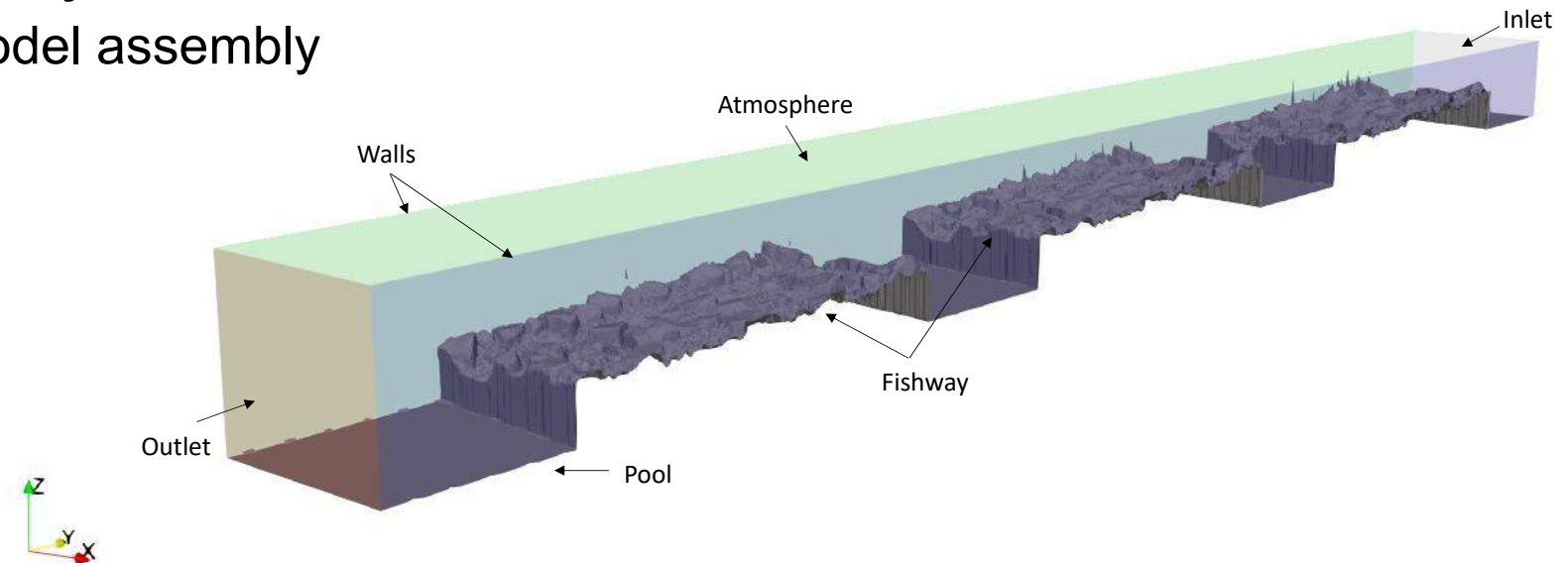
- 3D and CFD models are becoming more commonplace (Delf 3D, Flow-3D, Fluent, openFOAM)
- tend to be data intensive and require post processing to digest the results
- Each CFD model has strengths and weaknesses that have to be assessed against the design objectives:
  - data needs and model assembly
  - solver type
  - computation effort



# Hydraulic Analysis and Simulations

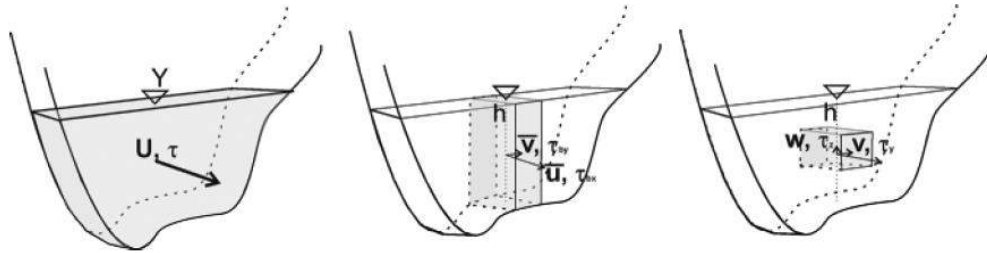
## 3D / CFD Modeling

- 3D and CFD models are becoming more commonplace (Delf 3D, Flow-3D, Fluent, openFOAM)
- tend to be data intensive and require post processing to digest the results
- Each CFD model has strengths and weaknesses that have to be assessed against the design objectives:
  - data needs and model assembly
  - solver type
  - computation effort



# Hydraulic Analysis and Simulations

## Spectrum of Numerical Modeling for NLF Design



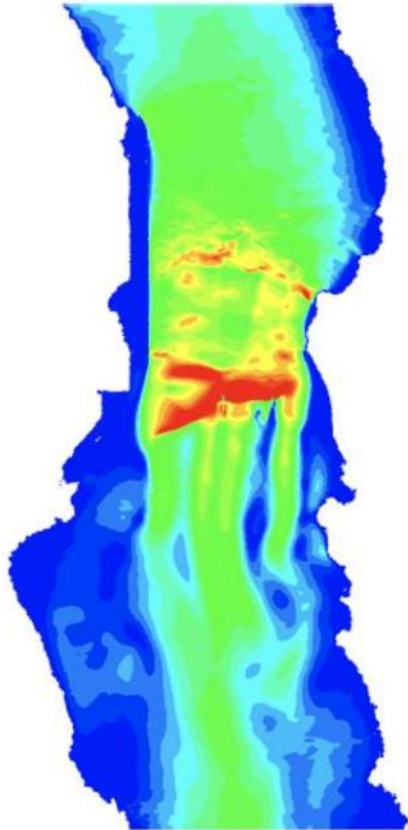
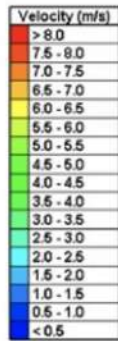
- ecohydraulic perspective is important for assessing fish passage
- hydraulic perspective is critical for NLF channel design, structure and roughness
- 2D modeling tends to satisfy both

meanwhile, behind the scene....

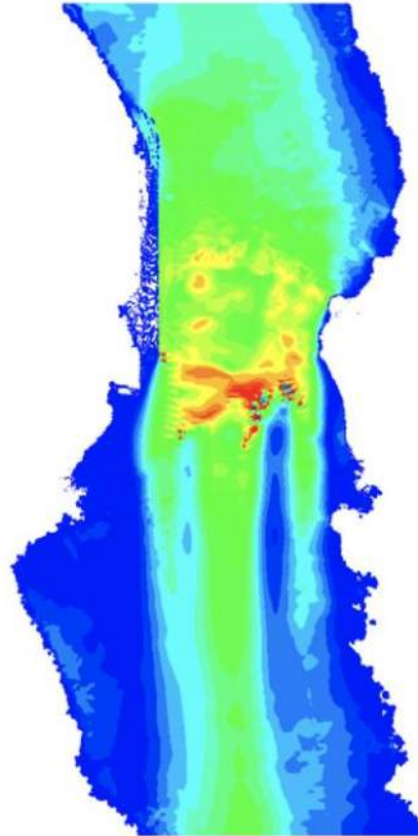
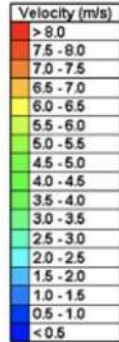
<b>Problem definition</b>	<b>Define</b> 1. Spatial and temporal scales of the process, 2. Spatial resolution 3. Available data and data collection feasibility	<b>Field reconnaissance</b>
<b>Mathematical model</b> Continuity equation momentum equation energy equation	3, 2, or 1D model, turbulent closure, + Suitable boundary near-wall flow and initial conditions treatment	<b>Field data collection</b> topography, discharge, velocity, water elevation for boundary conditions, calibration and validation
<b>Discretization</b> first order, second order, upstream, downstream, center scheme implicit or explicit model for time discretization	<b>Finite difference</b> approximation of the equations in their differential form	<b>Finite Element</b> <b>Finite Volume</b> approximate the equations in their integral form
<b>Grid/Mesh</b> adaptive mesh, mesh quality density and resolution, skewness, smoothness, aspect ratio	<b>Structured</b> curvilinear Cartesian orthogonal multi block	<b>Unstructured</b>
<b>Numerical technique</b>	Pressure-velocity coupling, relaxation parameters,	
<b>Simulation run</b>	mesh independence, calibration, validation, parameter sensitivity analysis	
<b>Result analysis</b>	prediction of flow properties for the required discharge and boundary conditions, define management solutions	

# Influence of Numerical Solvers on Results

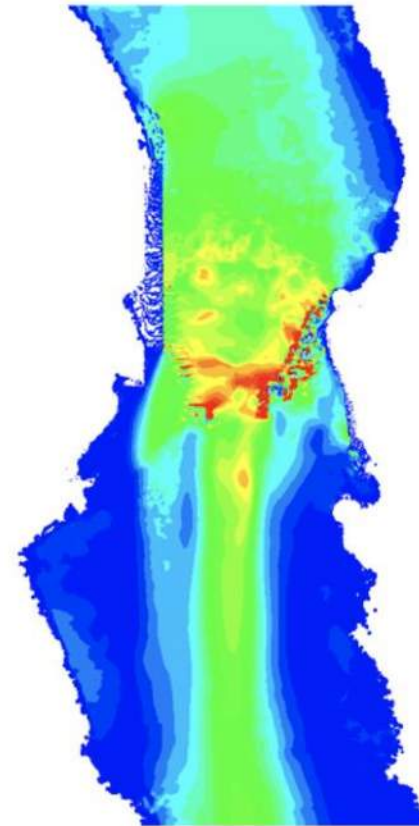
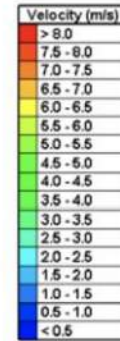
TELEMAC-2D



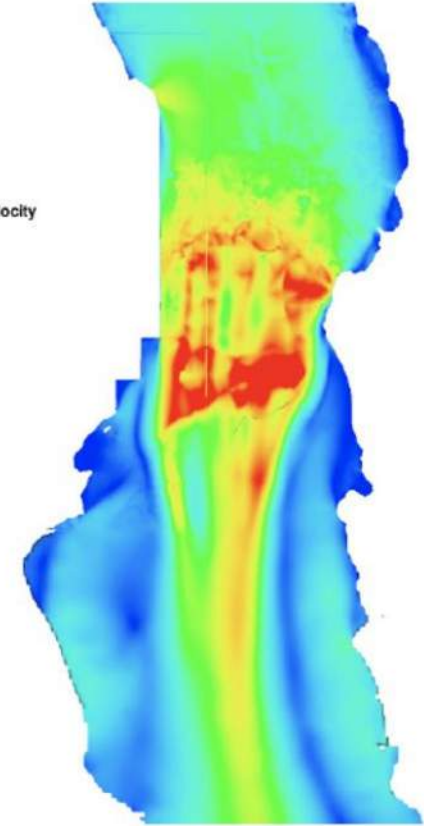
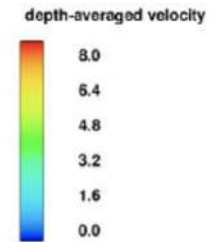
TELEMAC-3D (5 Layers)



TELEMAC-3D (10 Layers)



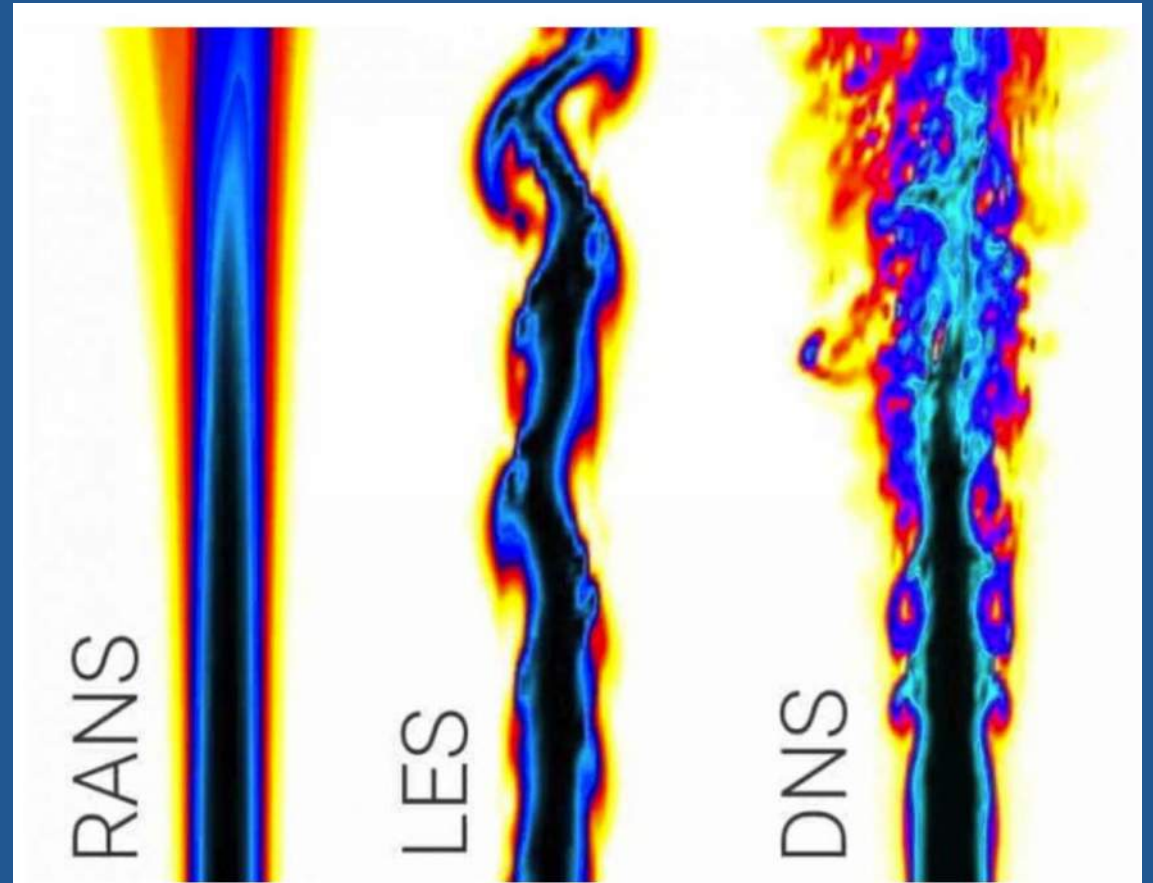
Flow-3D



# Influence of Numerical Solver on Results

- Solver effort related to how accurately the numerical solutions resolve turbulence
- More conservative solutions require more solver computation in 2D and CFD

## CFD Solvers



# Hydraulic Analysis and Simulations

## Physical Modeling

- Project Complexity
- High risk/uncertainty
- Communications
- Evaluate “what-if” and future scenarios rapidly
- Cost of model vs. savings to overall project
- Best approach is often a hybrid numerical/physical model



# Hydraulic Analysis and Simulations

## Physical Modeling – sediment transport

- Scaled mobile bed physical modeling is relevant where sediment transport factors into the project success or failure
- Model type include comprehensive small-scale models and large-scale section models

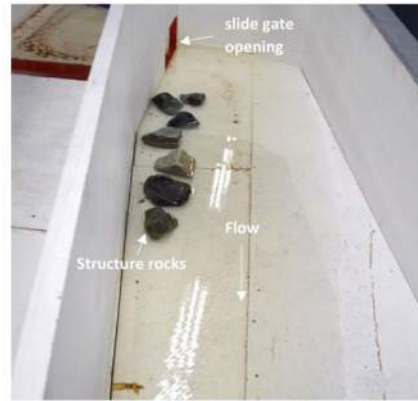


Photo 1. Deposition patterns at the fishway exit at 3 cfs (trace amounts of sands).

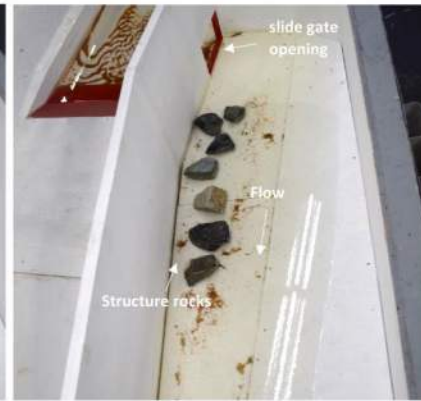


Photo 2. Deposition patterns at the fishway exit at 16 cfs (trace amounts of sands).



Photo 3. Deposition patterns at the fishway exit at 115 cfs (some sands).



Photo 4. Deposition patterns at the fishway exit at 206 cfs (some sands).

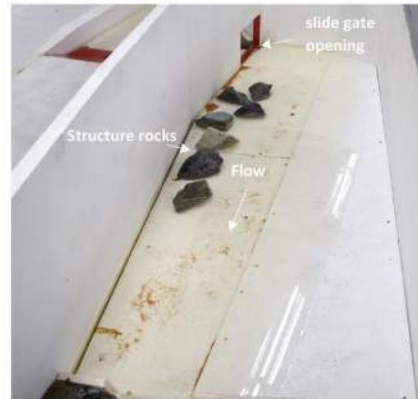


Photo 5. Deposition patterns at the fishway exit at 425 cfs (trace amounts of fine gravels).



Photo 6. Deposition patterns at the fishway exit at 850 cfs (fine gravels with some coarse gravels).

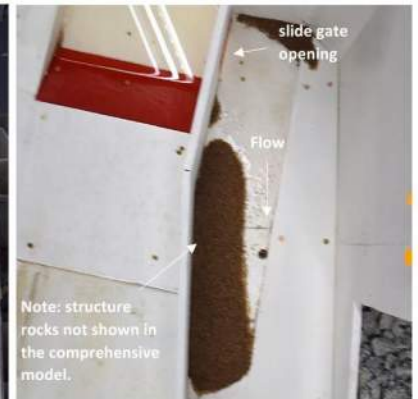


Photo 7. Deposition patterns at the fishway exit at 3,400 cfs (coarse gravels).

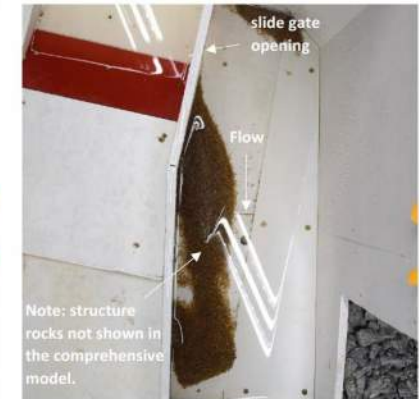


Photo 8. Deposition patterns at the fishway exit at 8,500 cfs (coarse gravels).



# Hydraulic Analysis and Simulations

## Physical Modeling – sedimentation and debris



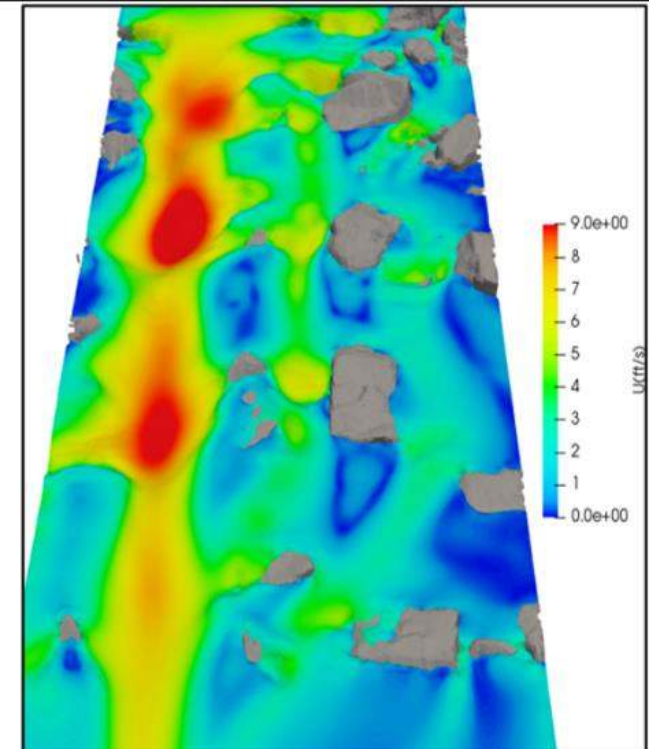
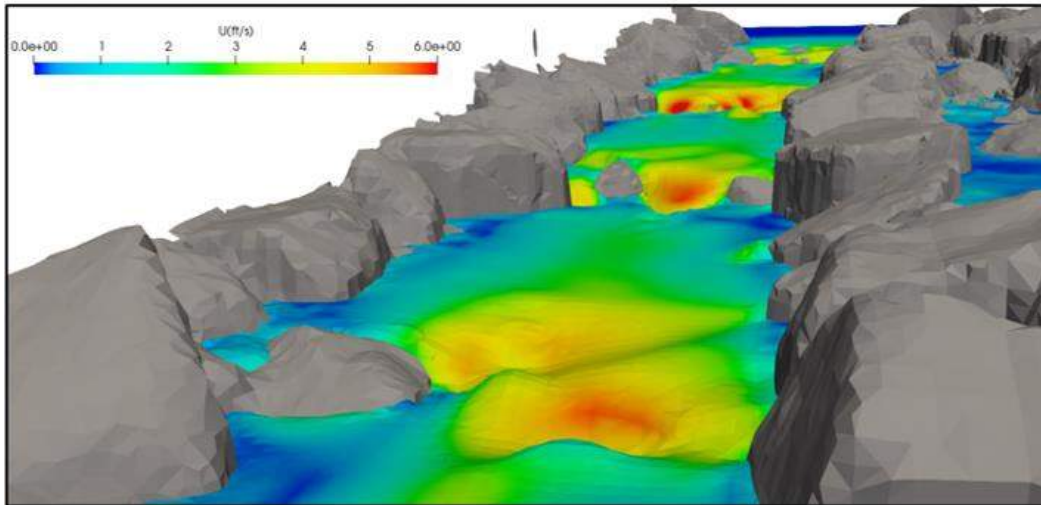
- Physical modeling with scaled sediment allows assessment of sedimentation

- Interactions with debris and blockages can be assessed to fish passage and hydraulics

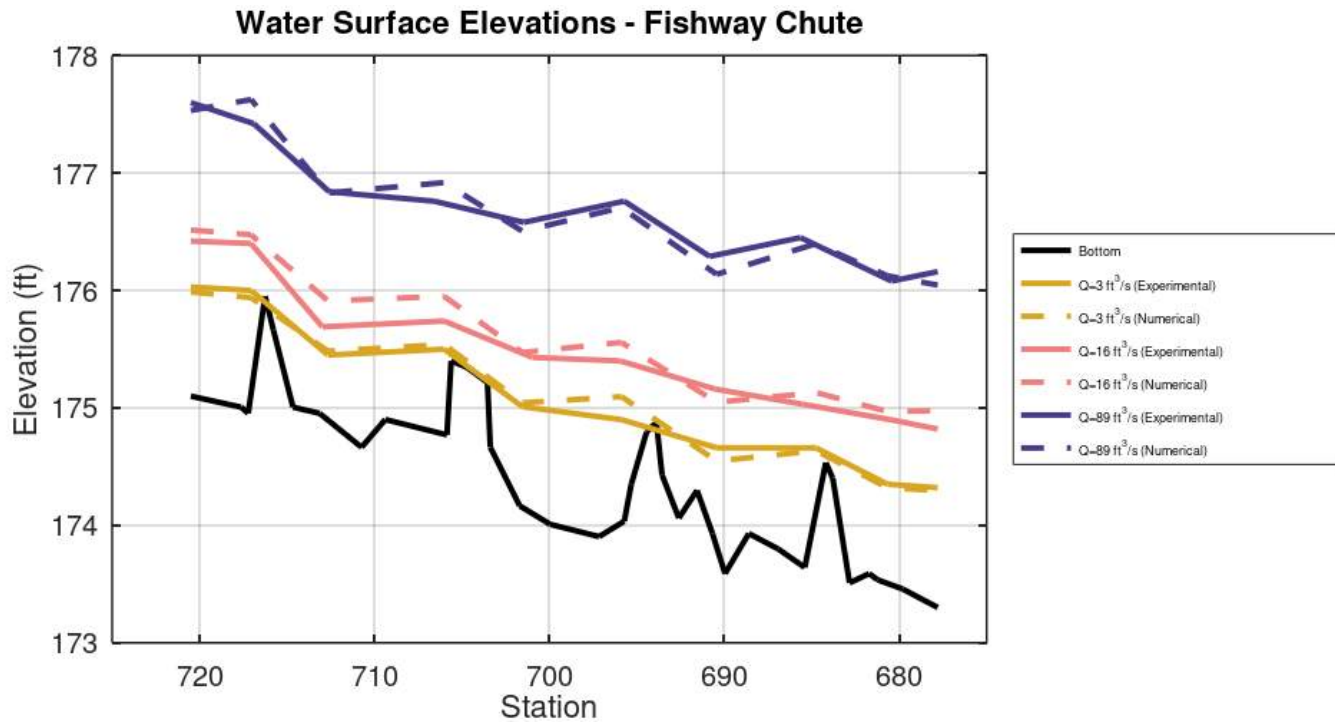
# Hydraulic Analysis and Simulations Integrated CFD / Physical Modeling



# Hydraulic Analysis and Simulations Integrated CFD / Physical Modeling



# Hydraulic Analysis and Simulations Integrated CFD / Physical Modeling



Fishway Flow (cfs)	Location	Physical Model		Numerical model (OpenFOAM)	
		Depth (ft)	Velocity (ft/s)	Depth (ft)	Velocity (ft/s)
3	1	1.0	2.8	1.0	1.2
	2	0.9	3.2	0.8	1.6
	3	0.8	1.9	1.1	1.8
	4	0.9	2.0	0.8	3.8
	5	1.0	2.7	1.2	2.9
	<b>Average</b>	<b>0.9</b>	<b>2.5</b>	<b>1.0</b>	<b>2.2</b>
16	1	1.5	3.4	1.5	2.0
	2	1.1	4.2	1.1	4.6
	3	1.2	4.3	1.6	3.9
	4	1.4	4.0	1.3	5.7
	5	1.7	4.0	1.9	2.5
	<b>Average</b>	<b>1.4</b>	<b>4.0</b>	<b>1.5</b>	<b>3.7</b>
64	1	2.3	4.2	2.3	3.6
	2	2	5.7	1.8	5.3
	3	2.3	6.3	2.5	6.4
	4	2.2	8.0	2.3	7.1
	5	2.6	5.9	2.7	6.2
	<b>Average</b>	<b>2.3</b>	<b>6.0</b>	<b>2.3</b>	<b>5.7</b>
74	1	2.3	4.3	2.4	4.0
	2	2	4.9	1.9	5.9
	3	2.2	6.5	2.6	6.7
	4	2.4	5.8	2.4	7.3
	5	2.6	5.9	2.9	6.3
	<b>Average</b>	<b>2.3</b>	<b>5.5</b>	<b>2.4</b>	<b>6.0</b>
89	1	2.7	4.1	2.6	4.7
	2	2.2	7.0	2.0	6.7
	3	2.4	7.1	2.7	7.5
	4	2.4	9.4	2.5	8.1
	5	2.8	9.1	3.0	6.9
	<b>Average</b>	<b>2.5</b>	<b>7.3</b>	<b>2.5</b>	<b>6.8</b>
119	1	2.8	6.8	2.8	5.4
	2	2	9.0	2.2	7.7
	3	2.5	8.1	2.8	8.5
	4	2.8	8.9	2.6	9.3
	5	2.7	9.2	3.1	7.9
	<b>Average</b>	<b>2.6</b>	<b>8.4</b>	<b>2.7</b>	<b>7.8</b>

# How do we interpret model results to assess effective passage or effectively assess passage?

- CFD and 2D modeling generates enormous amounts of data but how do we use them to assess volitional fish movement?
  1. Filtering and Blanking:  
HEC RAS 2D and most GUI programs can filter and scale output within the program to help identify area of depth-averaged passage velocities and depths
  2. Scripting:  
Python and ArcGIS can be used to post-process CFD output data to render heatmaps and volumes of passage in 3D
  3. IBM / ABM:  
Individual or Agent-based models can process steady-state datasets to examine likelihood of passage



# Emerging Trends

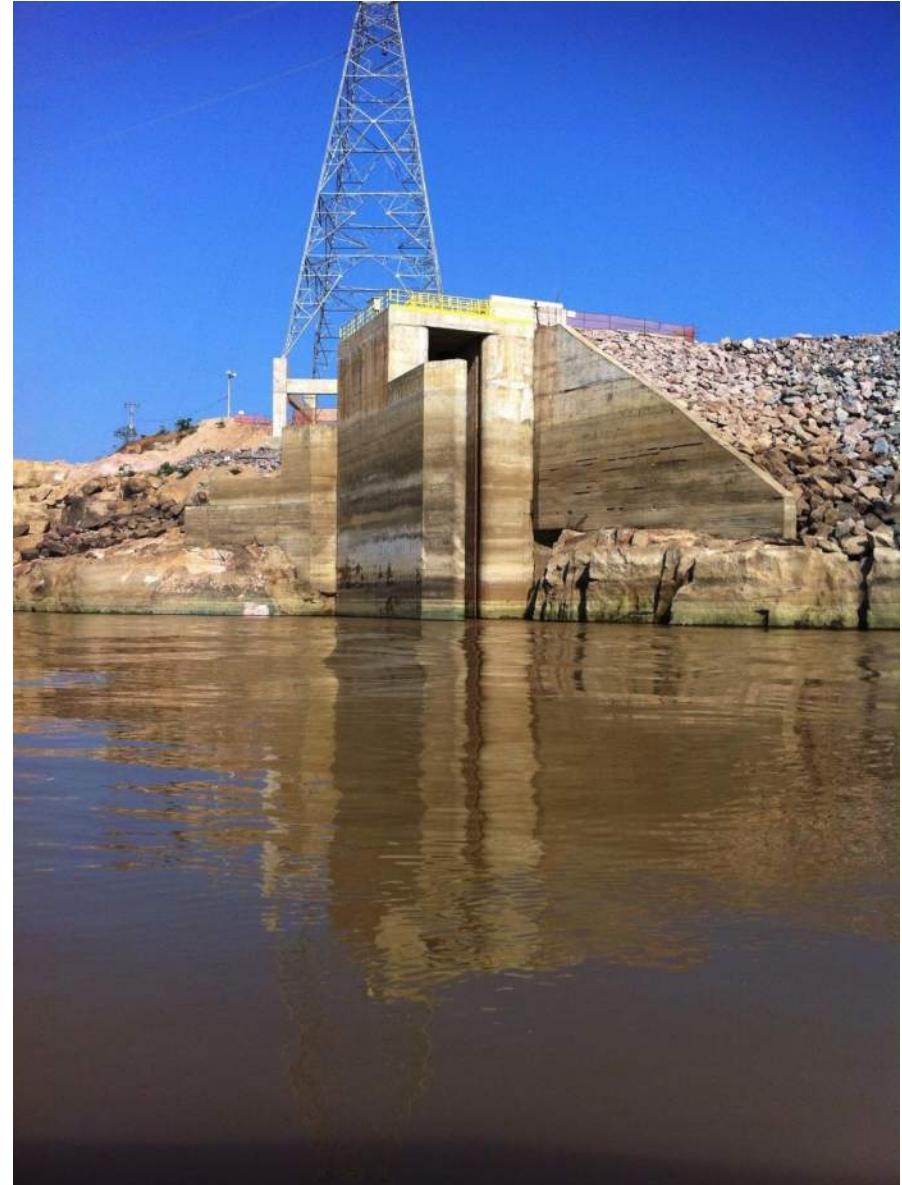
- EDF replacement?
- CFD fish-related parameters:
  - TKE
  - TI
  - Reynolds Shear
  - Vorticity
- Reality or Rabbit Hole?
- Can biology and fisheries sciences keep up with computational and data sciences?

# Post-Processing Numerical Model Results

## What are we looking for...

- Fighting salmo-centricity!
- Longitudinal hydraulic connectivity
- Multiple opportunities for passage
- Mult-species passage
- Energetics and passage probability
- Testing lines of reasoning to develop weight of evidence approaches to proving volitional passage for fish

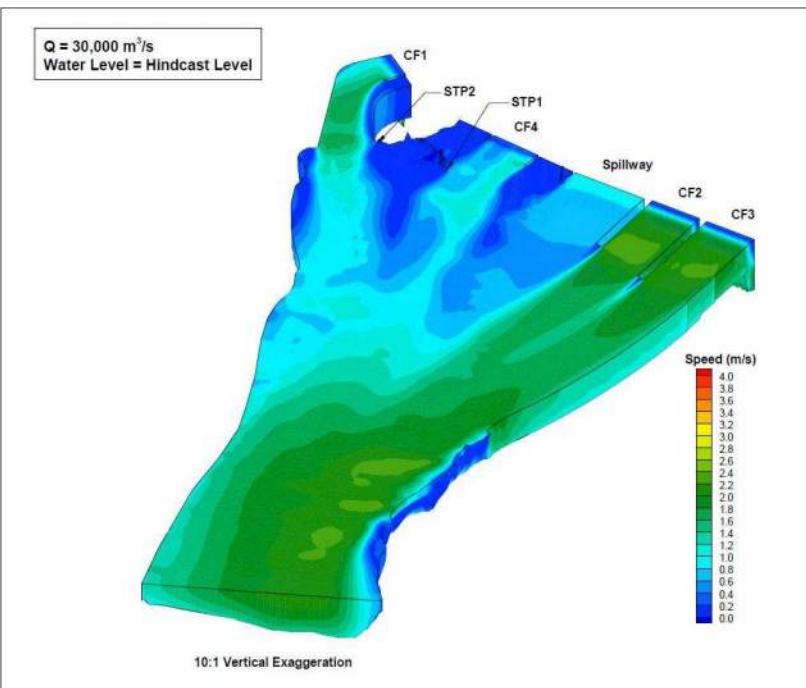
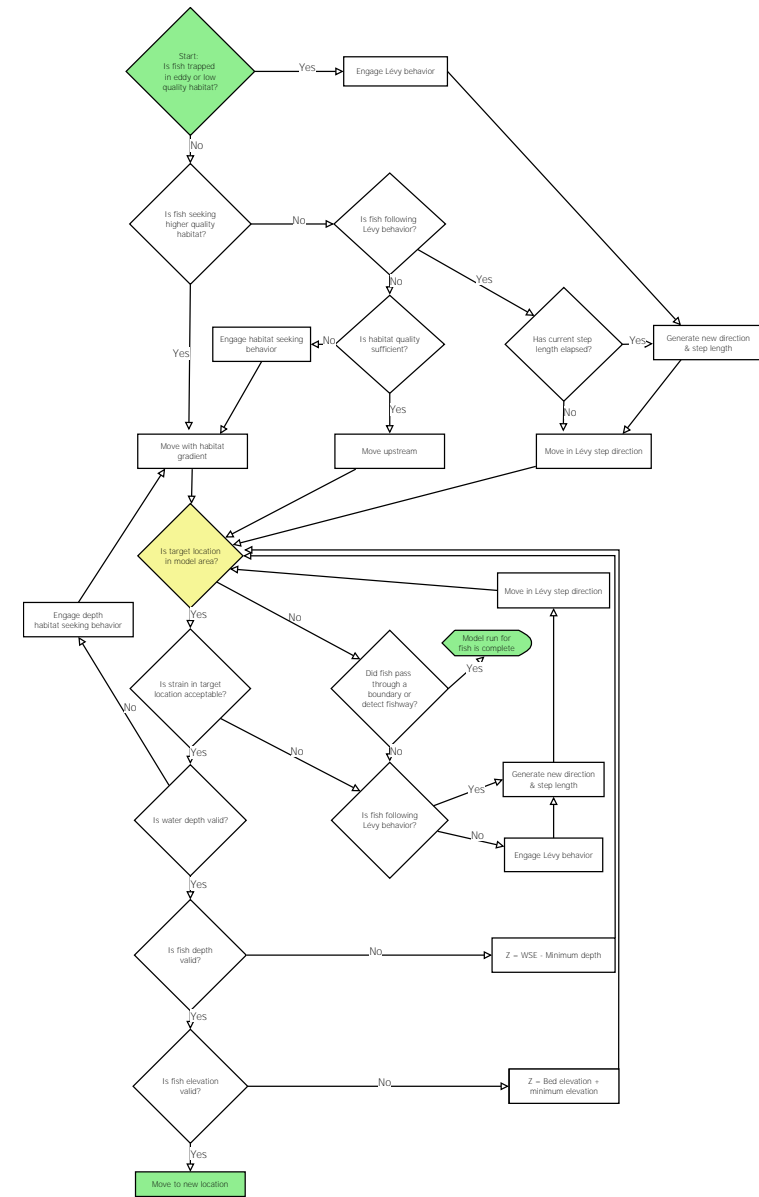
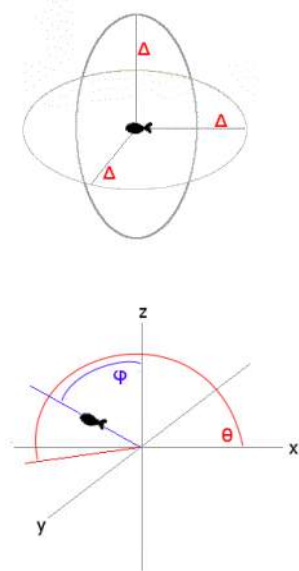




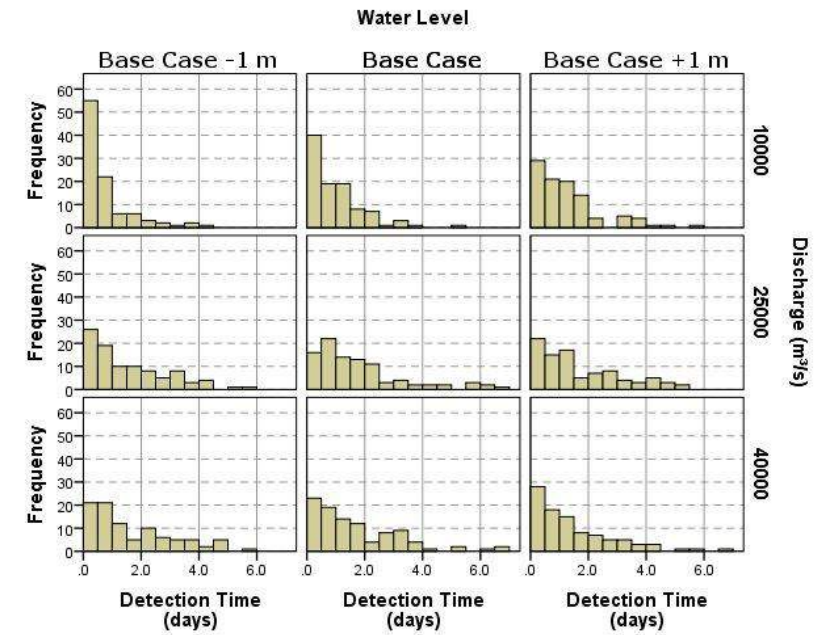
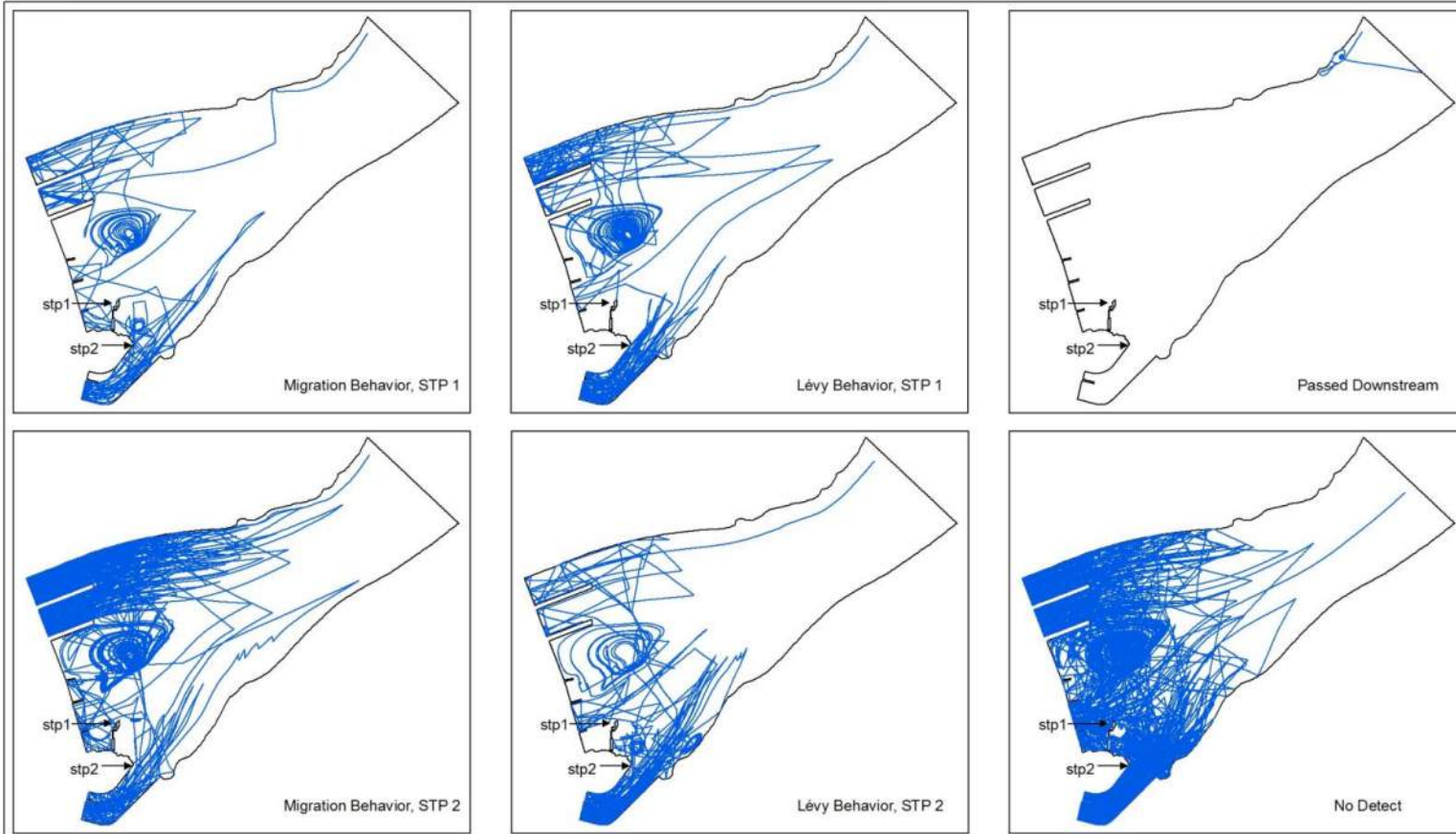


# Agent-based Models

Parameter	Value	Unit
Fishway Detection Radius	5.0	m
Swim Speed	3.0	ms <sup>-1</sup>
Max Time For Consecutive Upstream Movement	1.0	hr
Max Distance for Consecutive Upstream Movement	5.0	km
Minimum Depth	1.0	m
Strain Tolerance	10.0	s <sup>-1</sup>
Habitat Seeking Behavior Duration	10-20	s
Initial z Position	40-80	% depth
Minimum Water Depth	1.0	m
Minimum Fish Depth	0.25	m
Minimum Fish Elevation	0.25	m
Sensory Radius	1.00	m

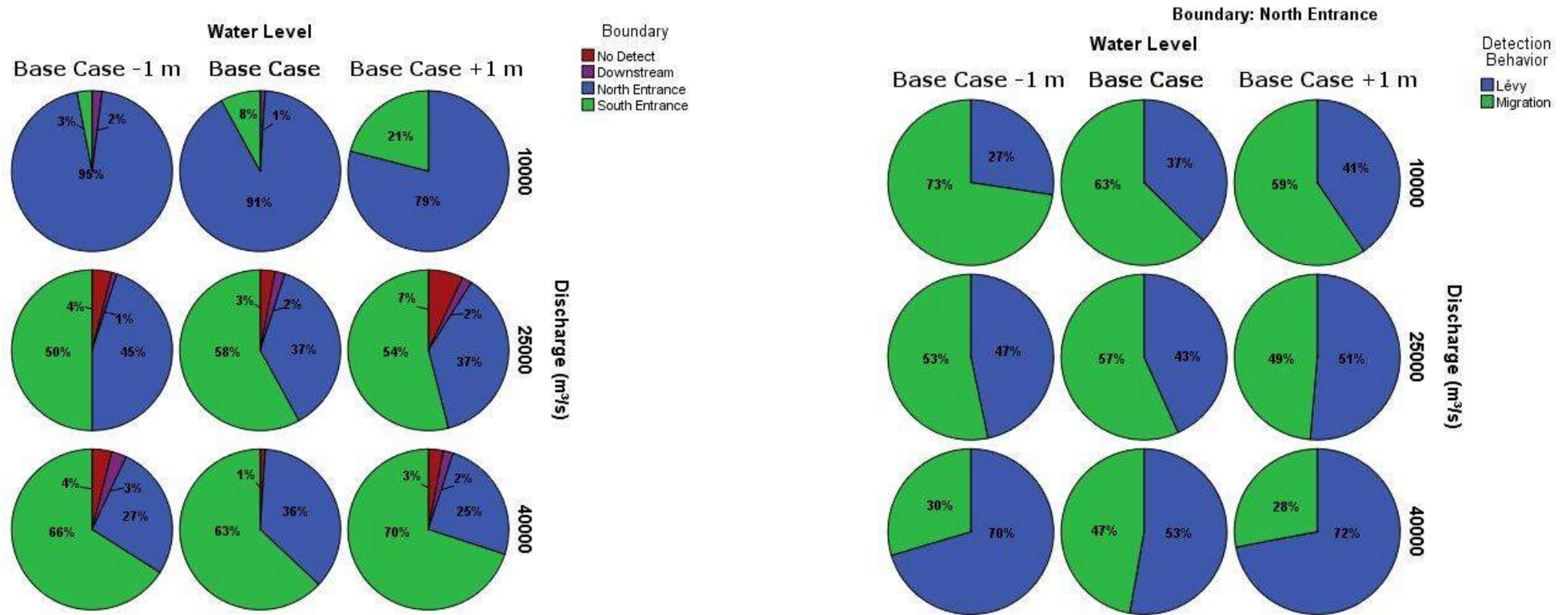


# Agent-based Models



# Agent-based Models

## Proaility of Fishway detection and Non-passage



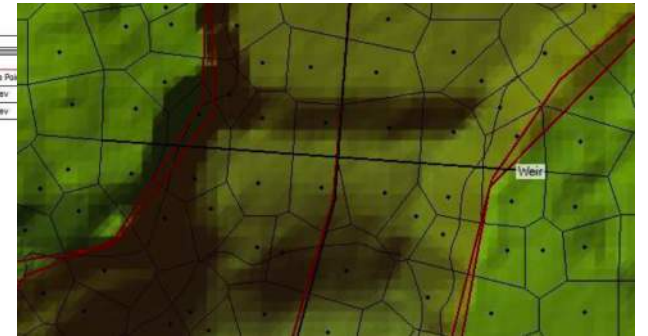
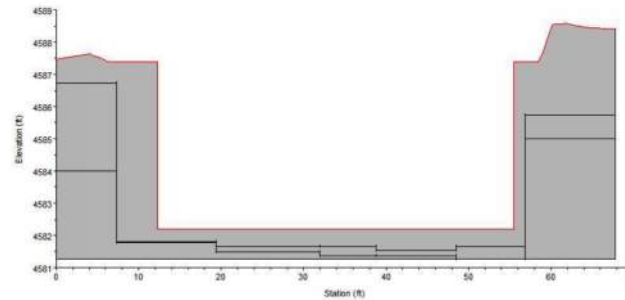
# Hydraulic Analysis and Simulations Representing Reality

Reality:

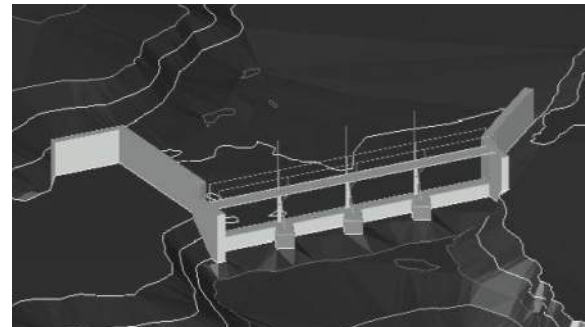


1D: 
$$Q = CLH^{\frac{3}{2}}$$

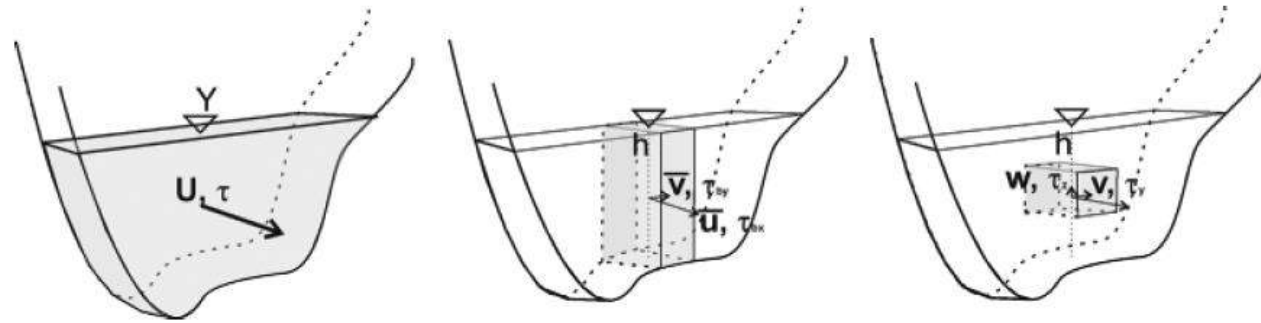
2D:



CFD:



# Roughness in NLF – grain, form and drag



# Roughness in NLF – grain, form and drag

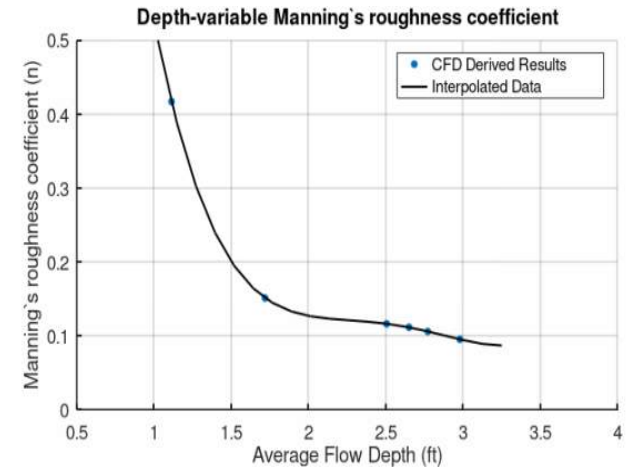
$$V = \frac{1.49}{n} R_h^{2/3} S_f^{1/2}$$

- $V$  = average flow velocity, ft/s
- $n$  = Manning's coefficient of roughness
- $R_h$  = channel hydraulic radius, ft (ratio of water area to wetted perimeter)
- $S_f$  = slope of the energy grade line

$$n = \frac{0.0926 AR^{1/6}}{1.16 + 2 \log_{10}(R/d_{84})}$$

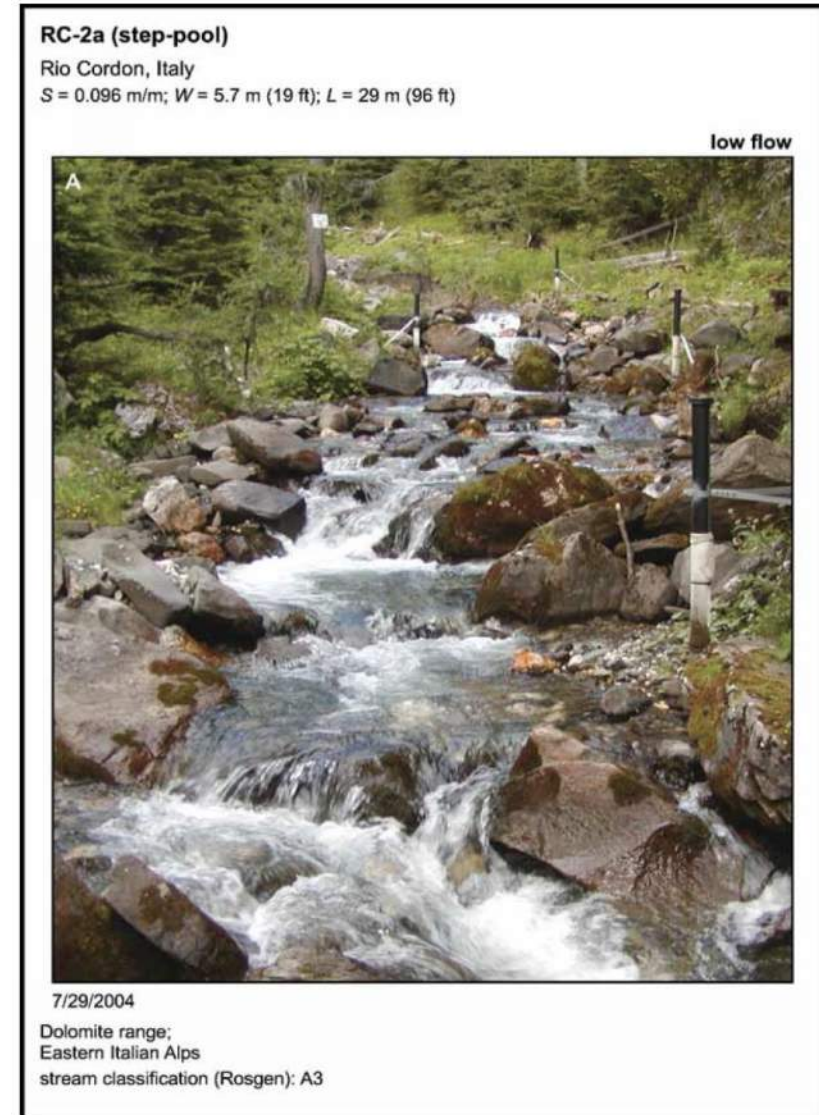
$$n = (n_0 + n_1 + n_2 + n_3 + n_4)m_5$$

- $n_0$  is a function of bed material,
- $n_1$  is a function of channel cross-section irregularity,
- $n_2$  is a function of variation in channel cross-section,
- $n_3$  is a function of degree of large-scale obstructions,
- $n_4$  is a function of aquatic vegetation within the channel and,
- $m_5$  is a function of degree of channel meander.



# Flow Resistance in NLF – Natural Analogs

- Hicks, D.M. and P.D. Mason. 1991. Roughness Review of New Zealand Rivers: a handbook for assigning hydraulic roughness coefficients to river reaches by the "visual comparison" approach. National Institute of Water and Atmospheric Research Ltd., Christchurch, N.Z., 1991.
- Yochum, Steven E.; Comiti, Francesco; Wohl, Ellen; David, Gabrielle C. L.; Mao, Luca. 2014. Photographic Guidance for Selecting Flow Resistance Coefficients in High-Gradient Channels. Gen. Tech. Rep. RMRS-GTR-323. Fort Collins, CO: U.S. Department of Agriculture, Forest Service, Rocky Mountain Research Station. 91 p.



# Sizing NLF Channel Materials

- USBR (2007) recommends the use of two “well tested” methods for riprap sizing on rock ramps, i.e., the surface of the roughened channel-fishway:
  - Steep Slope Riprap Design presented in USACE (1991)
  - Abt and Johnson (1991)
- Tractive force and Shields equation are used to check factors of safety (FOS) resulting in design:

$$\tau^* = \frac{\tau_b}{\gamma RD} \quad \tau_c^* = 0.05$$

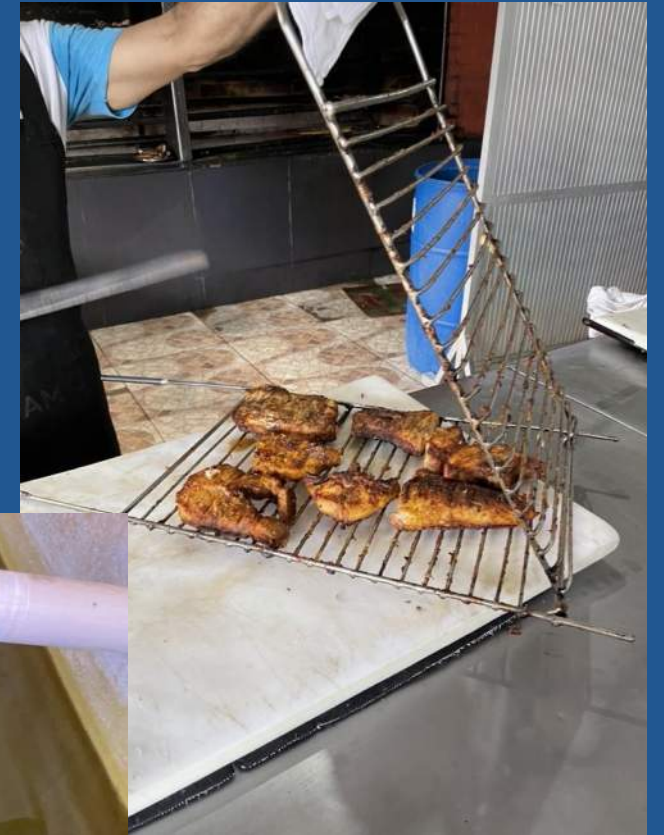


# NLF Channel Design

## Structuring Channels for Ecohydraulics

- Roughened Channel:  
USBR (2007)  
Agency Design Guidance Documents
- Weirs / Structured Roughness:  
USBR (2016)  
Baki et al (2017)
- Step Pool:  
Zimmermann (2009)  
WSDOT (in prep.)

# Questions and Discussion



# Session 4 AGENDA

01	Design Intro & Biological Effectiveness	by Tyler Kreider
02	Hydraulic Modeling	by Barry Chilibeck
03	Roughness Design	by Barry Chilibeck
04	Other Design Factors	by Tyler Kreider <span style="color: red;">Up Next!</span>
05	Summary of NLF Monitoring Results	by Bjorn Lake
06	Monitoring Methods	by Barry Chilibeck and Tyler Kreider
07	Maintenance of NLFs	by Marcin Whitman
08	Q&A (as time allows)	led by Tyler Kreider



**Nature-like Fishways:**  
Modern Perspectives and Techniques

**Session 4:**  
Design, Monitoring, & Maintenance Considerations

**Session 4.4 “Other” Design Factors**



By: Tyler Kreider, P.E. Kleinschmidt Associates

3/27/24



## **AGENDA: “Other” Design Factors**

- 01** Permitting
- 02** Public Safety
- 03** Infrastructure

# “Other” Design Factors

- MANY factors can influence NLF design
- Designer’s Goals:
  - Identify critical constraints early in NLF Design
  - Address other constraint(s) while not compromising fish passage/primary project objectives
- Don’t be afraid to:
  - Start evaluating other factors early
  - Think creatively
  - Ask questions, especially “Why?”



# Permitting

- No (legal) way around it for an NLF
- Treat regulators as part of the team
  - Consult them early
  - Build a relationship
  - Realize they may have regional experience that can improve the project
- Why?
  - Facilitates quicker reviews
  - Builds collaboration, not animosity



# Permitting



## Federal Agencies/Organizations

- USACE (navigable waterways/wetlands)
  - Often lead federal agency
- SHPO (cultural/historic resources)
- FERC (hydropower)
- BLM (landowner)
- FEMA (flood control)
- USFWS
  - Rare, Threatened & Endangered (RTE) Species
- NOAA-NMFS (diadromous species)

## State Agencies

- Dept. of Fish & Wildlife
- DEQ/DOC/DCNR/DEP/DNREC
  - Dam Safety
  - NPDES/stormwater
  - Section 401: Water Quality
  - State-listed RTE species

## Local Agencies

- Code compliance
- Conservation Districts



# Permitting

## [CA Fisheries Restoration Grant Program \(ca.gov\)](http://ca.gov)

- May offer permit coverage/support (discuss with FRGP reg. coordinator)

## SHPO

- Federal permit/nexus
- Historic architecture/structures & Cultural resources
- Phase 1A desktop screening/visit

## Rare, Threatened & Endangered Species

- Reason to build the NLF?
- Reason not to build fish passage?
- Time of year restriction(s)



# Permitting

## FEMA/flooding

- Regulatory Floodway
- Seek “No-Rise” case typically
- Conditional Letter of Map Revision vs. Letter of Map Revision (LOMR)
- Limits fill in river/floodplain

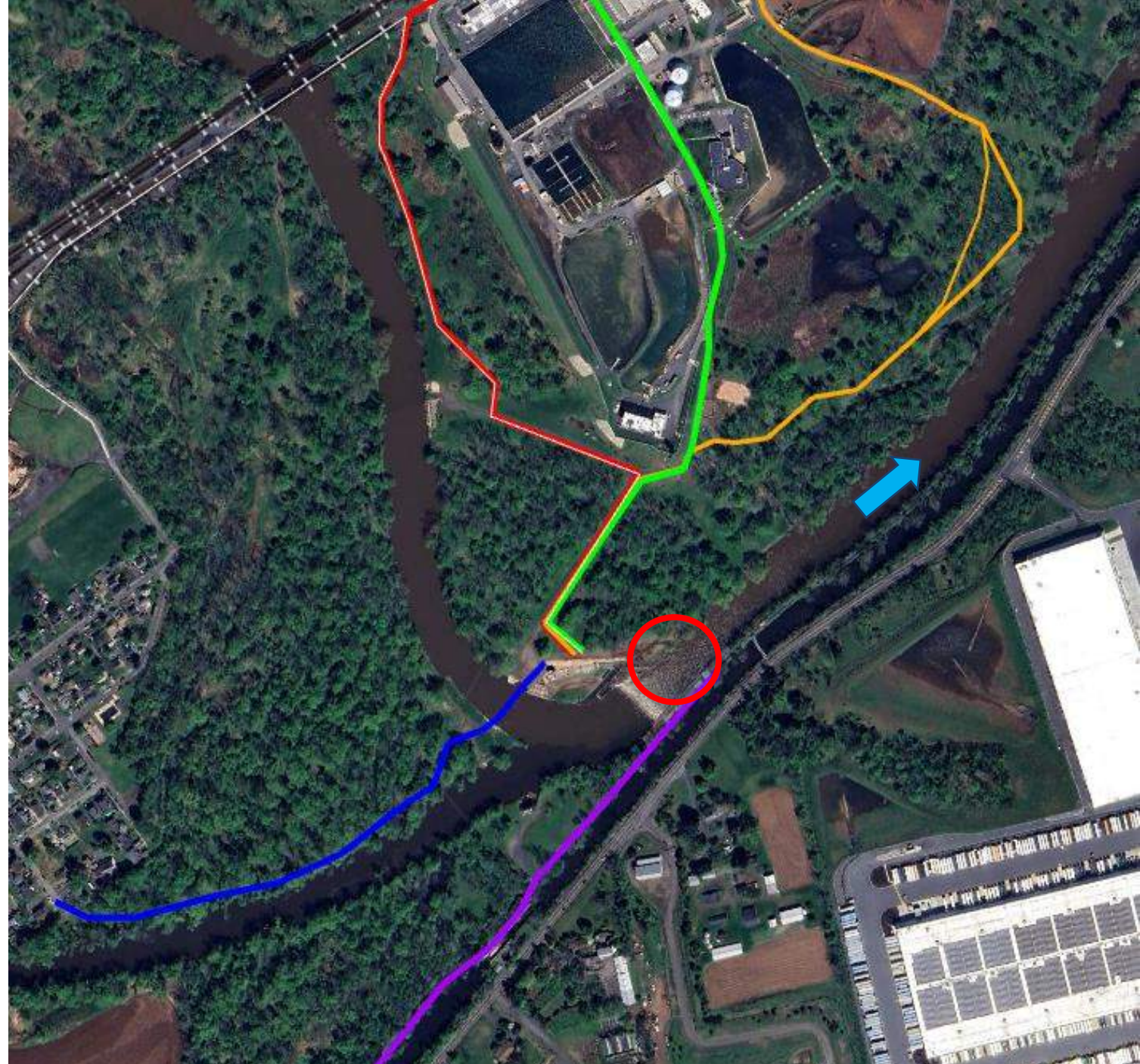
## USACE

- Nationwide Permit vs. Individual Permit
- Navigable waterways & wetlands
  - Jurisdiction starts/stops/overlaps?



# Permitting

- Permit area includes more than just the NLF area
  - Access route
  - Staging area
  - Material harvest area
- Allow reasonable buffer on permit & consultation areas
  - project dimensions may shift in final design = flexibility



# Permitting

- Typically, NLFs are “good” projects that agencies get excited about
- Early identification of design constraints due to permitting =
  - Less changes
  - Agency buy-in
  - More accurate project timeline



*Photos courtesy of KC Construction*

# Public Safety

- Is the site public?
  - Walk-in?
  - Boat-in?
  - Fishermen/women?
- Existing public safety measures?
- Future public use?
  - Desired or restricted?



Shikellamy NLF: Photo courtesy of KC Construction



# Public Safety

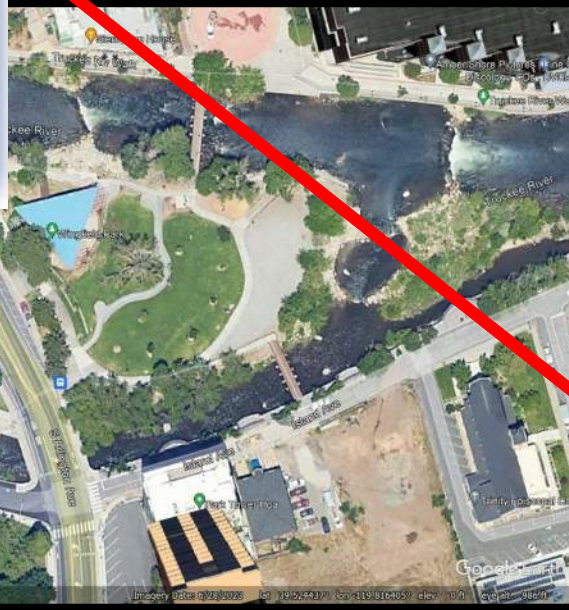
## • Designed Public Engagement?

- Signage/Education
- Fishing?
- Walking trails
- Picnic areas
- Boating/Whitewater boating?
- Compromise fish passage to accommodate boaters OR allow fish passage in white-water project?

- Midtown Rapids, Moorhead MN (Red River of the North; designed for fish passage)
- Wingfield Park, Reno NV (Truckee River; not necessarily designed for fish passage)

## • Risk/insurance considerations

Figure 79. Recreational kayaking at Midtown Rapids. Photo courtesy of Dave Friedl.



# Public Safety

- Put on your “teenage” hat
  - What would I want to try as a teen?
- Buoys/signage vs. floods
- Exercise due diligence warning(s) to reduce risk
- Risks may be similar to a natural stream system, but there may be very good reasons to exclude the public from entering a NLF



# Other Infrastructure

- Identify design/maintenance constraints ASAP via:
  - Desktop Review
  - Dig Alert/811/Dig Safe/One Call
  - Field Visit
  - Discussing NLF concept with Landowner(s)
  - Talking to:
    - Locals (especially those that have lived in the area for decades)
    - Utility owners



**UNDERGROUND  
SERVICE ALERT**  
— NORTHERN CALIFORNIA & NEVADA —

**DIGALERT**





# Other Infrastructure

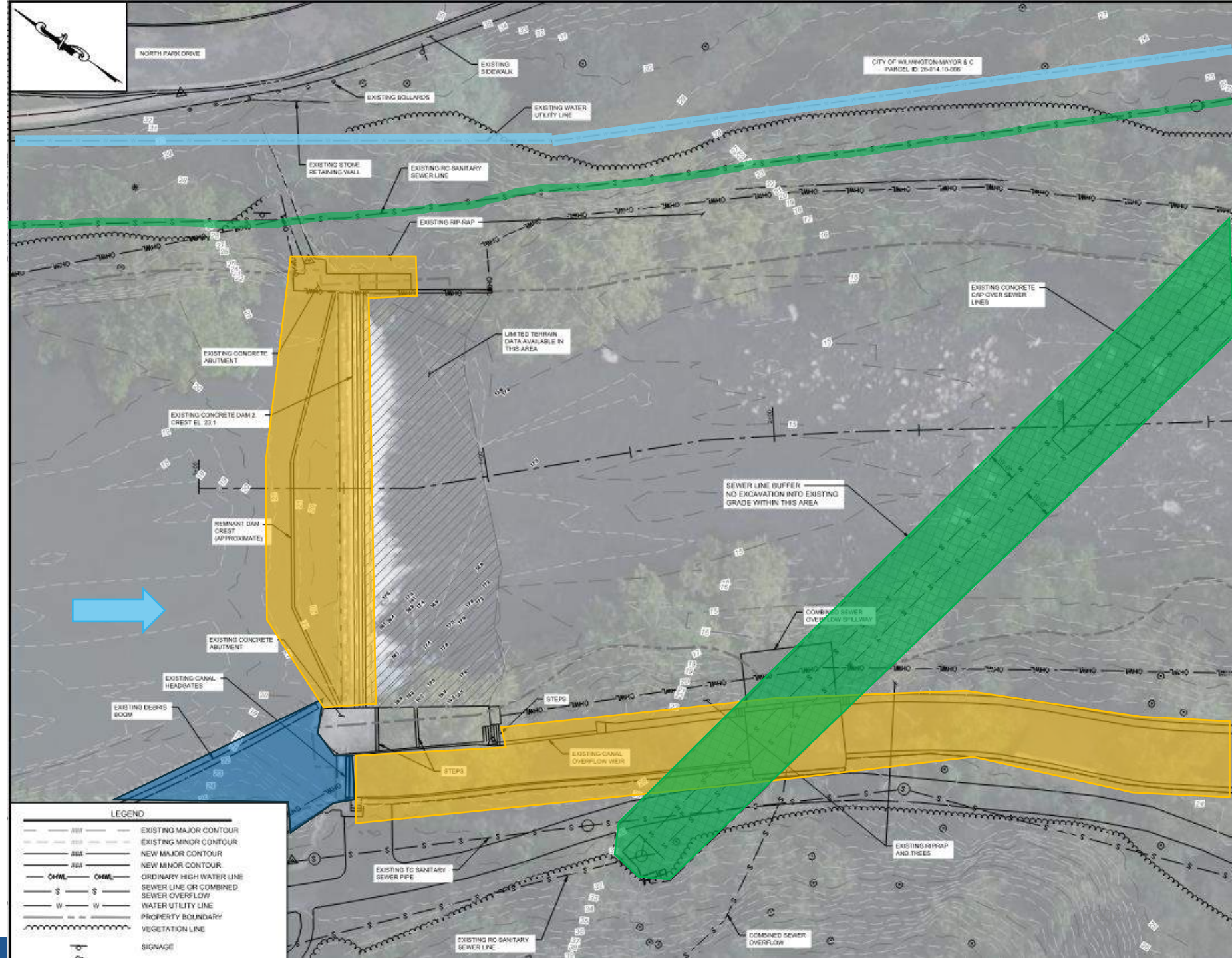
## Dam crest/Utility

- Variability
- Boulders downstream of crest
- Gate/stoplogs



# Other Infrastructure

- Sewer/water/gas/ electric/ fiber optic lines
- Water intakes
- Historic/cultural resources



## Session 4 AGENDA

- |    |                                         |                                      |
|----|-----------------------------------------|--------------------------------------|
| 01 | Design Intro & Biological Effectiveness | by Tyler Kreider                     |
| 02 | Hydraulic Modeling                      | by Barry Chilibeck                   |
| 03 | Roughness Design                        | by Barry Chilibeck & Mike Garello    |
| 04 | Other Design Factors                    | by Tyler Kreider                     |
| 05 | Summary of NLF Monitoring Results       | by Bjorn Lake <span>Up Next!</span>  |
| 06 | Monitoring Methods                      | by Barry Chilibeck and Tyler Kreider |
| 07 | Maintenance of NLFs                     | by Marcin Whitman                    |
| 08 | Q&A (as time allows)                    | led by Tyler Kreider                 |

# Nature-like Fishways:

## NLF Monitoring Results



03/27/2024



## AGENDA

- 01** Definitions & Terminology
- 02** Meta-Analyses
- 03** Case Studies
- 04** Summary



# 01



Mural by Esteban Camacho Steffensen.

## Definitions & Terminology

Performance

- Biological
- Physical
- Ancillary Benefits

# Definitions & Terminology

## Biological Performance

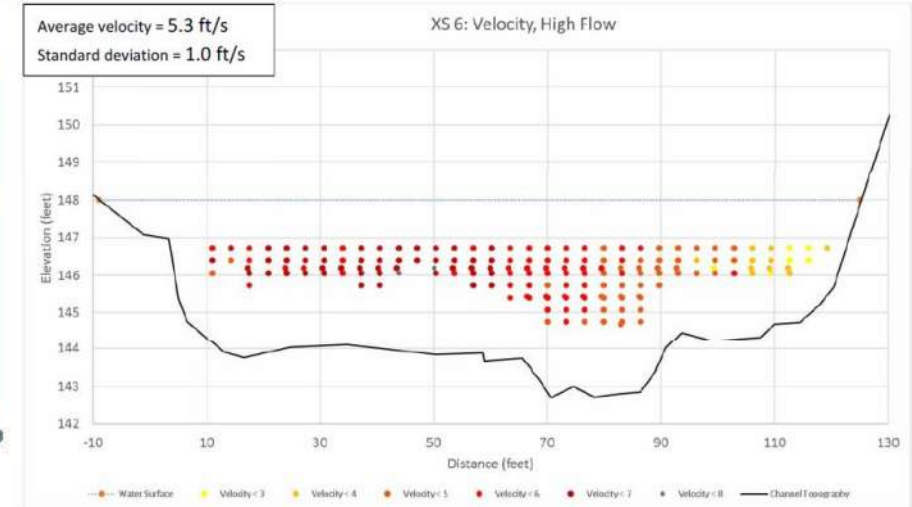
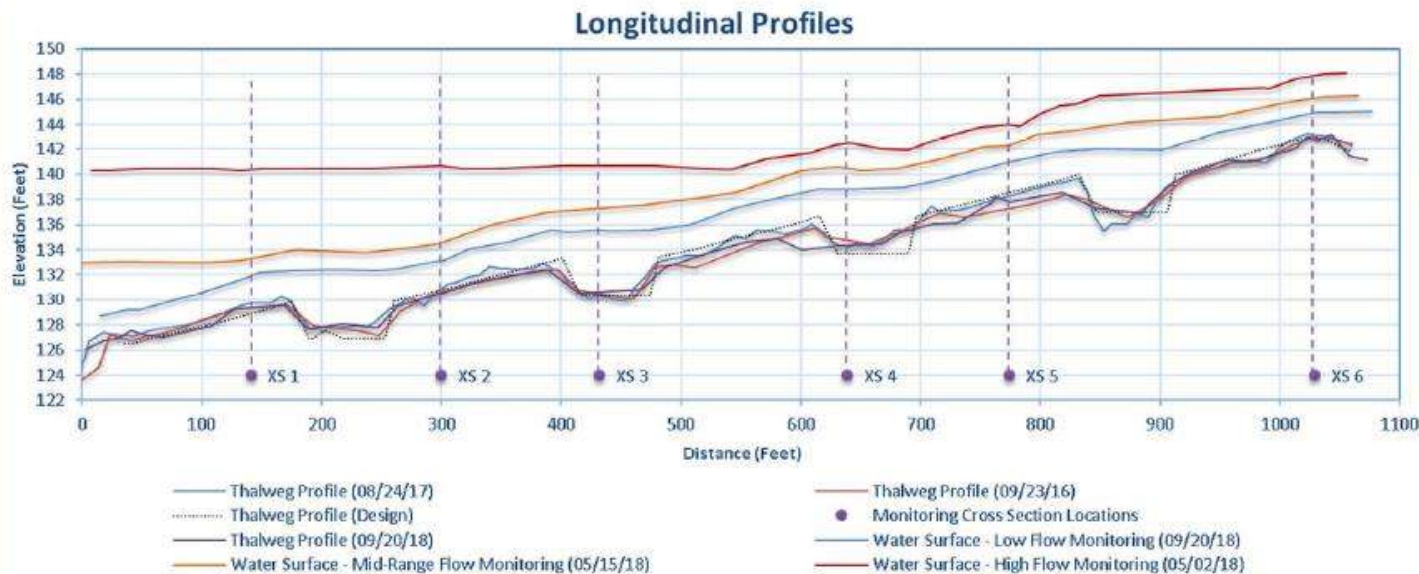
1. Safe – fish that use the fishway are not injured
2. Timely – fish that use the fishway are not delayed
3. Effective – fish that desire to pass the fishway are successful
  - Attraction efficiency – probability of a fish to find the fishway
  - Passage efficiency – probability of a fish to pass the fishway



# Definitions & Terminology

## Physical Performance

1. Does the fishway meet hydraulic design criteria?
2. Does the fishway meet bed mobility criteria?
3. Does the fishway withstand stochastic events?

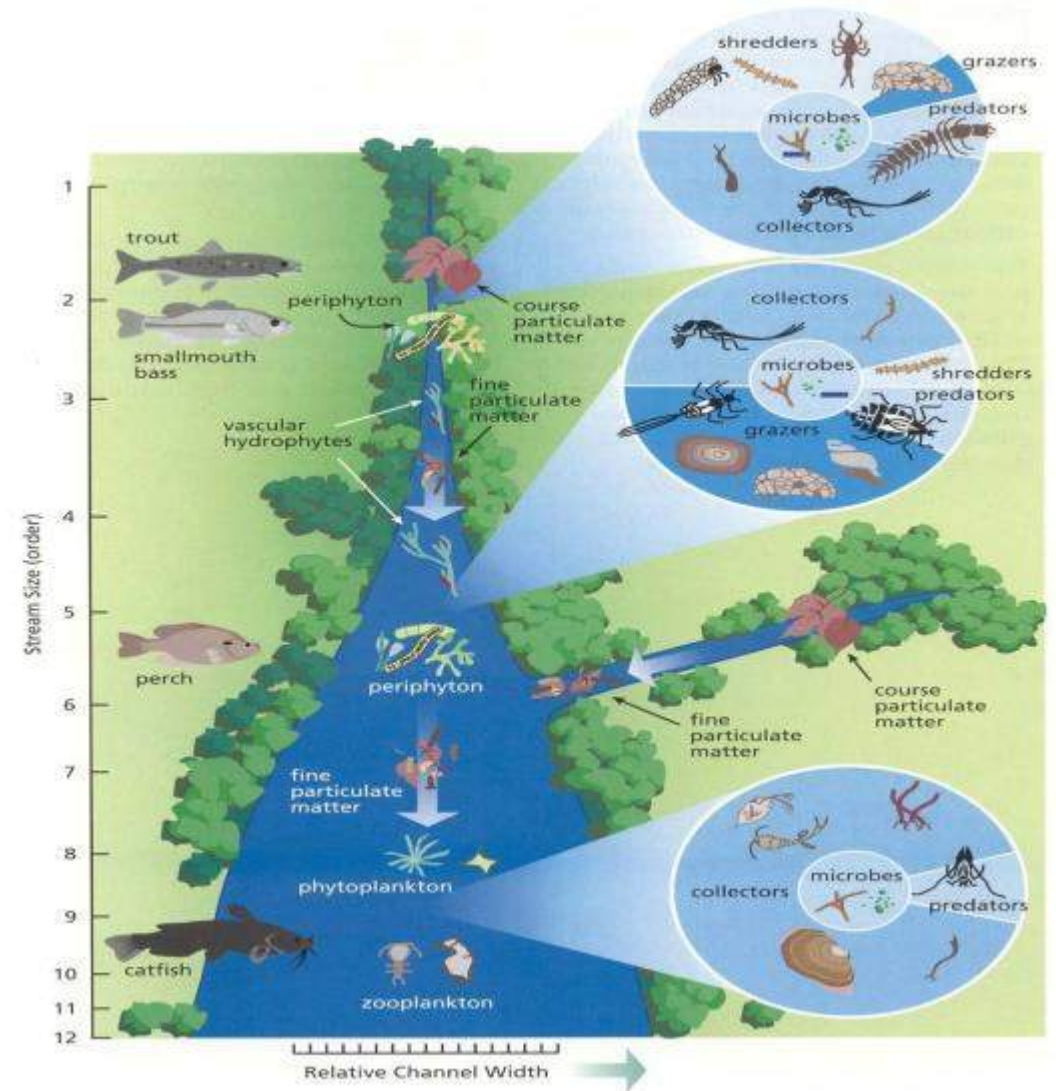




# Definitions & Terminology

## Ancillary Benefits

1. Does the fishway provide habitat value?
2. Does the fishway minimize operation and maintenance?
3. Does the community accept the fishway?



Adapted from Vannote 1980 by NRCS

# 02



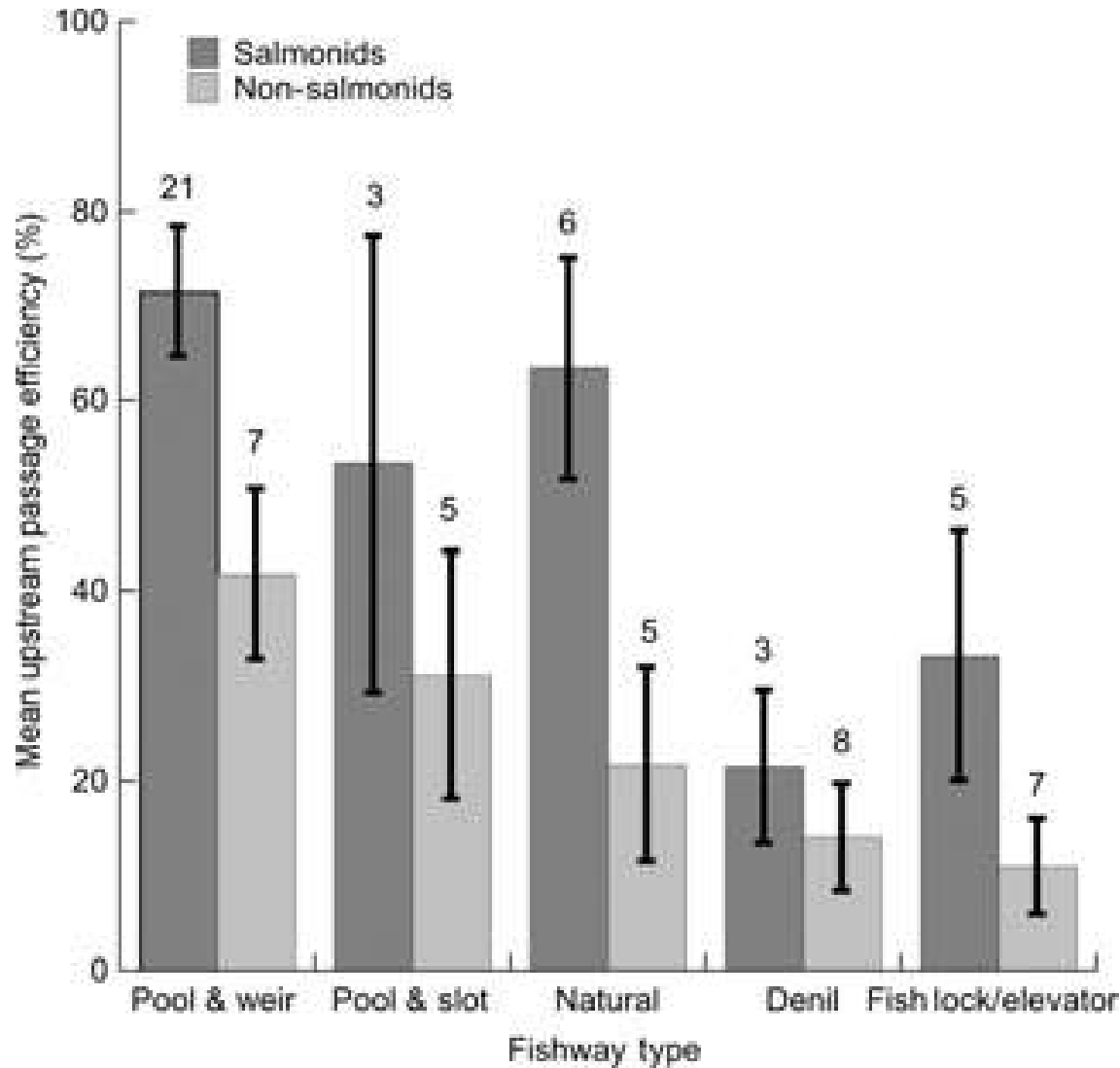
## Meta-Analysis Summary

### Published Literature

- Noonan, M. J., J. W. A. Grant, and C. D. Jackson. 2012. A quantitative assessment of fish passage efficiency. *Fish and Fisheries* 13:450-464.
- Bunt, C. M., T. Castro-Santos, and A. Haro. 2012. Performance of Fish Passage Structures at Upstream Barriers to Migration. *River Research and Applications* 28:457-478.
- Hershey, H. 2021. Updating the consensus on fishway efficiency: A meta-analysis. *Fish and Fisheries* 22:735-748.

\*Cartoon from Catherine Graham in Jager et al 2013

# Noonan et al 2012



(a)

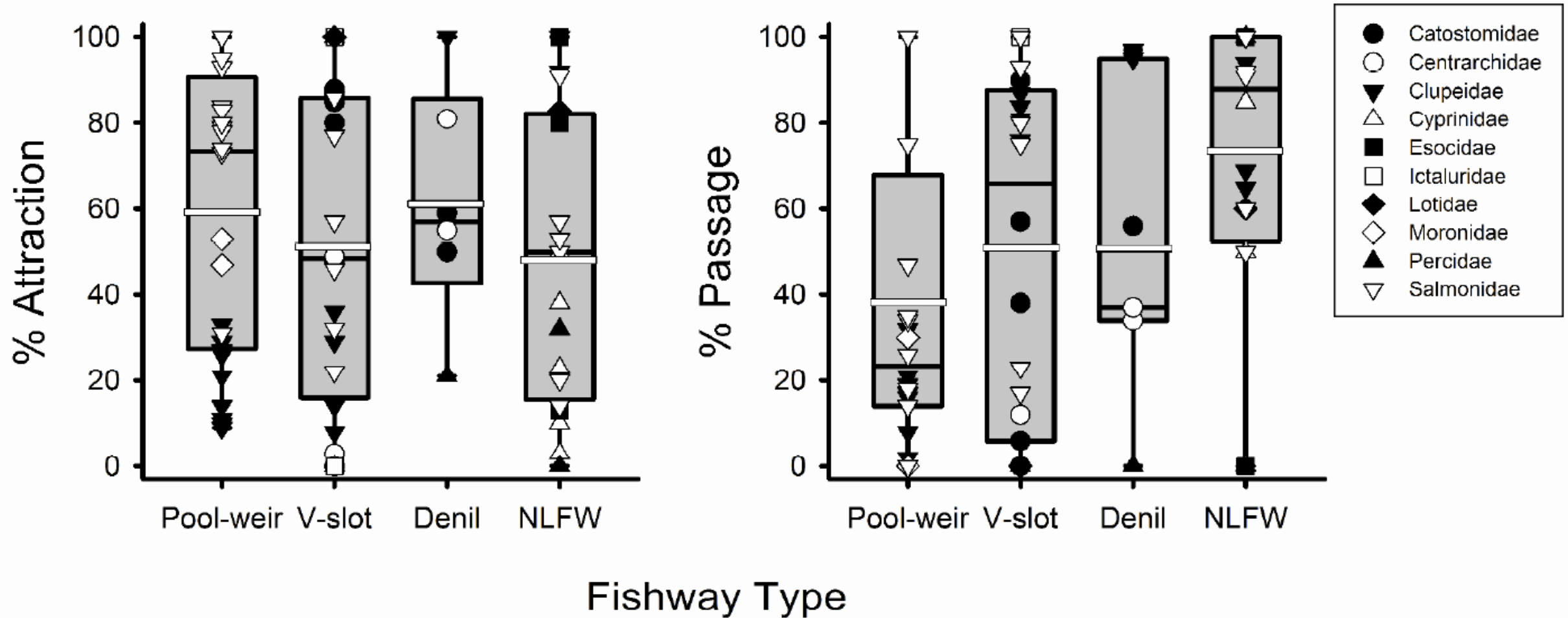
Type of fishway	Length (m)	SE	<i>n</i>	Slope (%)	SE	<i>n</i>	Velocity (m s <sup>-1</sup> )	SE	<i>n</i>
Pool and weir	190.3	±71.4	7	8.1	±0.75	11	1.78	±0.18	9
Pool and slot	175.6	±101.8	5	6.3	±2.42	3	2.07	±0.33	3
Natural	202.9	±41.4	10	4.2	±1.11	9	1.80	±0.50	2
Denil	14.2	±5.3	8	14.5	±1.47	10	0.89	±0.21	7

(b)

	Length (m)	Velocity (m s <sup>-1</sup> )
Slope (%)	-0.703** (23)	-0.474 (13)
Velocity (m s <sup>-1</sup> )	0.594* (13)	

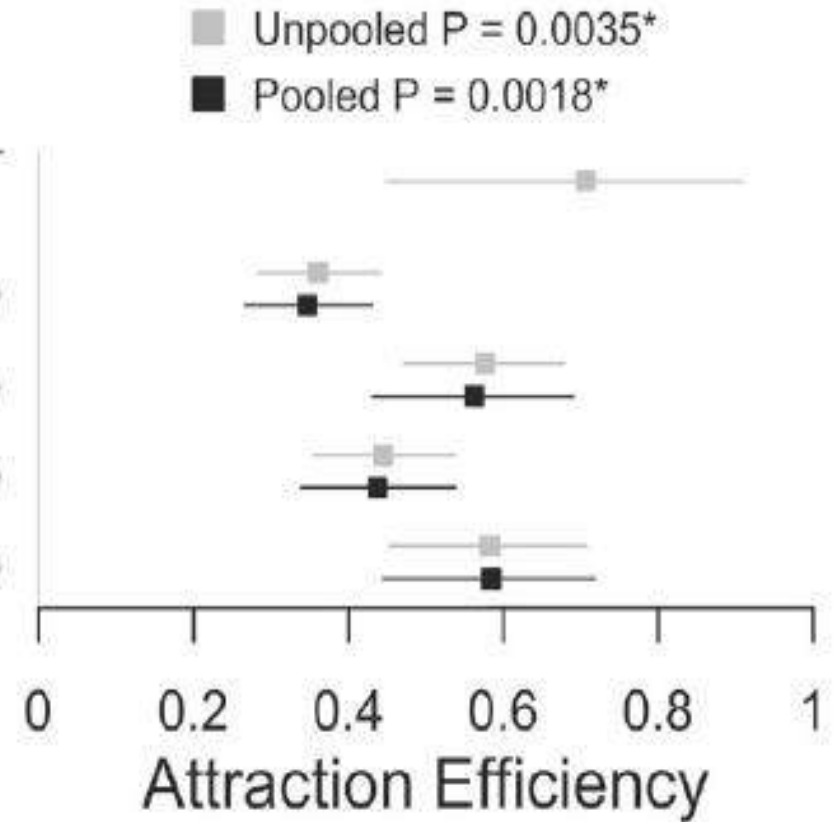
\**P* < 0.05; \*\**P* < 0.01.

# Bunt et al 2012, 2016



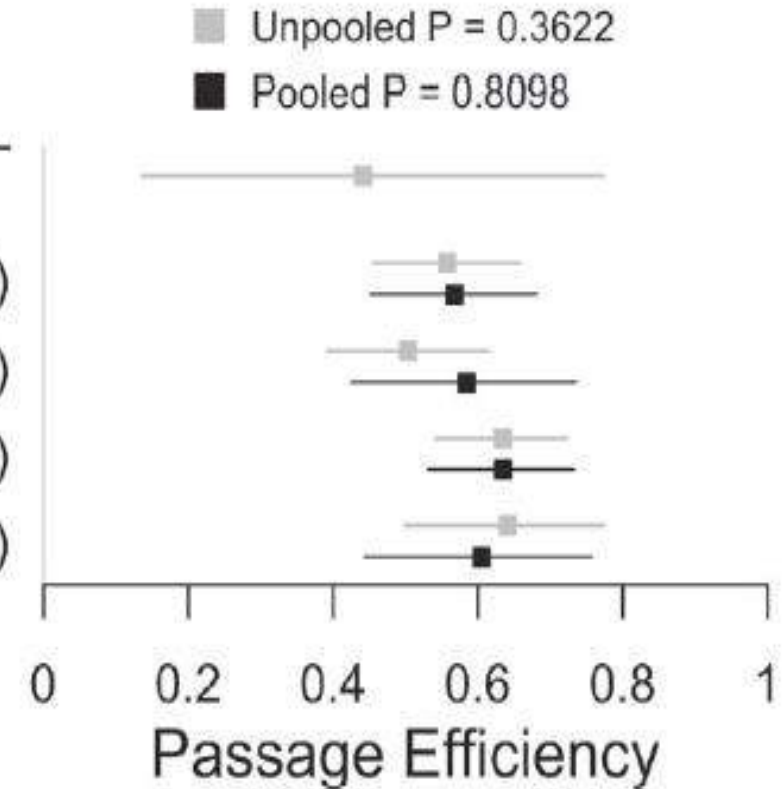
# Hershey 2021

Fishway Type	N	Estimate	tau <sup>2</sup>
Denil	7	0.7061	0.1150
Nature-Like	66 (59)	0.3608 (0.3469)	0.0861 (0.0869)
Pool and Weir	53 (26)	0.5764 (0.5629)	0.1411 (0.1104)
Vertical Slot	76 (61)	0.4456 (0.4374)	0.1126 (0.0999)
Locks and Lifts	8 (7)	0.5827 (0.5843)	0.0257 (0.0272)



# Hershey 2021

Fishway Type	N	Estimate	tau <sup>2</sup>
Denil	8	0.4417	0.2148
Nature-Like	76 (63)	0.5574 (0.5676)	0.1163 (0.1217)
Pool and Weir	63 (33)	0.5036 (0.5843)	0.1821 (0.1996)
Vertical Slot	78 (62)	0.6339 (0.6341)	0.1131 (0.1076)
Locks and Lifts	27 (16)	0.6413 (0.6050)	0.0955 (0.0866)





# 03



## Case Studies

- International
- United States

# International Case Studies





# Thornbury Fishway, Beaver River, Ontario, Canada

Bunt & Jacobson 2019 NAJFM 39:460-467

- Target Species

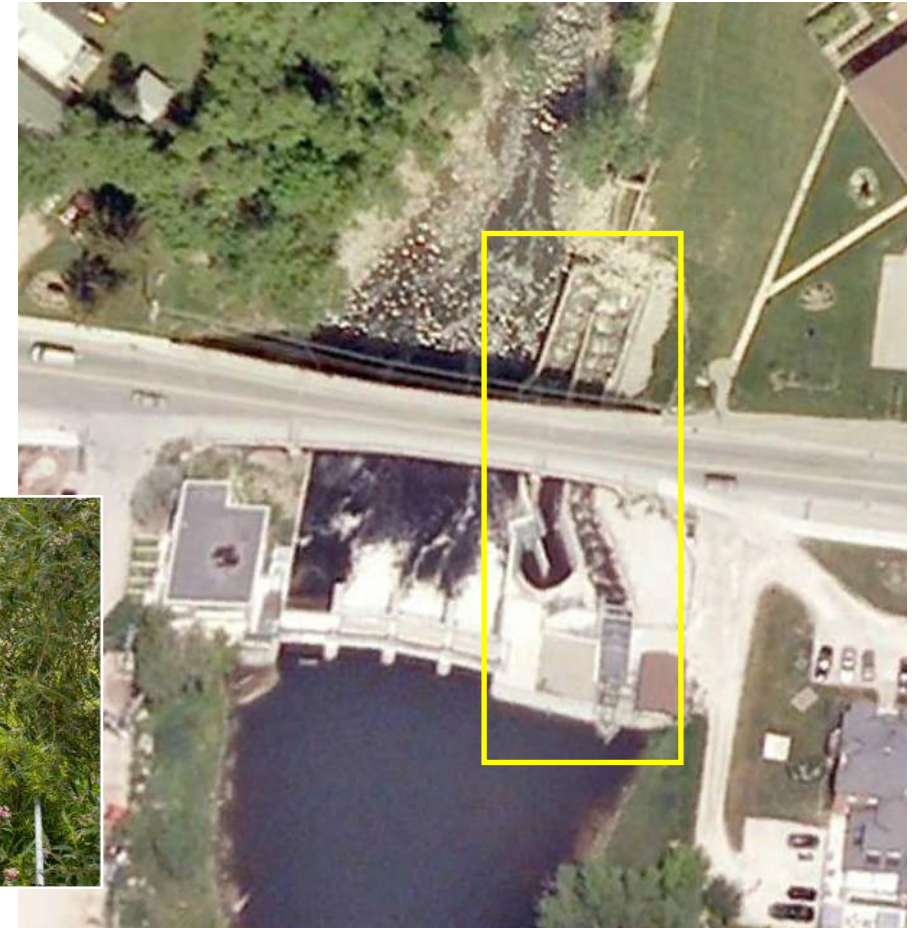
- Chinook Salmon
- **Rainbow Trout**

- Fishway Specs

- 126 m Step Pool
- 29 2X3 m pools
- 0.3 m drop per pool

- Effectiveness

- Attraction = 53%
- Passage Efficiency = 100%
- Delay = 152 ± 122 min



# Rodley Fishway, River Aire, Yorkshire, United Kingdom

Dodd et al 2017 JEM 204:318-326

- Target Species

- Brown Trout

- Fishway Specs

- 150 m Step Pool
- 12 notched grade controls
- 0.1 – 0.15 m drop per pool

- Effectiveness

- Attraction = 45%\*
- Passage Efficiency = 76%\*
- Delay = <1 to 286 hrs



# Sangju Fishway, Nakdong River, Gyeongsang, Korea

Kim et al 2016 Water 8:1-18

- Fishway Specs

- 700 m Step Pool
- 1% slope
- 6-18 m width, 0.5 m + depth

- Effectiveness

- Trap Checks – 1,474 individuals, 19 species
- Attraction Efficiency = 20.7%
- Passage Efficiency = 14.5%
- Delay = 1.2-1,559 hrs
- Size selection



# Vanitys Fishway, Cotter River, ACT, Australia

Broadhurst et al 2013 Mar Freshwater Res 64:900-908

- Target Species

- Macquarie Perch

- Fishway Specs

- 40 m Roughened Channel
- 1:30 slope

- Effectiveness

- 2 US/DS sampling periods (post, +5 yr)
- Abundance and distribution increased
- Size distribution suggested multiple cohorts



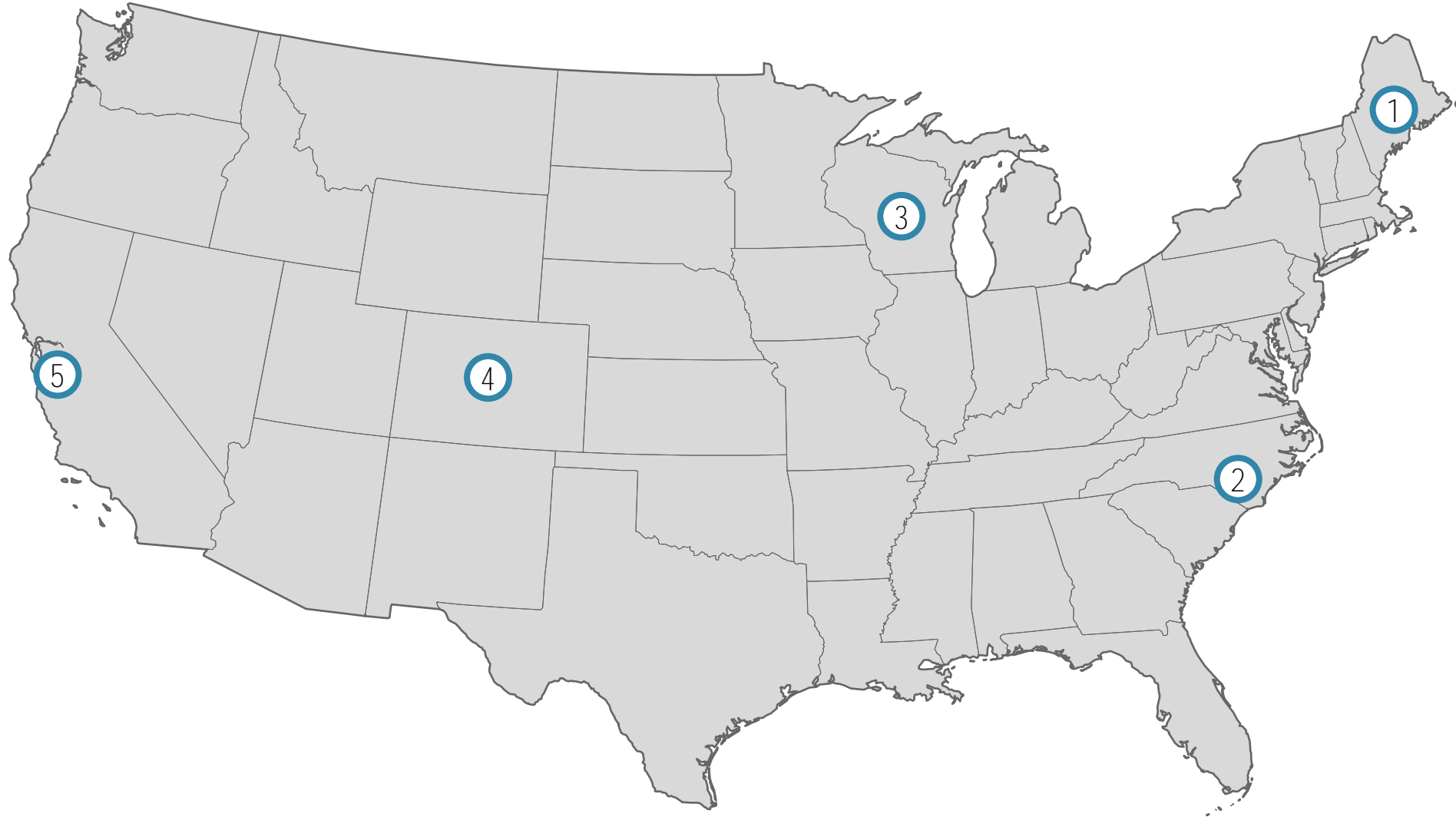
# Santo Antonio Fishway, Madeira River, Rondonia, Brazil

Hahn et al 2022 Hydrobiologia 849:323-338

- Target Species
  - 3 Species of Goliath Catfish
- Fishway Specs
  - 1,400 m
  - 2.5% slope
  - 4-10 m<sup>3</sup>/s
- Effectiveness
  - Attraction Efficiency  $\leq 4\%$
  - Passage Efficiency = 0%
  - Release in Fishway = 0-12.2%



# Case Studies from the U.S.



# Howland Bypass, Piscataquis River, Maine

Molina-Moctezuma et al 2021; Peterson 2022

- Target Species – Atlantic salmon, sea lamprey, and alosines
- Fishway Specs
  - 1,000 ft Roughened Hybrid
  - 2.4% max slope
- Effectiveness
  - Downstream smolts approached natural survival and migration rate
  - Passage Efficiency = 78% sea lamprey, 57% Atlantic salmon
  - Delay = 3 hr median up to 120 days
  - High fall back rates for Atlantic salmon



# Lock and Dam 1, Cape Fear River, North Carolina

Raabe et al 2019

- Target Species – Atlantic sturgeon, American shad, Blueback Herring, Striped Bass and Flathead Catfish
- Fishway Specs
  - 300 ft X 280 ft Roughened Hybrid
  - 3.5 to 5 % slope
- Effectiveness
  - Passage Efficiency = 55-65% AS, 19-25% SB, 13-80% FC
  - Delay means = 14.7 days AS, 11.6 days SB, 17.4 days FC
  - Confirmed Atlantic sturgeon passage



\*Photo Courtesy Margaret Fields TNC



# Various Sites in Wisconsin

Bruch and Haxton 2023

- Target Species – Lake Sturgeon
- Fishway Specs
  - a) Eureka, Fox River – partial, step pool, 3% slope
  - b) Winter, Chippewa River – bypass, step pool, 2.7% slope
  - c) Mequon-Thiensville – Milwaukee River, bypass, pool-riffle, 1.1% slope
- Effectiveness
  - a) 250 LS annually pass, in-fishway spawning
  - b) 48 LS annually pass
  - c) No passage yet, restoration still in-progress



# Fossil Creek Reservoir Inlet Diversion, Poudre River, Colorado

Richer et al 2020

- Target Species – Brassy Minnow, Brown Trout, Longnose Dace, Longnose Sucker
- Fishway Specs
  - 30 ft Trapezoidal Roughened Channel
  - 5 % slope
- Effectiveness
  - Extended study – 5 to 51% range, 19% overall
  - Enclosed study – 24 to 98% range, 81% overall
  - Confirmed passage for all target species



# San Clemente Dam Removal, Carmel River, California

Harrison et al 2018, Smith et al 2020, Boughton et al 2020, Smith et al 2021, East et al 2023, and Ohms et al 2023

- Target Species – Steelhead
- Fishway Specs
  - 3,750 ft long reroute channel with 53 step-pools
  - 1 ft drop per pool
- Effectiveness
  - Steelhead and Pacific lamprey pass
  - Increased size distribution
  - Steelhead 2D fish densities are on par if not greater than other reaches





# 04

## Summary

- Biological
  - Mixed bag with entrance efficiency likely being the limiting issue
  - Positive results for multi-species passage
- Physical – Mixed bag from stable to “auto-naturalized”
- Ancillary Benefits – People really like them! Stream health indices improve



## Session 4 AGENDA

**01** Design Intro & Biological Effectiveness by Tyler Kreider

**02** Hydraulic Modeling by Barry Chilibeck

**03** Roughness Design by Barry Chilibeck

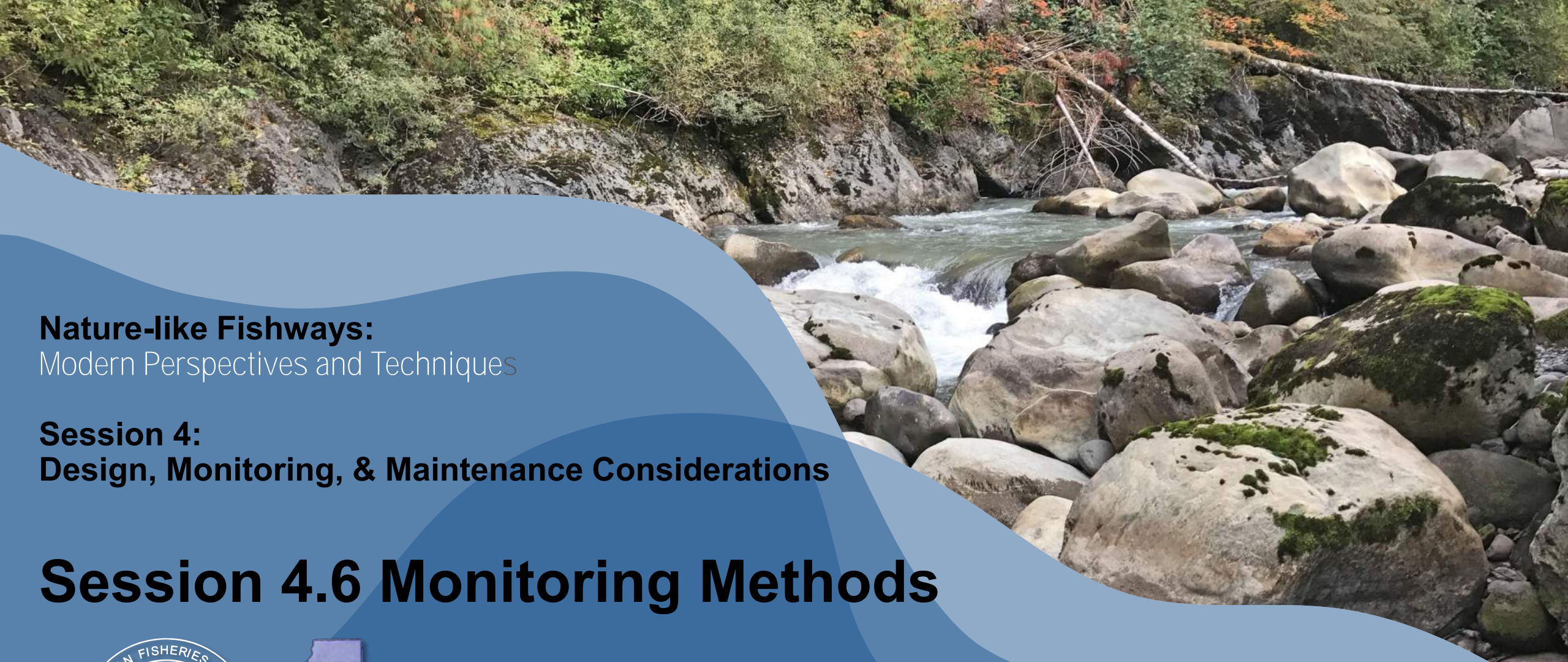
**04** Other Design Factors by Tyler Kreider

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**07** Maintenance of NLFs by Marcin Whitman

**08** Q&A (as time allows) led by Tyler Kreider



**Nature-like Fishways:**  
Modern Perspectives and Techniques

**Session 4:**  
Design, Monitoring, & Maintenance Considerations

**Session 4.6 Monitoring Methods**



By: Barry Chilibeck & Tyler Kreider

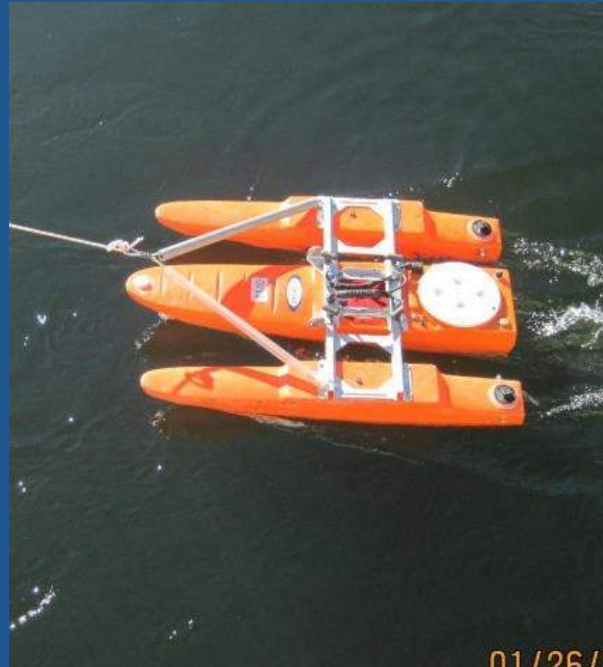
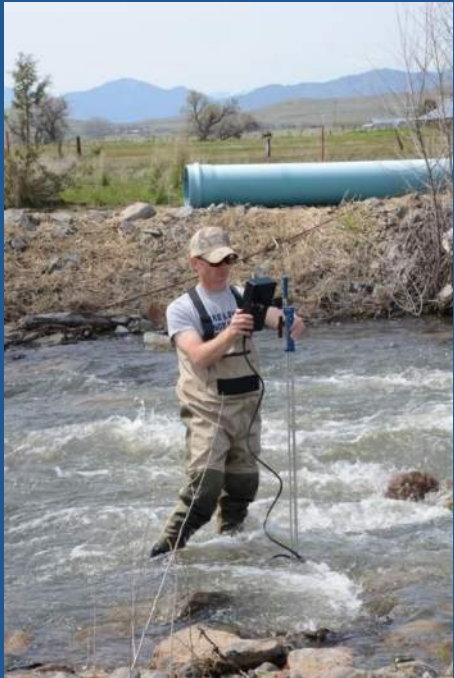
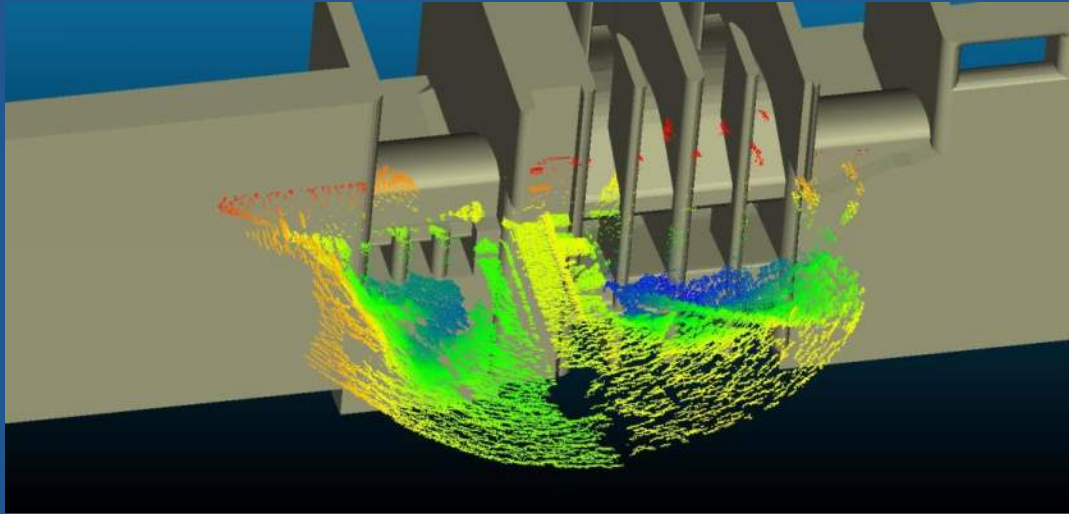
3/27/24



## AGENDA: Monitoring Methods

**01** Physical Monitoring

**02** Biological Monitoring



## Discussion Topics

- Objectives
- Flow Measurement
- Physical Surveys
- Data for Validation in Design and Construction
- Systems and Scenarios



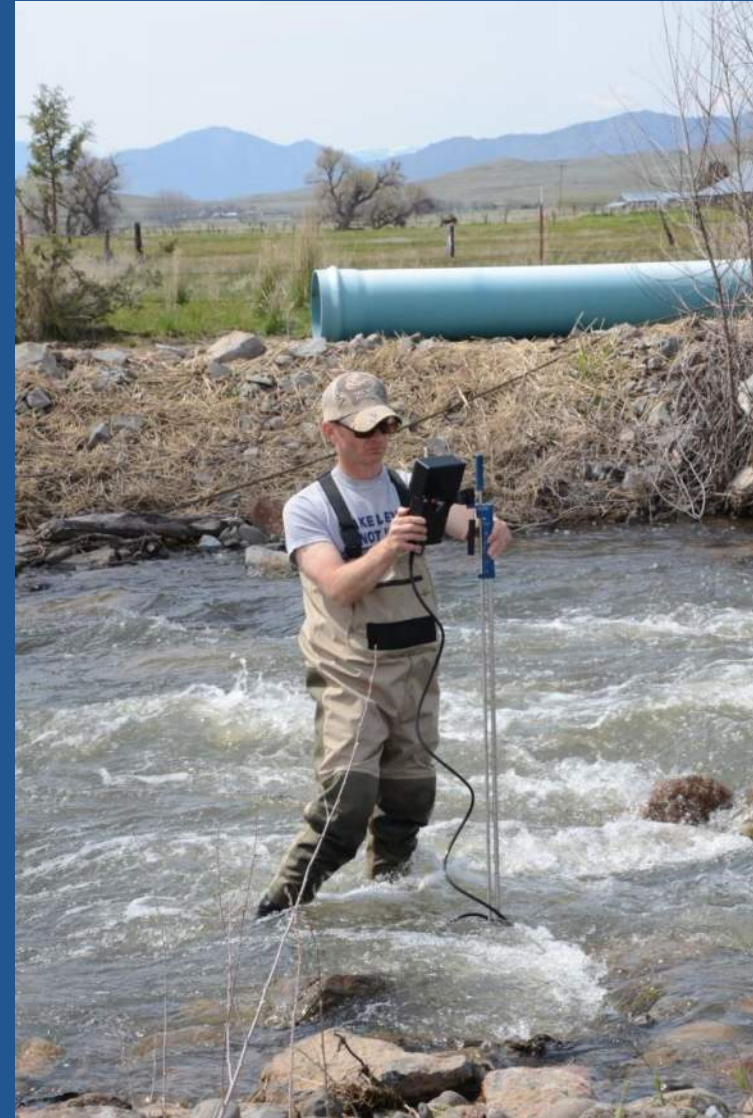
# Physical Monitoring Objectives and Methods

- Physical surveys are part of the data collection phase of design and assessment programs
- Data:
  - Stage Data
  - Flow or Discharge Data
  - Physical Surveys
  - Sediment Surveys
  - Hydroclimate and Sensing data



# ADV Flow Tracker

- Next Generation Cup and Propeller
- Measures Velocity at a Point
- USGS Methods for Measuring Discharge
- replacing the propellor but not the pygmy meter
- Next gen instruments are smaller and lighter



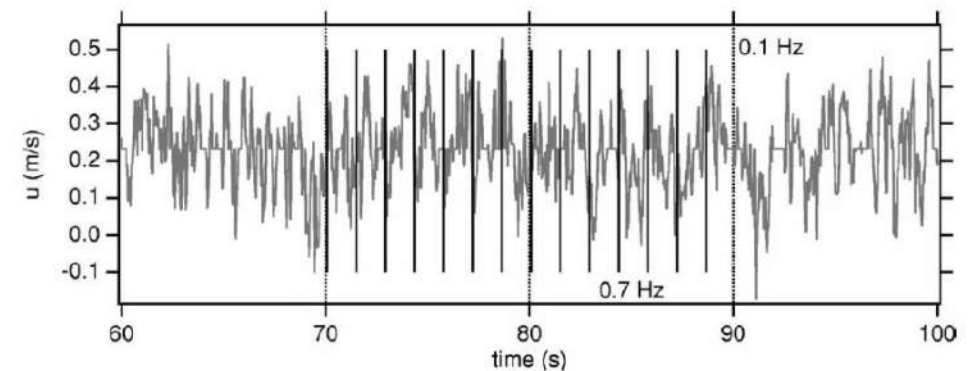
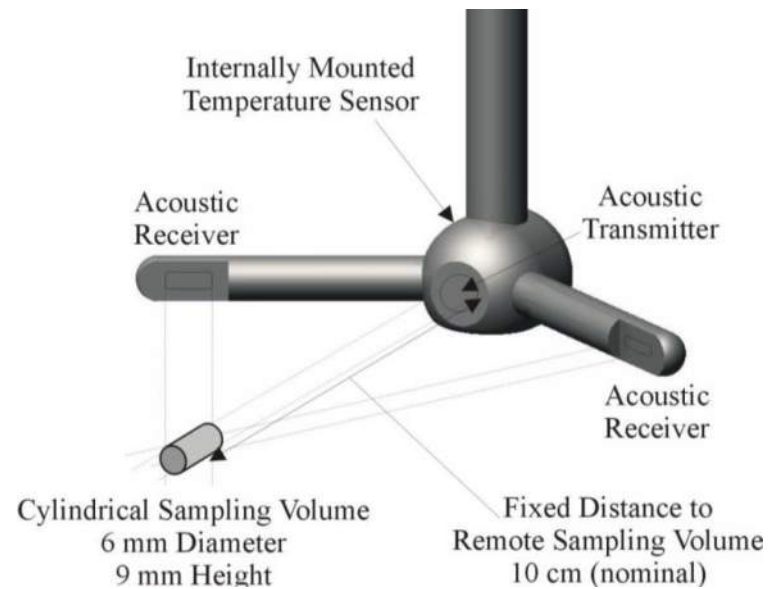
# ADCP

- Acoustic Doppler Current Profiler
- Measures instantaneous at discrete bins
- USGS Methods for Discharge (trusted)
- Non-Intrusive
- Manned or Unmanned Boat
- 5-beam depth sounder



# Direct Sensing Turbulence - ADV Flow Tracker

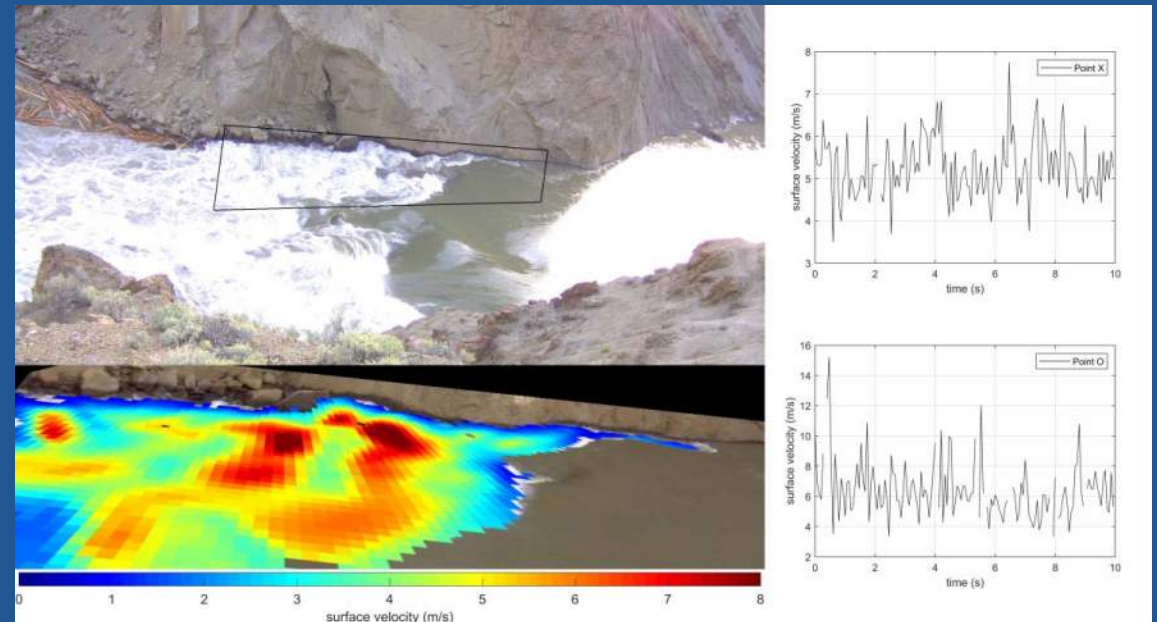
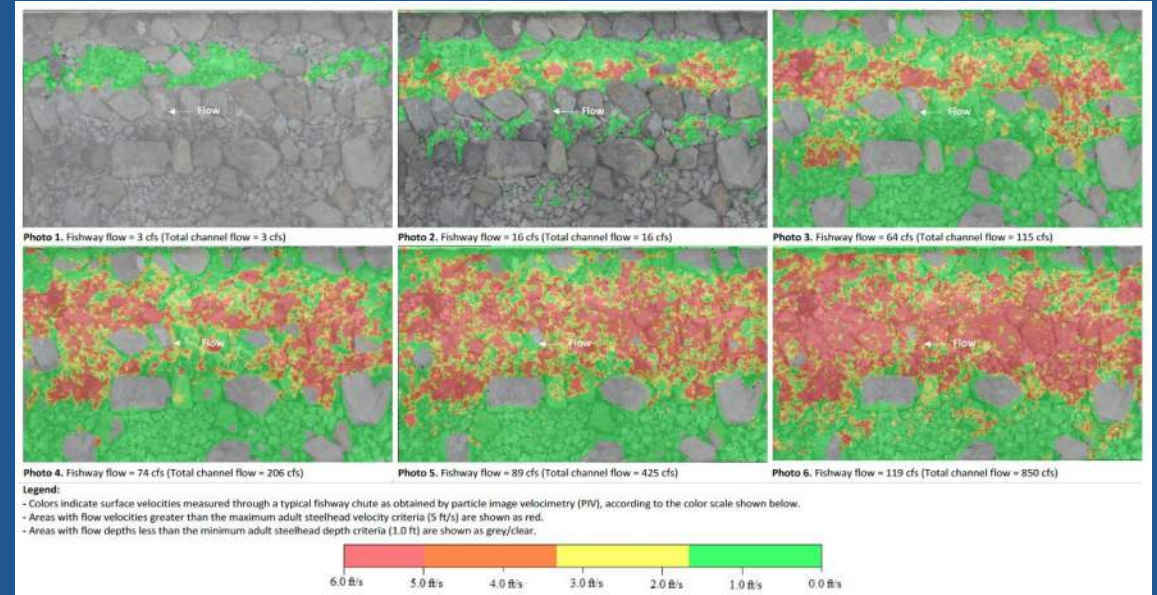
- 1 second sample rate
- 40 second measurement period
- processing includes error and variation



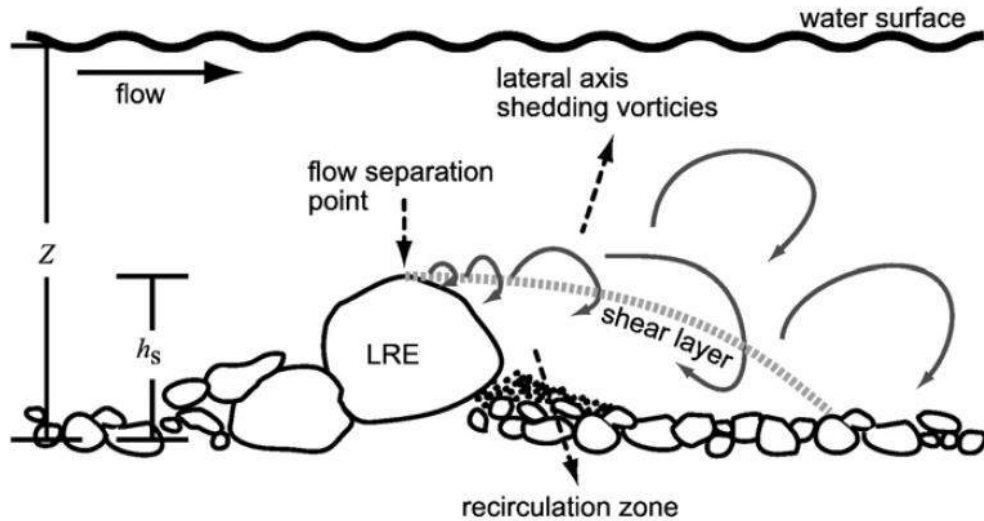
K.B. Strom and A.N. Papanicolaou (2007). ADV measurements around a cluster microform in a shallow mountain stream. *J. Hydraulics*. Doi:10.1061/(ASCE)0733-9429

# Remote Sensing: LSPIV

- **L**arge **S**cale **P**article **I**mage **V**elocimetry
- Image based, non-intrusive approach
- PIV methods + Image Transformation
- Provides 2-D surface velocity measurements on a spatial grid
- Proof of application: Creutin et al. (2003), Muste et al. (2004), Kim et al. (2008), Papanicolaou et al. (2010), NHC (2011)

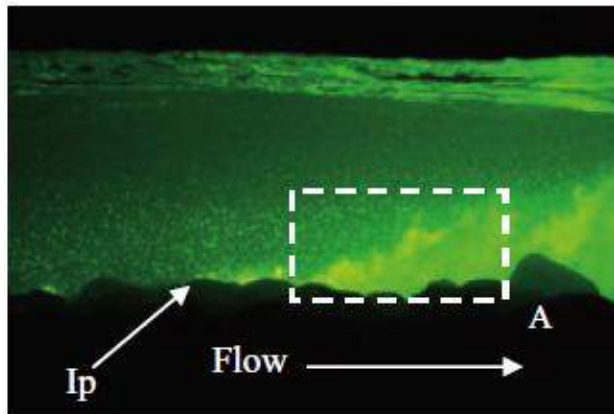


# Micro-hydraulics

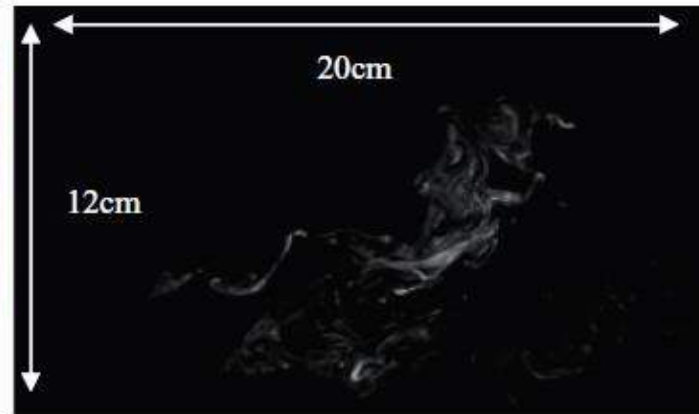


Lacey R.W.J., and A.G. Roy (2008) The spatial characterization of turbulence around large roughness elements in a gravel-bed river, *Geomorphology*, 102, 542-553.

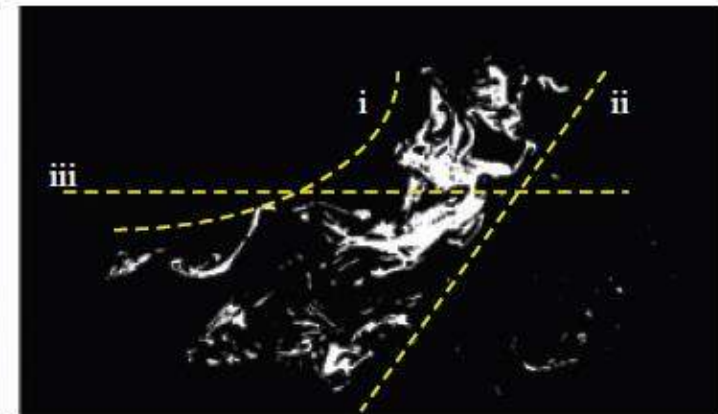
R.J. Hardy; J.L. Best; D.R. Parsons; G.M. Keevil (2011) On determining the Processes and Landforms (February 2011), 36 (2), pg. 279-284 geometric and kinematic characteristics of coherent flow structures over a gravel bed: a new approach using combined PLIF-PIV. *Earth Surface*



a)



b)



c)

# Field Data Collection

Survey:

280 topo points

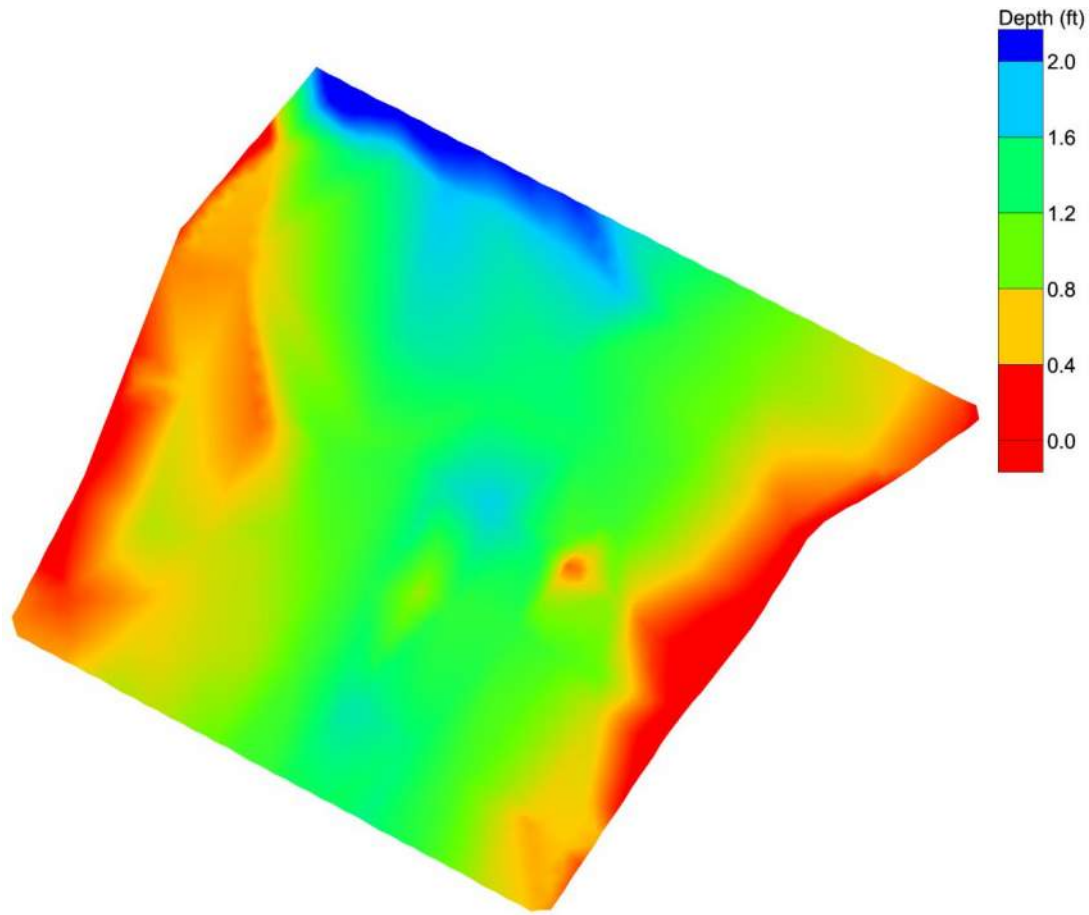
50 boulders



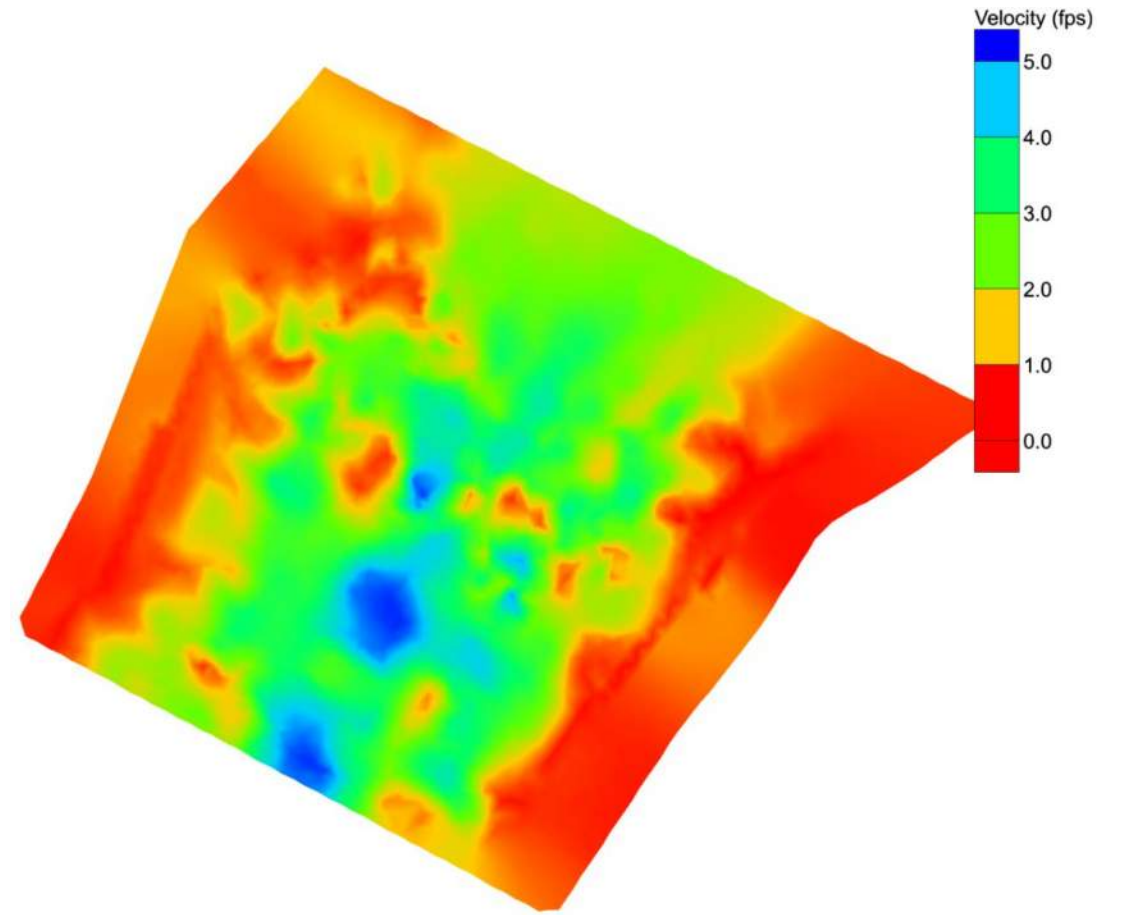
- Field Survey – TS / RTK
- ADV (Flow Tracker)
- LSPIV / UAV



# Ecohydraulics



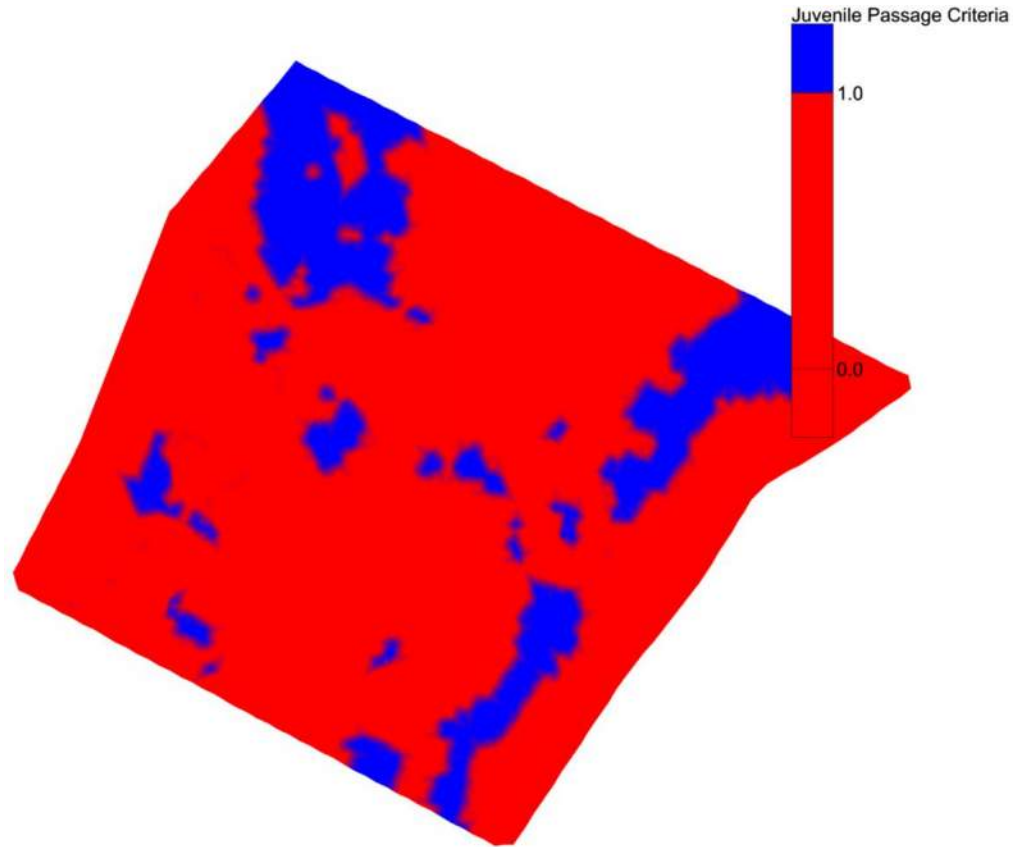
Depths



Velocities

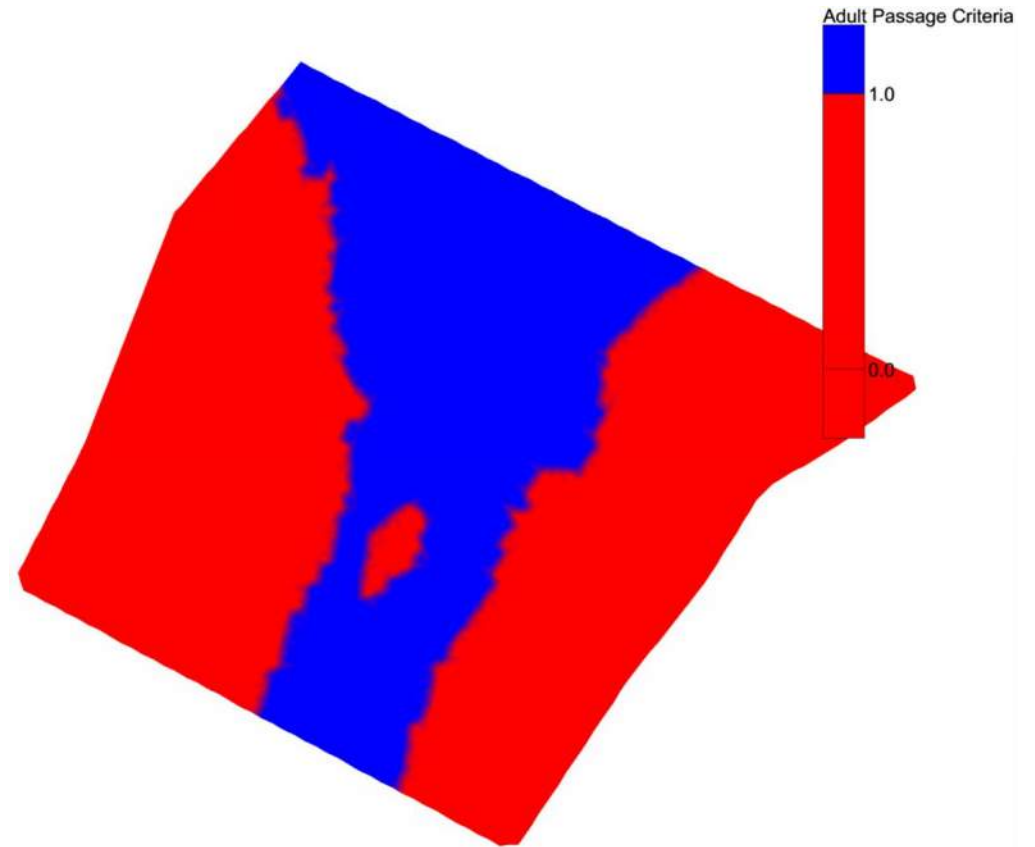


# Fish Passage Assessment



Juvenile Salmonid Passage Criteria:

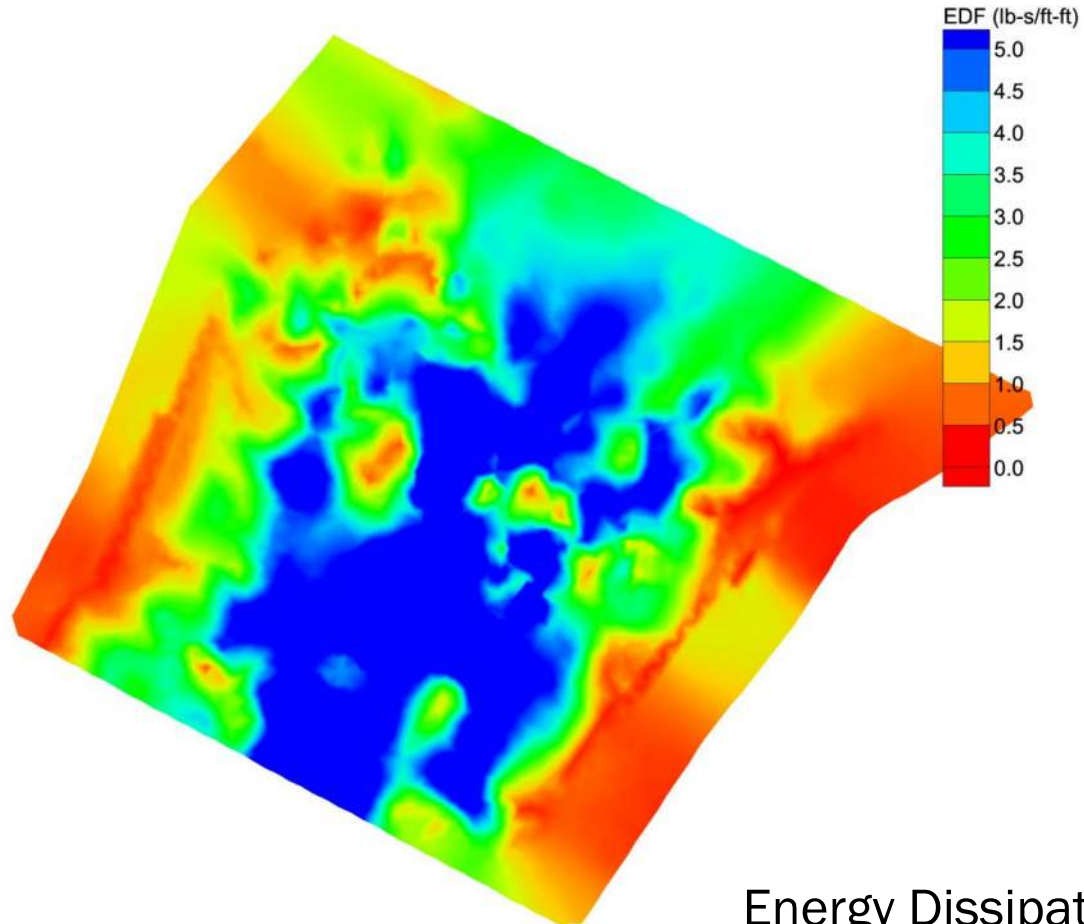
- Velocity < 1 ft
- Depth > 0.5 ft



Adult Salmonid Passage Criteria:

- Velocity < 6 fps for culvers < 60 ft
- Velocity < 5 fps for culvers 60 to 100 ft
- Depth > 1 ft

# Energy Dissipation Factor



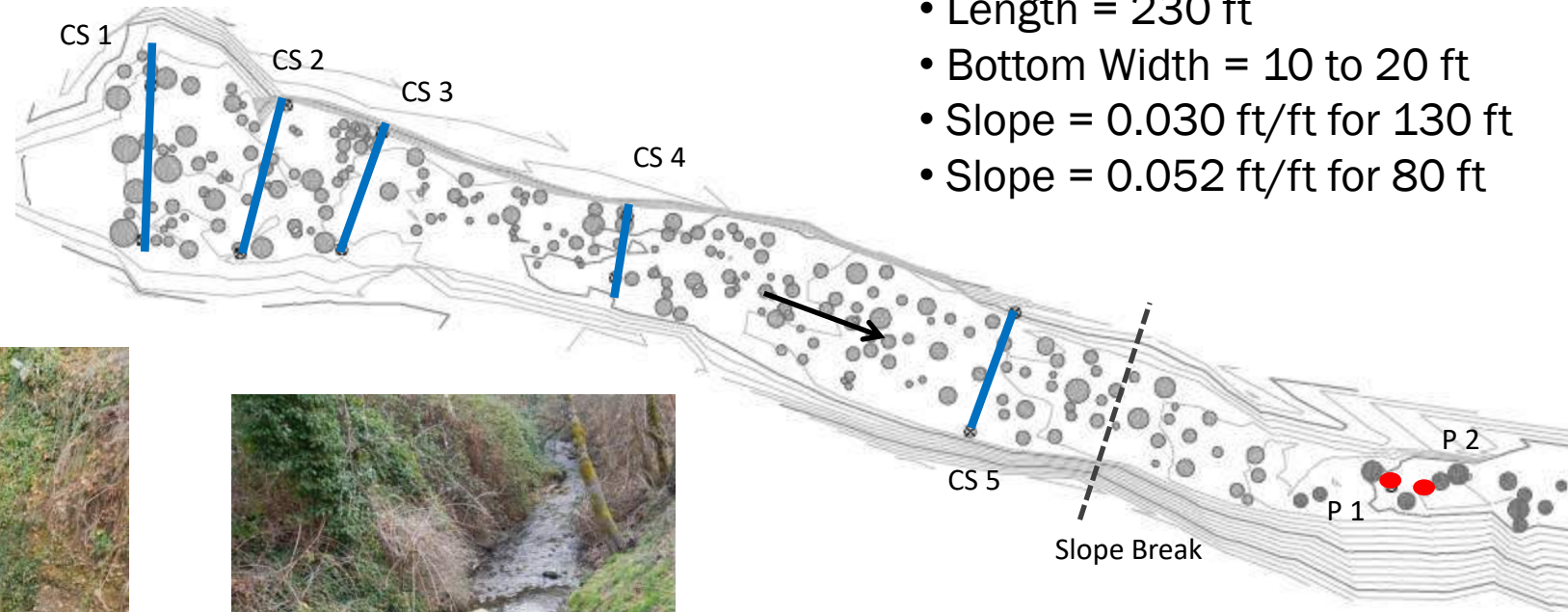
Energy Dissipation Factor:  $EDF = YVS$

Roughened Channel:  $EDF < 7 \text{ lb-ft/s/ft}^3$  (Bates 2003)

# Monitoring NLF Sites

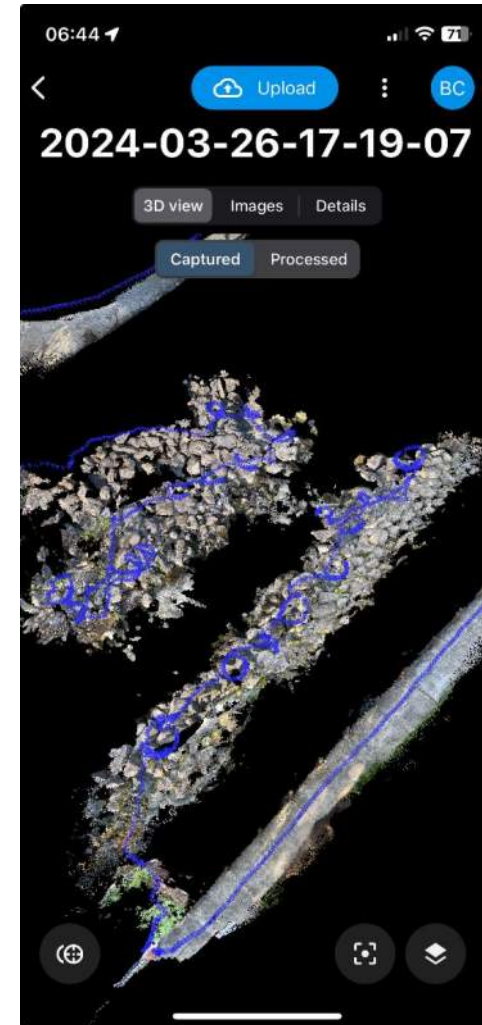
## Characteristics:

- Length = 230 ft
- Bottom Width = 10 to 20 ft
- Slope = 0.030 ft/ft for 130 ft
- Slope = 0.052 ft/ft for 80 ft



# Monitoring NLF Sites – UAV Data Collection

- UAV and portable LIDAR has revolutionized field spatial data collection
- Combined still and video capture allows both physical and hydraulic data collection
- Realize the value of a Geomatic Engineer



# Biological Monitoring

- Purpose of biological monitoring?
  - Curiosity
  - Confirm performance of the NLF
  - Identify and correct problems
  - Gain information for improvement
  - Prove NLF effectiveness/efficiency/success:
    - > 75% of target species fish that reach the NLF (effective)
    - > 90% of fish that enter fishway pass upstream (efficient)
    - < 5-day delay for diadromous species passage (timely)





# Biological Monitoring

- Define monitoring criteria (set pre-design!):
  - Target species
    - Resident vs. diadromous species
    - Single species → all fish species in river
  - Upstream vs. downstream passage?
  - Goal/objective being evaluated & baseline
  - Study reach/extent
  - Duration of study
  - Statistical approach/method
  - Off-ramp for success and failure
- Evaluate biological monitoring alternatives based on criteria and desired investment \$
- Regulatory buy-in (if applicable)

# Biological Monitoring

## One-time/short duration

- Visual observation
- Hook & line sampling (if legal for species)
- Electrofishing
- Seining
- eDNA
- Multibeam sonar



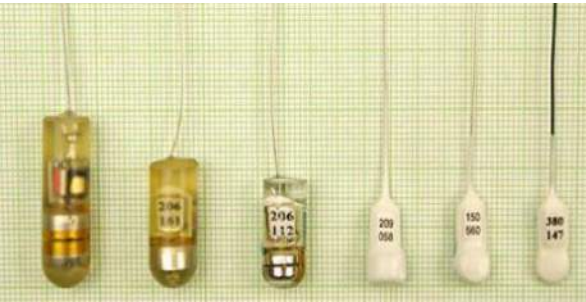
Shad seining on the Brandywine, Courtesy of Jim Shanahan, Brandywine River Restoration Trust.



# Biological Monitoring

## Extended/Longer-term

- Video/multi-beam sonar
- eDNA
- Mark-Recapture (visual tags)
- Radio Telemetry
- Passive Integrated Transponder (PIT tag)
- 3-D Acoustic Telemetry



Over Granite Dam - Case DH8





# Radio Telemetry

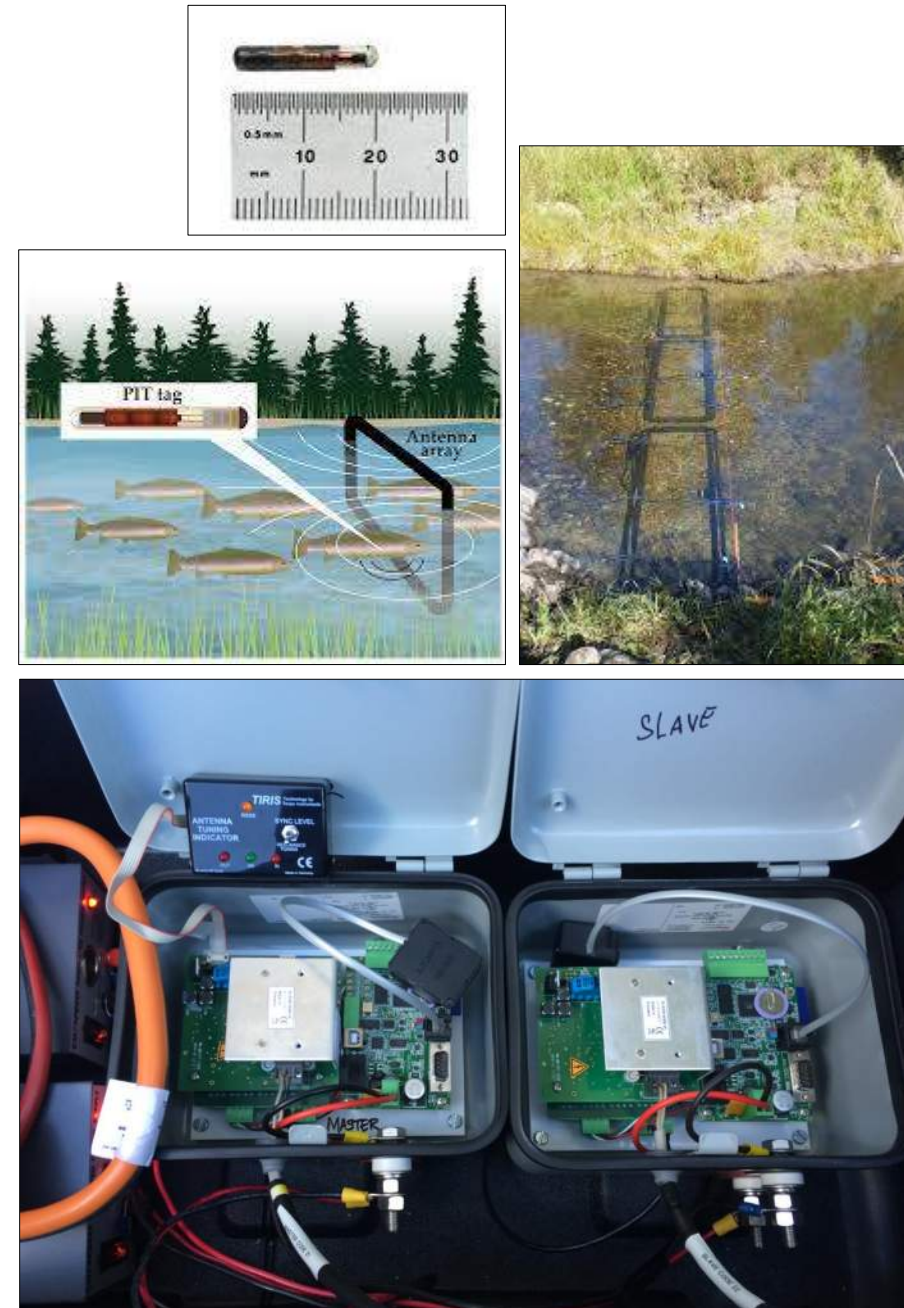


- Set up network of radio receivers
- Implant appropriately sized radio tags (gastric or surgical) into specimens
- Assess 1D movement (i.e. movement between locations A and B)
- Pros:
  - Can be used in turbid water with entrained air
- Cons:
  - Prone to false positive readings
  - Depth limited – water absorbs radio waves



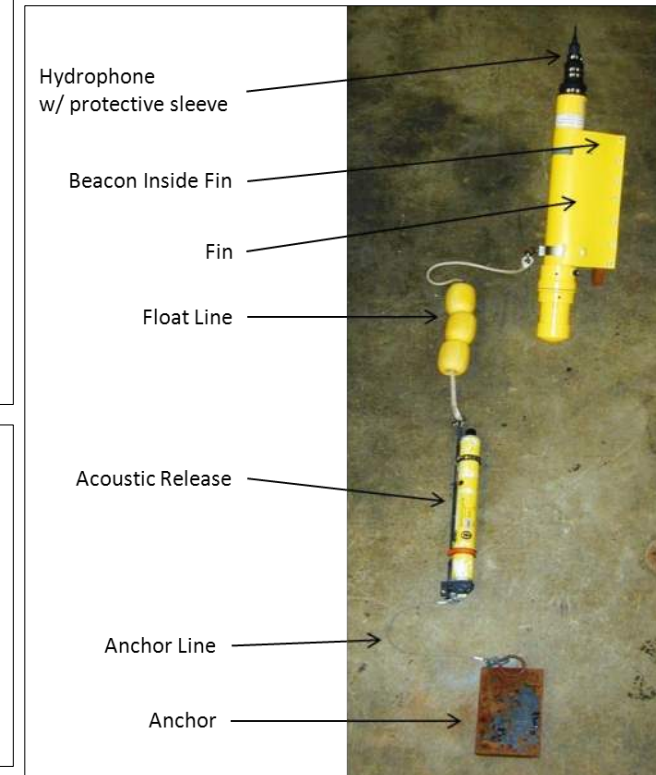
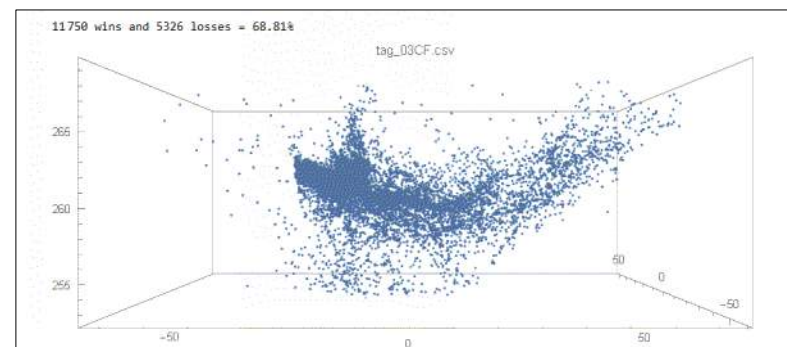
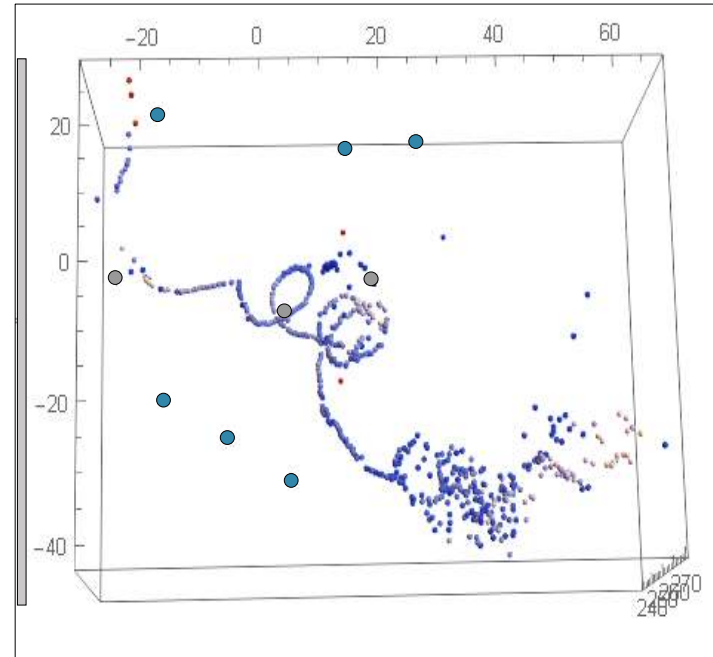
# PIT Telemetry

- Passive integrated transponders – the same microchips we put in our pets
- Internal microchip activated by electro-magnetic induction as it passes through a special antenna
- Pros:
  - Cost effective way to measure simple 1D movement.
- Cons:
  - Difficult to set up whole-channel antennas



# Acoustic Telemetry

- Use sound to locate fish in 1, 2, and 3 dimensions
- Sound moves  $> 1$  km/s in  $18^{\circ}$  C water
- Pros:
  - Not depth limited – good for deep forebays
  - Able to quantify precise behavior in regions of interest
- Cons:
  - 2 and 3D positioning studies difficult to setup and process because of clock synchronization and multipath error
  - Limited range in turbulent water



# Session 4 AGENDA

01	Design Intro & Biological Effectiveness	by Tyler Kreider
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## **Nature-like Fishways:**

Modern Perspectives and Techniques

### **Session 4:**

**Design, Monitoring, & Maintenance Considerations**

# **Session 4.7: Maintenance of NLFs**



March 27, 2024

Maintenance of NLFs: Agenda

**Challenges, design, and post construction inspection**

<b>01</b>	Begin with the end in mind
<b>02</b>	What constitutes success and resilience?
<b>03</b>	Post construction inspection and monitoring
<b>04</b>	Pre- and Post-bed mobilizing surveys

# “ Begin with the end in mind” – Steve Covey

## Design considerations

- NLF - allure of low operation needs
  - Especially attractive with current temporary funding surge
- Design challenges
  - Access for operation (any gates/flash boards, valves, traps, etc.)
  - Access for inspection
  - Access for remedy/repair
- Good news: most intensive monitoring and access needed in first three years - typically easier due to less vegetation

## What constitutes success and resilience?

### Design Considerations:

- Designing in backstops and redundancy, naturalizing
- Designing out critical elements where failure of one element causes barrier or unzips treatment (eg headcut)
- Designing out unneeded or unobtainable discontinuities
- Consider long profile context,
  - slope and stream power
  - sediment transport and erosion
- Anchor point for debris removal

THE TIME FOR ACTION  
IS PAST!

NOW  
IS THE TIME  
FOR SENSELESS  
BICKERING!



ASHLEIGH BRILLIANT 1977.

Ashleigh  
Brilliant.com





# Post construction inspection and monitoring

## Purpose

- Ensure achieving connectivity goals – biological and physical
- Early indications and remedy of physical degradation

Biological monitoring covered earlier in session



# Post Construction

Two guiding questions

- Are physical changes causing degradation in performance?
- Is NLF channel spanning or bypass channel ?
  - Different considerations for
    - Sediment transport
    - Debris movement
    - Flood forces



# Post construction inspection and monitoring - Physical

Record keeping and independent verification

- Most common error: Underfunded
- Goal not achieved
- Repeated poor design elements
- Delay compounding cost of remedy

# Post construction inspection and monitoring - Physical

## Physical

- Is water, debris, sediment passing site as intended?
- Is treatment structurally “stable” or evolving in an acceptable though unanticipated manner?
  - Examples:
    - Braiding
    - Channel evulsion/meander
  - use of baseline Pre and post construction (as built) surveys and photo monitoring:
- QA/QC spreadsheet and tolerances
- Benchmarks
- Photo/video monitoring points

# Post construction inspection and monitoring - Physical

- Use of previous modeling and biological monitoring
- Is debris, trash, or sediment repeatedly accumulating in an adverse manner?
- Are changes in channel characteristics supporting goals?
- Most deficiencies will surface in first five years
  - Role of vegetation in most projects
    - Project gets stronger, but harder to access
  - Role of bed mobilizing flows
    - Foundational elements remain in place
    - Mobile elements are replaced by natural sediment



# Physical Monitoring Phases: Pre-bed-mobilization surveys

- Initial settling is typical - concrete/rock interfaces
- monitoring for winnowing, tunneling
- document low flow and moderate flow conditions:
  - water surfaces/ flow rate
  - Velocities
  - Turbulence (e.g. particle studies with drones)
  - Flow anomalies



# Physical Monitoring Phases: During bed-mobilizing flows

- If possible, use telemetry
- or afterwards look for: Flow anomalies - reversals, ponding



# Physical Monitoring Phases: Post-bed- mobilizing flows

- Has configuration, and thus flow patterns and passage, changed in:
  - An unanticipated manner?
  - Unacceptable manner?
- photo points and resurveys





# Remedies

- Trash removal (rare)
- Debris removal
- Sediment removal (rare)
- Resealing of weirs/bands
- Partial reconstruction or reseedling (rare)
- Funding
  - Performance bond
    - usually with adverse land owner in litigation context
    - under-used mechanism



# Questions?

# Small Dams – Wilder Dam

Another rock weir loses invert rock due to impoundment/ debris dam upstream



# Small Dams – Wilder Dam

Bank cutting



# Session 4 AGENDA

01	Design Intro & Biological Effectiveness	by Tyler Kreider
02	Hydraulic Modeling	by Barry Chilibeck
03	Roughness Design	by Barry Chilibeck
04	Other Design Factors	by Tyler Kreider
05	Summary of NLF Monitoring Results	by Bjorn Lake
06	Monitoring Methods	by Barry Chilibeck and Tyler Kreider
07	Maintenance of NLFs	by Marcin Whitman
08	Q&A (as time allows)	led by Tyler Kreider

Up Next!

## **Nature-like Fishways:**

Modern Perspectives and Techniques

### **Session 4:**

**Design, Monitoring, & Maintenance Considerations**

# **Session 4.8: Q&A/Open Discussion**



March 27, 2024

# Design Session Q&A

1. Threshold Design - how conservative is the threshold for:
  - a. passage?
  - b. success?
  - c. stability?
2. Good enough passage?
  - a. 50%?
  - b. 85%?
  - c. 100%?





## NOAA Fisheries WCR Guidance to Improve the Resilience of Fish Passage Facilities to Climate Change



# Design Session Q&A

3. Variability & Adaptability
  1. Resident species
  2. Climate change?



# Design Session Q&A

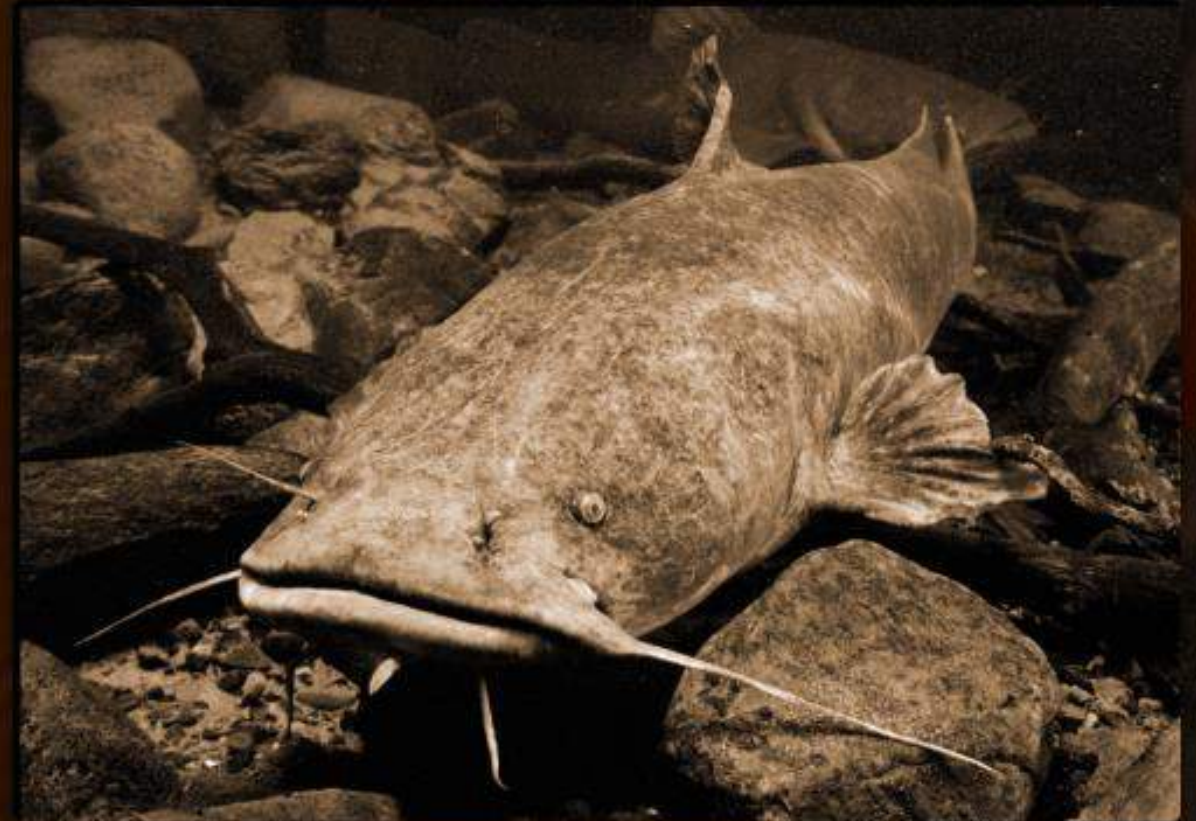
## 4. Invasive Species

- a. Selective barrier design?
- b. Desirable feeding habitat in NLFs?

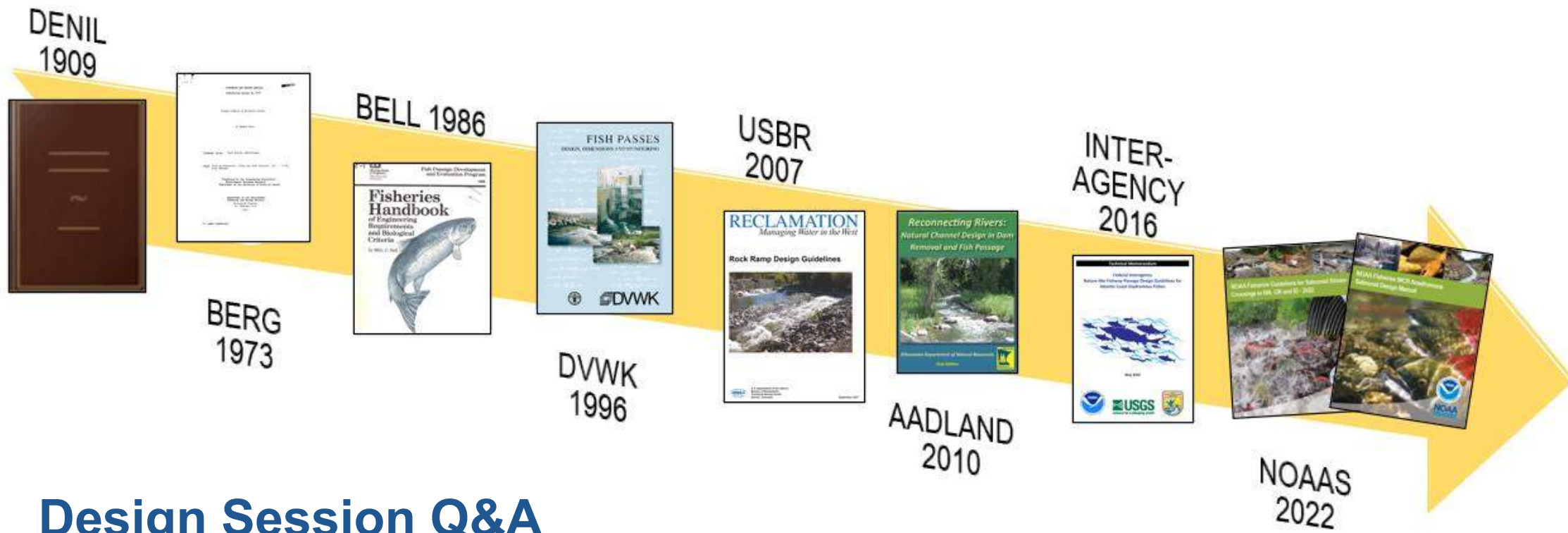
## Dracula of the Deep, Part I: Meet the Flathead Catfish, The Pacific Northwest's "Freshwater Freight Train"

By Dan Magneson/USFWS Fishery Biologist

<https://usfwspacific.tumblr.com/post/166081598330/dracula-of-the-deep-part-i-meet-the-flathead>



*Photo: Their legendary size and strength make them the stuff of lore, much like Dracula but the fantastical flathead catfish is very real. Photo credit: in-fisherman.com*



## Design Session Q&A

5. Feedback on current Guidelines?